AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan (AP) is the fifth of five annual updates to the 2015-20 State of California Consolidated Plan (ConPlan). The AP outlines the State of California's (state) current priorities and strategies to address housing and community development goals using 2019 federal community development funds from the U.S. Department of Housing and Urban Development (HUD) and from other federal and state sources.

This AP describes projected HUD 2019 funding levels, state and other resources expected for the year, program operation schedules, goals, objectives, and planned operations for the following five programs:

1. Community Development Block Grant (CDBG);
2. HOME Investment Partnerships program (HOME);
3. National Housing Trust Fund (NHTF);
4. Emergency Solutions Grants (ESG); and
5. Housing Opportunities for Persons with AIDS (HOPWA).

(Note: The Lead Hazard Control Program (LHCP) grant ended in 2017 and, therefore, will not be reflected in this AP.)

Below is an outline of the goals and objectives addressed in this AP. The NHTF and ESG programs are available statewide. CDBG and HOME are available only to nonurban and rural cities and counties ("non-entitlement" jurisdictions) that do not receive federal funds for these programs directly from HUD. HOPWA provides funds to HIV, housing, and homeless service providers that HUD does not directly fund. The jurisdictions that are eligible for these state-administered funds are identified in Appendix A**,** Eligible Jurisdictions.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

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Statewide Goals from the California 2015-20 Consolidated Plan:

- Increase the supply of affordable rental housing;
- Expand homeownership opportunities and improve existing housing;
- Provide homeless assistance and prevention services;
- Increase economic development opportunities;
- Maintain or increase public services; and
- Maintain or increase public facilities.

In addition to the statewide goals set in the 2015-20 Consolidated Plan (ConPlan), the California Department of Housing and Community Development (hereafter referred to as HCD) has been focusing its efforts on addressing three key housing and community development priorities: 1) Homelessness; 2) Access to Opportunity; and 3) Climate Change. HCD will be providing state objective points towards furthering these priorities in our CDBG, HOME, and NHTF programs. For more information, refer to AP-30 Method of Distribution.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The section below describes HCD's most recent Consolidated Annual Performance Evaluation Report (CAPER) that discusses Fiscal Year (FY) 2017-18 outcomes for CDBG, HOME, NHTF, ESG, HOPWA, and LHCP. The full 2017-18 CAPER report can be viewed at http://www.hcd.ca.gov/policy-research/plans-reports/docs/Consolidated_Annual_Performance_Evaluation_Report_FY_2017_18.pdf.

CDBG
HCD's CDBG program made available $37,488,950 and expended $28,595,499. As reflected in the 2017-18 CAPER, a total of 10,687 extremely low, low-, and moderate-income persons were served.

HOME
As reflected in the 2017-18 CAPER, HCD's HOME program made available $31,670,254 and expended $20,090,968. The HOME program served a total of 558 extremely low, low-, and moderate-income persons with the largest share of funds used for rental housing production.

NHTF
A total of $30,000,000 was made available to increase the supply of affordable, low-income rental housing. Funds were released through a Notice of Funding Availability (NOFA) in June 2018, with applications due in August 2018, and awards made in February 2019. Therefore, no FY 2017-18 data is available for reporting purposes.

ESG
HCD's ESG program made available $13,037,906 and expended $12,925,874 during the program year. The total match amount was $19,028,358. The ESG program assisted 14,254 homeless persons with emergency shelter programs that provide short-term shelter and supportive services. ESG Rapid Re-housing funds assisted 2,319 households and funds for ESG Street Outreach activities assisted 5,290 persons.

HOPWA
A total of $3,491,182 was made available and $3,330,170 expended during the program year. A total of 794 households were served, with 646 persons living with HIV/AIDS (PLWH) assisted with short-term rent, mortgage, and utility assistance (STRMU), 55 assisted with tenant-based rental assistance (TBRA), and 93 assisted with units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds. All sponsors provided case management and other supportive services funded through HOPWA, or other resources such as the federal Ryan White Part B program.

LHCP
The 2014-2017 grant made available $3,400,000. In FY 2017-18, a total of $1,957,493 was made available and $550,345 was expended during the program year, including $65,063 in Healthy-Homes services for 35 households. A total of 45 households were provided assistance with direct lead hazard control services. Funding ended in December 2017.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

This AP is subject to federal Citizen Participation requirements at: http://www.hcd.ca.gov/policy-research/plans-reports/docs/Citizen-Participation-Requirements-amended-032012.pdf. To meet these requirements, HCD solicits input from public, private, nonprofit organizations, and other state agencies in the preparation of the ConPlan and AP updates. Public

notices describing the draft documents, inviting comments, and announcing public hearings are routinely emailed to local governments, other interested parties, and depository libraries, published in legal newspapers of record, and placed on HCD's website at http://www.hcd.ca.gov/policy-research/plans-reports/index.shtml. Paper copies of notices and draft documents are available by written request.

The AP will be available for a public comment period of 30 days per federal regulations. The draft AP is available for comment from all interested parties from **Tuesday, May 28, through Wednesday, June 26, 2019**. Two public hearings will be held: 1) Friday, June 7, in El Centro from 9:00 am – 12:00 pm; and 2) Friday, June 14, in Sacramento at HCD Headquarters from 10:00 am – 1:00 pm. The Sacramento public hearing will also include a webinar, allowing community members and stakeholders statewide to participate. Webinar details will be provided on HCD's website, in addition to the public and legal notices, and disseminated in a listserv message. For details, see the public notices posted alongside this AP at http://www.hcd.ca.gov/policy-research/plans-reports/index.shtml.

If you wish to submit comments or questions, please send them to caper@hcd.ca.gov or to the California Department of Housing and Community Development, Division of Financial Assistance, 2020 West El Camino Avenue, Suite 500, Sacramento, CA 95833, attention Molivann Phlong. For questions regarding this document, please contact Molivann Phlong at 916-263-1681.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

To be completed at the end of the public comment period.

6. Summary of comments or views not accepted and the reasons for not accepting them

To be completed at the end of the public comment period.

7. Summary

HCD's AP reports yearly outcomes and objectives for CDBG, HOME, NHTF, ESG, and HOPWA, as set forth in the 2015-2020 ConPlan. The state also uses the AP to report on its key housing and community development priorities. To review the state's

progress through 2017-18, CAPERs are available online at http://www.hcd.ca.gov/policy-research/plans-reports/index.shtml. As with the previous APs, the 2019-20 AP will be available for a 30-day public comment period to stakeholders. A summary of public comments, and summary of comments or views not accepted, and the reasons for not accepting them, will be completed at the end of the public comment period.

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PR-05 Lead & Responsible Agencies - 91.300(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	CALIFORNIA	Department of Housing and Community Development
CDBG Administrator	Division of Financial Assistance	Department of Housing and Community Development
HOME Administrator	Division of Financial Assistance	Department of Housing and Community Development
NHTF Administrator	Division of Financial Assistance	Department of Housing and Community Development
ESG Administrator	Division of Financial Assistance	Department of Housing and Community Development
HOPWA Administrator	Office of AIDS	Department of Public Health
LHCP Administrator	Department of Community Services and Development	Department of Community Services and Development

Table 1 – Responsible Agencies

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Narrative

HCD's Division of Financial Assistance prepares the state's ConPlan, AP, and CAPER in collaboration with the California Department of Public Health, Office of AIDS (CDPH/OA). The programs administered by HCD are the Community Development Block Grant (CDBG), Home Investment Partnerships program (HOME), National Housing Trust Fund (NHTF), and Emergency Solutions Grant (ESG). CDPH/OA administers HOPWA. These programs are implemented at the local level by agencies of eligible city and county governments, federally and/or non-federally recognized American Indian tribes, and/or private organizations, including nonprofit corporations.

Consolidated Plan Public Contact Information

For questions regarding this document, please contact Molivann Phlong at 916-263-1681. To provide comments on this document, please send them to caper@hcd.ca.gov or mail to:

California Department of Housing and Community Development
Division of Financial Assistance
Attention: Molivann Phlong
2020 West El Camino Ave, Suite 500
Sacramento, CA 95833

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AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

The California Department of Housing and Community Development (HCD) makes every attempt to reach out to our diverse communities and populations to solicit input including public, private, nonprofit organizations, and other state agencies. HCD uses different forms of communication to reach out to the community. Conducting workshops throughout the state helps to improve program knowledge in all communities. Every effort is made to reach out to numerous and varied communities to encourage participation and consultation. HCD's Communications Unit helps raise public and stakeholder awareness through a variety of outlets, including HCD's external website, Facebook, Twitter, marketing email "blasts" (eblasts), marketing materials, speeches, videos, and more. HCD provides access to online webinars and question and answer forums for better disseminating of pertinent program information. The availability of these methods is communicated via our HCD website, opt-in email distribution lists, social media, and through the many conferences, seminars, and meetings that HCD staff attend. HCD sends out notices via voluntary email lists where subscribers can receive updates on all HCD information, webinars, and program announcements.

Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health, and service agencies (91.215(l)).

HCD continues to coordinate with other housing providers and private and governmental health, mental health, and services agencies after the passage of Senate Bill (SB) 1380, Assembly Bill (AB) 74, and SB 2.

- 2017 SB 1380 tasks the state with identification of all programs that provide housing or housing-related services to people experiencing homelessness, or at-risk of homelessness, and implement them using a "Housing First" policy.

  To accomplish this task, SB 1380 also established the Homeless Coordinating and Financing Council with representatives from HCD, the Business, Consumer Services and Housing Agency, the California Department of Transportation, the California State Department of Social Services, the California Housing Finance Agency (CalHFA), the California State Department of Health Care Services (DHCS), the California Department of Veterans Affairs, the California Department of Corrections and Rehabilitation, the California Tax Credit Allocation Committee (CTCAC), the Victim Services Program within the Division of Grants Management in the Office of Emergency Services, a formerly

homeless person residing in California, a formerly homeless youth residing in California, local agencies or organizations that participate in the U.S. Department of Housing and Urban Development's (HUD) Continuum of Care Program, state advocates or other members of the public or state agencies, according to the Governor's discretion, and representatives of the Council from two different stakeholder organizations appointed by the Senate Committee on Rules and the Speaker of the Assembly.

- AB 74 authorizes HCD to develop the Housing for a Healthy California (HHC) program utilizing NHTF to create supportive housing for individuals who are recipients of, or eligible for, health care provided through the DHCS Medi-Cal program and is coordinating with DHCS to identify outcomes among participants, changes in health care costs, and utilization associated with housing and services provided under HHC.
- As part of the 2017 SB 2 Planning Grants Program, HCD, in coordination with the Governor's Office of Planning and Research (OPR), is working with a team led by Placeworks to provide Technical Assistance (TA) to California cities and counties to identify activities and provide tools throughout 2019 that will accelerate housing production for all income groups including extremely low-, low-, moderate and above moderate.
- HCD is also offering technical assistance to support local and regional efforts to build or improve system capacity, develop or implement Housing First policies, and improve efforts around housing stability for people experiencing homelessness. Eligible recipients may request technical assistance in the following categories: Building Capacity, Housing First, and Housing Stability. HCD will evaluate each technical assistance request based on its unique circumstances and challenges. Types of assistance offered and activities supported, at no cost to the jurisdictions, include analysis assistance, stakeholder-convened discussions, tool kits, education and training through workshops and webinars, onsite or remote consultations with technical experts.

Additionally, HCD collaborates with CalVet, making funding available for the Veterans Housing and Homeless Assistance Program (VHHP) while improving the supportive services, and, with the Strategic Growth Council, to implement the Affordable Housing and Sustainable Communities (AHSC) program supporting infill and compact development that reduces greenhouse gas emissions. HCD coordinates with DHCS, CalHFA, CTCAC, and the Department of Developmental Services (DDS) to discuss ongoing Section 811 Project Rental Assistance Program (PRA) issues in meetings conducted every two weeks. DHSC and DDS are primarily responsible for the placement of 811 tenants and supportive services. In response to California Executive Order B-10-11, to encourage communication and consultation with American Indian tribes, HCD began conducting quarterly Tribal Taskforce meetings beginning in 2015 to strengthen and promote relationships with tribal entities.

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Participants in these meetings include tribal members, tribally designated housing entities, non-profits for housing, and other state and local departments with a housing focus. The purpose of these meetings is to foster effective consultation and collaboration with American Indian tribes regarding the development and/or implementation of housing financing programs, policies, regulations, projects, plans, and activities that may affect tribal communities in California.

The information below is specific to the federal Con-Plan Programs:

CDBG (Community Development Block Grant) - HCD is finalizing the CDBG program redesign initiated in 2017 and anticipates releasing the CDBG program redesign guidelines in the summer of 2019. Throughout 2018, HCD held 10 Redesign Working Group (RWG) meetings, which is composed of local (non-entitlement) governments, a broad group of external stakeholders, and HCD staff. The redesign efforts and meetings were prompted by the passage of SB 106, which expressed the intent to improve the CDBG program to address stakeholder concerns, increase expenditure rate, reduce program income balances and streamline processes. Beyond SB 106, HCD's redesign efforts intend to address HUD's 2018 Monitoring Report.

The RWG meetings were held at HCD headquarters in Sacramento, and available via conference call in to all participants. Accessibility and outreach are a critical function of the success of redesign efforts. All meetings were preceded by requested agenda items from all members and the availability of meeting materials, both electronic and hard copies. Between meetings, information was sent for review and feedback. Input and ongoing dialogue of the working group assisted in the assessment of current program processes, procedures, and deficiencies. This provided the nexus to develop streamlined guidelines, processes, and procedures, and a revised method of distribution that increases expenditures and aides in compliance reporting.

As SB 106 required, HCD prepared and submitted the CDBG Report to the Legislature in June 2018, which, among other things, required HCD to analyze and report on its award process, contract management processes, and policies and fiscal processes. This SB 106 analysis detailed current program regulations that impede maximum program outcomes. It identified efficiencies that would improve these processes and identified current requirements that, if eliminated, would facilitate greater funding opportunities for eligible applicants.

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To provide public awareness to the redesign efforts, HCD enhanced its CDBG webpage. These enhancements include a one-stop webpage for the CDBG program that is updated regularly. There, users can link to many CDBG program details, and it is where the CDBG Program Redesign (Redesign) page can be accessed.

The Redesign page offers users information about the redesign overview, resource documents, accomplishments and RWG meeting agendas and summaries. The page provides access to the information and transparent process that HCD engaged in its efforts to assess and understand stakeholder concerns. The SB 106 Report to the Legislature, June 2018, is available for review along with meeting agendas and summaries that provide background information and updates of redesign efforts. Due to California's geographic diversity, the CDBG program provides funding for housing, community and economic development opportunities to tribal, Colonia, and smaller local governments that otherwise may not have access to resources for these types of activities. The CDBG program aligns with other state government programs and legislative interests. In addition, HCD staff coordinate disaster assistance funding with other state, federal, and local public funding agencies that are supporting communities recovering from the drought and wildfires.

The 2019-2020 program year will be the first year under the CDBG program redesign guidelines and method of distribution. The guidelines, developed in response to SB 106, will replace the state regulations that previously directed the CDBG program. The new method of distribution is designed to encourage both improved program performance and increased expenditures. HCD plans to amend the 2015-2020 Consolidated Plan to allow for the change in the method of distribution. The method of distribution is discussed in AP-30.

HOME (Home Investment Partnerships Program) – HOME funds a wide range of activities including building, buying, and/or rehabilitating affordable housing for rent or homeownership, or providing direct rental assistance to low-income persons. HOME's emphasis on consolidated planning expands and strengthens partnerships among all levels of government and the private sector in the development of affordable housing. HOME meets annually with its program advisory committee to discuss needed regulatory and non-regulatory changes to its grant selection process, as well as other program implementation issues.

NHTF (National Housing Trust Fund) – As required by AB 74, HCD is developing the HHC program with NHTF funds. HCD is collaborating with DHCS on the development of this program for data collection purposes to report outcomes and prepare evaluations/annual adjustments. Draft Guidelines were released to the public on November 8, 2018. Written public comments were received during the 30-day public comment period ending on December 8, 2018. Public workshops were held on

November 16, 2018 in Sacramento, November 19, 2018 in webinar-format,
November 27, 2018 in Riverside, and November 30, 2018 in Visalia. Over a dozen comment letters were received. Policy and programmatic issues were discussed and adopted where appropriate. A document showing public comments received and HCD's responses to those comments was published on January 25, 2019.

ESG (Emergency Solutions Grant) program - ESG places more emphasis on the involvement of local Continuum of Care (CoC) and ESG entitlement entities in the funding distribution process, thereby enhancing coordination between these entities and the state. In addition, HCD holds individual and group conference calls for ESG stakeholders for input on the AP and the ESG funding process and is continually working with localities and CoC to improve the program.

HCD supports and encourages coordination of efforts for programs serving the homeless and chronically homeless in the public and private sector. ESG continues to solicit input from internal and external stakeholders in the guidance and direction of the program development and distribution of funds. Outreach was conducted with public and private sector entities, CoCs, and other homeless service providers on the 2019 AP.

HOPWA (Housing Opportunities for Persons With AIDS) program –The California Department of Public Health, Office of AIDS (CDPH/OA) is the state agency that administers statewide programs and activities that pertain to HIV/AIDS, and is statutorily responsible for coordinating all state programs, services, and activities relating to HIV/AIDS. State administered HOPWA (State HOPWA) is situated in CDPH/OA to ensure that all HIV/AIDS service programs, including housing assistance, are coordinated at state and local levels.

CDPH/OA emphasizes inclusion of representatives of various HIV/AIDS service agencies, other California state departments (such as Corrections and Rehabilitation, HCD, Rehabilitation, DHCS, and Developmental Services), local health departments, and others, in information gathering, research and decision-making processes.

CDPH/OA convenes the California Planning Group (CPG) to assist in the development, implementation, and revision of California's Integrated HIV Surveillance, Prevention, and Care Plan (Integrated Plan). The Integrated Plan responds to the National HIV/AIDS Strategy that includes housing goals and objectives related to prevention efforts and improved access to HIV/AIDS care and treatment. CPG also informs CDPH/OA about local trends or emerging populations in the epidemic, and successes and challenges in accessing HIV care and prevention services in the community. The CPG includes

representatives from local HIV/AIDS planning groups as well as consumers, local health departments, and other statewide experts in the field.

Project sponsors are required, to the extent possible, to participate in local HIV/AIDS planning groups and the HIV/AIDS service delivery and needs assessment processes within their community. Local participation assists project sponsors in linking clients to necessary services and establishing collaborative relationships with other local government and private service agencies.

Provide a concise summary of the state's activities to enhance coordination with local jurisdictions serving Colonias and organizations working within Colonias communities.

In 2013, HCD funded a Master Plan Colonias Update study and prepared it for Imperial County Community & Economic Development specifically to develop a strategy to give priority assistance to Colonias having the greatest need for basic infrastructure and housing activities. This study reflected a large percentage of homeownership in each of the nine Colonia communities. In contrast, overall owner-occupied units were much higher than the average homeownership percentage in the nation, despite higher poverty and unemployment rates. This is due to the lower land value and housing costs associated with the typical single-family homes found in the Colonias. However, based on the report for Imperial County, the state's need in the Colonias is Housing Rehabilitation.

HCD will continue to enhance its coordination efforts through an ongoing partnership between HCD's CDBG Advisory Committee, and Imperial County and its staff, and will continue to work toward prioritizing assistance to Imperial County's Colonias. Additionally, HCD will provide training and community outreach during the rollout of the new CDBG redesign program.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

ESG – CoCs make recommendations to HCD through local procurement processes regarding which service providers in their area should receive ESG funds. In addition, in accordance with Title 25 California Code of Regulations (CCR), Section 8409 (25 CCR §8409), all ESG-funded activities must use a Coordinated Entry System (CES), established by and consistent with the protocols of the CoC for that Service Area in which the program operates.

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Local CES' must seek to prioritize people who: (a) are unsheltered and living in places not designed for human habitation, such as cars, parks, bus stations, and abandoned buildings; (b) have experienced the longest amount of time homeless; (c) have multiple and severe service needs that inhibit their ability to quickly identify and secure housing on their own; and (d) for homelessness prevention activities, people who are at greatest risk of becoming literally homeless without an intervention, and are at greatest risk of experiencing a longer time in a shelter or on the street should they become homeless.

HCD enforces 25 CCR 8403, which defines the relationship and continued collaboration requirements between the CoC and the Administrative Entity (AE), which are local government administrators of ESG funds. The recommendation requirements of the CoC for the AEs, and the selected activities to be funded by ESG, ensures continued involvement of the CoC together with the AE at all phases of the ESG funding process. Further, 25 CCR 8404 requires CoC in the Balance of State (BoS) allocation to run their local procurement process, and submit recommended providers and selected activities to receive funding from ESG. These processes emphasize the need for CoC, public and private providers, and non-profits to build a supportive working relationship to meet the needs of individuals in the immediate service area.

HOPWA program – All project sponsors are encouraged to participate in local CoC planning groups to ensure coordination of the HIV/AIDS service needs in the housing continuum. By federal regulation, homeless service agencies that receive HOPWA funding must include HOPWA clients in the local Homeless Management Information System (HMIS). State HOPWA includes this requirement in the HOPWA program guidance and contract scope of work and assists project sponsors in accessing local HMIS.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS.

ESG - In planning and preparation for the 2019 funding round, HCD has conducted outreach with stakeholders for their assessment and input in the ESG Notice of Funding Availability (NOFA) process. The 2018 funding round was evaluated, and comments and suggestions were used in making modifications for 2019. Stakeholder outreach, conducted through conference calls and surveys, are key to the development of each funding round.

Pursuant to ESG Regulations, Section 8402, the state requires that all AEs and CoC providers submit data measuring the impact and effectiveness of their ESG-funded programs using HMIS or a HUD comparable database. This system-level, and

project-level, performance data must detail information on people served and the activities assisted with ESG funds. The state does not have its own HMIS system and relies on HUD standards and local CoC decision-making concerning policies and procedures for the operation and administration of HMIS.

2. Agencies, groups, organizations and others who participated in the process and consultations

Prior to release of the AP for public comment, HCD consulted with local government and nonprofit representatives from the CoC containing ESG non-entitlement communities. Participants were asked about the issues outlined in AP-30. The CDBG RWG also met prior to the release of the AP to discuss proposed changes to the method of distribution and 2019 CDBG NOFA. The CDBG RWG consists of program operators from eligible jurisdictions and non-profits and for-profit consultants. HCD anticipates meeting with the HOME Advisory Committee prior to the release of the HOME NOFA, to discuss policy updates in the NOFA and proposed modifications to state HOME regulations. HCD also conducts quarterly Tribal Taskforce meetings with the goal of removing barriers and furthering the development of affordable housing on Native American Lands in California.

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Table 2 – Agencies, groups, organizations who participated in the process and Consultations

1	**Agency/Group/Organization**	**Adams-Ashby Group**
	Agency/Group/Organization Type	Other - CDBG and HOME local administrative subcontractor
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee participant; CDBG program improvements; CDBG RWG participant
2	**Agency/Group/Organization**	**Self Help Enterprises**
	Agency/Group/Organization Type	Housing
	What section of the plan was addressed by consultation?	CDBG and HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG and HOME Advisory Committee participant; CDBG and HOME program improvements; and CDBG RWG participant
3	**Agency/Group/Organization**	**Cox Consulting**
	Agency/Group/Organization Type	Other CDBG local administrative subcontractor
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee participant; CDBG program improvements CDBG RWG participant;
4	**Agency/Group/Organization**	**Lassen County**
	Agency/Group/Organization Type	Other government -Local
	What section of the plan was addressed by consultation?	CDBG MOD

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee participant; CDBG program improvements
5	**Agency/Group/Organization**	**Imperial County**
	Agency/Group/Organization Type	Other government - Local
	What section of the plan was addressed by consultation?	CDBG MOD and Colonias issues; HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG and HOME Advisory Committee participant; CDBG and HOME program improvements; and CDBG RWG participant
6	**Agency/Group/Organization**	**City of Oroville**
	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
7	**Agency/Group/Organization**	**Kings County**
	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee participant, Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
8	**Agency/Group/Organization**	**Nevada County – Health and Human Services Agency**

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	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant; Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
9	**Agency/Group/Organization**	**City of Arcata - Community Development Department**
	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee and RWG participant; Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
10	**Agency/Group/Organization**	**El Dorado County – Community Development Services**
	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant; Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
11	**Agency/Group/Organization**	**City of Sonora – Community Development Department**

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	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee and RWG participant; Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
12	**Agency/Group/Organization**	**Butte County, Community and Economic Development**
	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant; Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
13	**Agency/Group/Organization**	**Rural County Representatives of California**
	Agency/Group/Organization Type	Non-profit - Regulatory Affairs Advocate
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant; Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes

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14	**Agency/Group/Organization**	**Madera County – Community and Economic Development Department**
	Agency/Group/Organization Type	Other government - local
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant, Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
15	**Agency/Group/Organization**	**California Association of Local Economic Development (CALED)**
	Agency/Group/Organization Type	Non-profit-Economic Development Advocate
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant, Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
16	**Agency/Group/Organization**	**Leadership Council for Justice and Accountability**
	Agency/Group/Organization Type	Non-profit- Housing Advocate
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG RWG participant, Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income

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		balances, and streamline administrative processes
17	**Agency/Group/Organization**	**Westlake Consulting**
	Agency/Group/Organization Type	Other CDBG local administrative subcontractor
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	CDBG Advisory Committee and RWG participant, Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
18	**Agency/Group/Organization**	**KW Consultants**
	Agency/Group/Organization Type	CDBG Consultant
	What section of the plan was addressed by consultation?	CDBG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Guideline development, MOD, policy recommendations to increase expenditure rate, reduce high program income balances, and streamline administrative processes
19	**Agency/Group/Organization**	**All Mission Indian Housing Authority**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA and streamline administrative processes.
20	**Agency/Group/Organization**	**Northern Circle Indian Housing Authority**

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	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
21	**Agency/Group/Organization**	**Modoc-Lassen Indian Housing Authority**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement technical assistance, and streamline administrative processes.
22	**Agency/Group/Organization**	**Enterprise Rancheria**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
23	**Agency/Group/Organization**	**Tule River Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
24	**Agency/Group/Organization**	**Redwood Valley Rancheria**

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	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
25	**Agency/Group/Organization**	**Bishop Paiute Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
26	**Agency/Group/Organization**	**Hopland Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
27	**Agency/Group/Organization**	**Big Pine Paiute Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement technical assistance, and streamline administrative processes.

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28	**Agency/Group/Organization**	**Timbisha Shoshone Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
29	**Agency/Group/Organization**	**Trinidad Rancheria**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
30	**Agency/Group/Organization**	**San Pasqual Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
31	**Agency/Group/Organization**	**Pechanga Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.

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32	**Agency/Group/Organization**	**Hoopa Valley Housing Authority**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
33	**Agency/Group/Organization**	**Me-wuk Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
34	**Agency/Group/Organization**	**Coyote Valley Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
35	**Agency/Group/Organization**	**La Jolla Tribe**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.

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36	**Agency/Group/Organization**	**Torres Martinez Desert Cahuilla Indians**
	Agency/Group/Organization Type	Tribally Designated Housing Entity
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
37	**Agency/Group/Organization**	**TMDCI**
	Agency/Group/Organization Type	Consultant - Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
38	**Agency/Group/Organization**	**Rural Homes**
	Agency/Group/Organization Type	Consultant - Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
39	**Agency/Group/Organization**	**Morning Star**
	Agency/Group/Organization Type	Consultant - Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
40	**Agency/Group/Organization**	**City of Shasta Lake**

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	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
41	**Agency/Group/Organization**	**Rural Community Assistance Corp**
	Agency/Group/Organization Type	Non-Profit Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
42	**Agency/Group/Organization**	**California Coalition for Rural Housing**
	Agency/Group/Organization Type	Non-Profit Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
43	**Agency/Group/Organization**	**CalVet**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.

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44	**Agency/Group/Organization**	**Tax Credit allocation Committee**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	MOD, policy recommendations to increase participation.
45	**Agency/Group/Organization**	**California Debt Limit allocation Committee**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	MOD, policy recommendations to increase participation.
46	**Agency/Group/Organization**	**Self-Help Housing**
	Agency/Group/Organization Type	Non-Profit Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
47	**Agency/Group/Organization**	**Pep Housing**
	Agency/Group/Organization Type	Non-Profit Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
48	**Agency/Group/Organization**	**Mutual Housing**

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	Agency/Group/Organization Type	Non-Profit Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
49	**Agency/Group/Organization**	**Danco**
	Agency/Group/Organization Type	Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
50	**Agency/Group/Organization**	***CHISPA***
	Agency/Group/Organization Type	Housing
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
51	**Agency/Group/Organization**	**Tuolumne**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
52	**Agency/Group/Organization**	**El Dorado**

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	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
53	**Agency/Group/Organization**	**Orland**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
54	**Agency/Group/Organization**	**Arcata**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
55	**Agency/Group/Organization**	**Paradise**
	Agency/Group/Organization Type	Other Government - local
	What section of the plan was addressed by consultation?	HOME MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.

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56	**Agency/Group/Organization**	
	Agency/Group/Organization Type	HOME MOD
	What section of the plan was addressed by consultation?	
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
57	**Agency/Group/Organization**	**Alameda County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	Regulation development, MOD, policy recommendations to increase participation, implement TA, and streamline administrative processes.
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
58	**Agency/Group/Organization**	**Contra Costa CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
59	**Agency/Group/Organization**	**Fresno County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC; state ESG AEs, ESG MOD improvements
60	**Agency/Group/Organization**	**Kern County CoC**

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	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
61	**Agency/Group/Organization**	**LA County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
62	**Agency/Group/Organization**	**Orange County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
63	**Agency/Group/Organization**	**Riverside County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
64	**Agency/Group/Organization**	**Sacramento CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
65	**Agency/Group/Organization**	**Vallejo/Solano County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
66	**Agency/Group/Organization**	**San Bernardino County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
67	**Agency/Group/Organization**	**San Diego County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
68	**Agency/Group/Organization**	**San Luis Obispo CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
69	**Agency/Group/Organization**	**San Bernardino County CoC**

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	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
70	**Agency/Group/Organization**	**San Joaquin County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
71	**Agency/Group/Organization**	**San Mateo County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
72	**Agency/Group/Organization**	**Santa Barbara County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
73	**Agency/Group/Organization**	**Santa Clara County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
74	**Agency/Group/Organization**	**Sonoma County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
75	**Agency/Group/Organization**	**Stanislaus County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
76	**Agency/Group/Organization**	**Ventura County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
77	**Agency/Group/Organization**	**Central Sierra CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
78	**Agency/Group/Organization**	**Butte County CoC**

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	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
79	**Agency/Group/Organization**	**Davis/Woodland/Yolo County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
80	**Agency/Group/Organization**	**Dos Rios CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
81	**Agency/Group/Organization**	**Eastern Sierra CoC**
	Agency/Group/Organization Type	Other - CoCs
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
82	**Agency/Group/Organization**	**El Dorado County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
83	**Agency/Group/Organization**	**Humboldt County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
84	**Agency/Group/Organization**	**Imperial Valley CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
85	**Agency/Group/Organization**	**Kings/Tulare CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
86	**Agency/Group/Organization**	**Lake County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
87	**Agency/Group/Organization**	**Marin County CoC**

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	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
88	**Agency/Group/Organization**	**Mendocino County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
89	**Agency/Group/Organization**	**Merced County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
90	**Agency/Group/Organization**	**Napa County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
91	**Agency/Group/Organization**	**Redding/Shasta County CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
92	**Agency/Group/Organization**	**Roseville/Rocklin/Placer/Nevada CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
93	**Agency/Group/Organization**	**Sutter/Yuba CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
94	**Agency/Group/Organization**	Tehama County CoC
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
95	**Agency/Group/Organization**	**Watsonville/Santa Cruz CoC**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG MOD
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
96	**Agency/Group/Organization**	**City of San Francisco**

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	Agency/Group/Organization Type	Other- CoC
	What section of the plan was addressed by consultation?	ESG
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG improvements
97	**Agency/Group/Organization**	**City of Long Beach**
	Agency/Group/Organization Type	Other - CoC
	What section of the plan was addressed by consultation?	ESG
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG MOD improvements
98	**Agency/Group/Organization**	**City of Pasadena**
	Agency/Group/Organization Type	Other -CoC
	What section of the plan was addressed by consultation?	ESG
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG improvements
99	**Agency/Group/Organization**	**City of Glendale**
	Agency/Group/Organization Type	Other -CoC
	What section of the plan was addressed by consultation?	ESG
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Conference calls with CoC, state ESG AEs, ESG improvements
100	**Agency/Group/Organization**	**Decipher HMIS**
	Agency/Group/Organization Type	Services-homeless
	What section of the plan was addressed by consultation?	Homelessness Strategy

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG improvements, System Performance Measures
101	**Agency/Group/Organization**	**HUB for Urban Initiatives**
	Agency/Group/Organization Type	Services-homeless
	What section of the plan was addressed by consultation?	Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	ESG improvements, System Performance Measures

Table 2 – Agencies, groups, organizations who participated in the process of consultations

Identify any Agency Types not consulted and provide rationale for not consulting

There has been no intentional or known exclusion of any type of public agency, private entity, stakeholder, or interested party from consultation and comment on these programs. State agency actions described in this AP are publicized, primarily by email, to all interested parties who have requested this information, or whose participation is needed. HCD sends a notice via listserv requesting public comment involvement along with an additional listserv for the final report distribution. HCD also promotes the AP through HCD's Facebook and Twitter sites.

Through the public review process, the state's ConPlan, AP, and CAPER are open for public comment. Each year, opportunities for feedback such as public hearings and posted announcements are offered to enlist, encourage, and improve participation. In these events, feedback from participants is encouraged, noted, and analyzed for what the state programs can learn. For a list of HOPWA organizations and the number of entities on HCD's listservs that were emailed the public notice and link to the AP, see Appendix B – Interested Parties**.**

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AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

CDBG (Community Development Block Grant) – Throughout 2018, the California Department of Housing and Community Development (HCD) collaborated with stakeholders to redesign the program to improve expenditure rates, utilize program income, improve monitoring, and achieve other operating efficiencies. HCD developed an outreach plan that included participation through public comment periods, and in-person meetings throughout the state. Because stakeholder collaboration and information sharing are paramount to the success of redesign efforts, and to provide greater access to information and program updates, HCD staff developed and enhanced webpage features to ensure CDBG program information, proposed changes, and updates are easily accessible online. HCD's goal is to continue fostering stakeholder collaboration, especially during program redesign, as well as keeping stakeholders informed of proposed program changes.

For 2019, HCD will develop a CDBG Advisory Committee (AC) Charter to determine the roles and responsibilities of a newly established committee that will reach a broader cross section of participants throughout the state. Once redesign is complete, the redesign group will disband. The new AC will assume the on-going external consultant/stakeholder role for proposed program changes, processes, and NOFAs.

HOME (Home Investment Partnerships Program) - Prior to the release of the HOME Notice of Funding Availability (NOFA), HCD plans to hold a conference call with the Program Advisory Committee (comprised of HOME-eligible cities and counties, Community Housing Development Organizations (CHDO), Native American Entities, consultants, and other developers doing HOME projects), which includes discussions about: (1) align the HOME state objective points for project and programs around access to opportunity and homelessness goals; (2) recapture requirements established in Title 24 Code of Federal Regulations (CFR), Part 92.254(a)(5)(ii); (3) commitment and expenditure deadlines; (4) program income requirements pursuant to 24 CFR 92.503; (5) NOFA timing; and (6) changes to state HOME regulations including, but not limited to, allowing Federally Recognized Tribes and Tribally Designated Housing Entities to apply for HOME program activities.

NHTF (National Housing Trust Fund) - 2017 Assembly Bill (AB) 74 required HCD to establish the Housing for a Healthy California Program (HHC) with National Housing Trust Fund (NHTF) federal funds that provides supportive housing

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opportunities to persons experiencing homelessness. In addition, HCD received authority to use a portion of Senate Bill (SB) 2 year one funding for this program. HCD has released guidelines for this new program that align with federal NHTF requirements, but also include activities supported with state funds. HCD gathered stakeholder feedback on the new program guidelines via a public comment period from November 8, 2018 through December 8, 2018. HCD also conducted public workshops on November 16 in Sacramento, November 19 in webinar-format, November 27 in Riverside, and November 30 in Visalia.

ESG (Emergency Solutions Grant) - HCD held stakeholder conference calls with the Administrative Entities (AE) and Continuums of Care (CoC) to get feedback on the 2018 processes and review the planned changes to the local CoC allocation for the 2019 funding round. No changes were made that impacted goal setting, as HCD goals for the ESG program are broad and enable local communities to provide a variety of ESG-eligible activities.

HOPWA (Housing Opportunities for Persons With AIDS) Program - California Department of Public Health, Office of AIDS (CDPH/OA), HOPWA conducts the California's Integrated HIV Surveillance, Prevention and Care Plan (Integrated Plan) in collaboration with multiple state and local agencies, and consumer/citizen participation. CDPH/OA convenes the California Planning Group (CPG) that serves as advisory to CDPH/OA in this process, and CPG monitors the implementation of the Integrated Plan. CPG is comprised of HIV care and prevention stakeholders, including county health department staff, local HIV service organizations, and consumers with various expertise in HIV/AIDS care and prevention treatment. CPG members identify best approaches to addressing unmet housing needs for people living with HIV (PLWH). The Integrated Plan has several housing-related activities, including the creation of a CPG subcommittee to address housing services. The subcommittee will identify the most effective housing services and best practices for integrating HIV health and housing providers, encouraging local collaboration, and developing methods for collaborative funding and service delivery. It will develop a toolkit in fiscal year 2019, which could be utilized at the state and local levels.

CPG is integral to gaining access and engaging local consumer/citizens to participate in the planning process, and provides an opportunity for citizen participation around HIV/AIDS issues, including housing.

2. Summary citizen participation process and efforts made to broaden citizen participation in Colonias

Public Participation is Encouraged by the State

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All public notices will be released in both Spanish and English and translators will be made available at public hearings and meetings, if requested. This draft Annual Plan (AP) will be available for public comment for a 30-day period, May 28, 2019 through June 26, 2019. The state encourages the involvement of individuals of low incomes and persons with disabilities in the allocation of funds and planning process through working group meetings, councils, and Advisory Committee meetings. All public hearings are announced and made accessible throughout the state, to those who wish to attend.

The state notifies residents in areas where CDBG funds are proposed through the Advisory Committee meetings, working group meetings, and eblasts. Information on public hearings, working group meetings, and Advisory Committee meetings are provided through eblasts. Members are encouraged to participate through teleconference or webinars in order to make the meetings more accessible to our residents of rural areas.

Public Hearings

All of HCD's public hearing notices will be published in the San Francisco, Fresno, Los Angeles Daily Journal, and Sacramento Daily Recorder in both English and Spanish. The public hearings are also advertised in an eblast that directs the reader to HCD's website at http://www.hcd.ca.gov. During the public comment period, printed copies of draft plans are available, and electronic copies are available for download from HCD's website. Stakeholders are encouraged to provide comments and input regarding all programs.

Two public hearings for the draft AP will be held: Friday, June 7, in El Centro from 9:00 a.m. – 12:00 p.m., and Friday, June 14, in Sacramento at HCD Headquarters from 10:00 a.m. – 1:00 p.m. Public and legal notices will be posted on HCD's website, as well as disseminated in a listserv message on May 22, 2019.

Public Comments

A summary of comments received on the draft AP and responses will be provided at the end of the public comment period. Those wishing to submit comments regarding the changes listed above can send them to caper@hcd.ca.gov or to the California Department of Housing and Community Development, Division of Financial Assistance, 2020 West El Camino Avenue, Suite 500, Sacramento, CA 95833, attention Molivann Phlong. Questions regarding this document can be directed to Molivann Phlong, at 916-263-1681.

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AP-15 Expected Resources – 91.320(c) (1,2)

Introduction

This Annual Action Plan (AP) is the fifth of five annual updates to the 2015-20 State of California (state) Consolidated Plan (ConPlan). The AP outlines the state's current priorities and strategies to address housing and community development goals using estimated 2019 federal community development funds from the U.S. Department of Housing and Urban Development (HUD) and from other federal and state sources.

This AP describes estimated projected HUD 2019 funding levels, state and other resources expected for the year, program operation schedules, goals, objectives, and planned operations for the following five programs:

1. Community Development Block Grant (CDBG);
2. Home Investment Partnerships Program (HOME);
3. National Housing Trust Fund (NHTF);
4. Emergency Solutions Grants (ESG); and
5. Housing Opportunities for Persons With AIDS (HOPWA).

(Note: The Lead Hazard Control Program Grant ended in 2017, and therefore, will not be reflected in this AP).

Anticipated Resources

The spreadsheet below shows the anticipated resources. These figures are estimates and may be subject to change based on the allocation the state receives by HUD.

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Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	Public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	$32,072,052	$5,000,000	$13,000,000	$50,072,052	$0	2019 HUD allocation plus estimated program income held at the state and estimated prior year CDBG resources.
ESG	Public - federal	Conversion and rehab for transitional housing, Financial Assistance Overnight shelter, Rapid rehousing (rental assistance), Rental Assistance Services Transitional housing	$12,222,551	$0	$0	$12,222,551	$0	2019 HUD allocation. Additional prior year resources may become available from 2017 contracts expiring later in 2019.

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HOME	Public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership Tenant-Based Rental Assistance (TBRA)	$43,403,118	$325,000	$4,500,000	$48,228,118	$0	2019 HUD allocation plus estimated program income held at the state and prior year HOME resources.
HOPWA	Public-federal	Permanent housing in facilities, Permanent housing placement, Short term or transitional housing facilities, STRMU Supportive services, TBRA	$3,732,882	$0	$315,333	$4,048,215	$0	The estimated 2019 state HOPWA allocation is $3,732,882. Prior year resources include $309,288.01 from Fresno for Fiscal Year (FY) 2014. Any unspent funds as of June 30, 2018 from all other project sponsors will be carried forward and re-allocated in FY 2019-20.
Other - NHTF	Public - federal	Multifamily rental new construction	$32,376,691	$0	$0	$32,376,691	$0	2019 HUD allocation

Table 3 – Expected Resources – Priority Table

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Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

CDBG – CDBG funding is generally used as gap financing with other federal, state, private, and local funds, as these funding sources are often insufficient to fully finance community or economic development projects and programs. The state encourages localities to provide local resources and obtain private support whenever feasible. Local contributions can include in-kind staff services, grant administration, gas tax funds, public works funds, permit, and other fee waivers. Private contributions can include mortgage loans, grants from private agencies, in-kind staff time, sweat-equity from rehabilitation projects, and discounts on services from title, pest, and appraisal companies.

Leveraged funds with CDBG funding can improve the feasibility of programs and projects. Leverage varies depending upon the activities being implemented. For example, for single-family homebuyer assistance program activities, CDBG provides "gap" funding subordinate to the first mortgage. CDBG funding is used to augment or expand existing public service activities. The CDBG program offers direct funding for single and multifamily rehabilitation, first-time homebuyer down payment assistance, microenterprise, and business assistance programs. It also provides full or gap funding for public infrastructure, public infrastructure in support of housing projects, economic development, and public facility projects. CDBG can be used as leverage or gap funding with other resources to offset the high cost of larger projects.

HCD will be introducing and reinstating a few additional funding sources that may be used as leverage with CDBG funding. These resources include both one-time and permanent state funded programs that are intended to address housing and homelessness in the state. New program guidelines and regulations for these programs will promote leveraging for federal housing and homelessness funding, tax credits, and local and non-profit funds.

CDBG matching requirements for FY 2019 funds will be met by the state general fund. HCD anticipates a match amount of approximately $600,000.

HOME –The largest source of leverage is Low Income Housing Tax Credits (LIHTC) used with rental new construction projects. Other sources of leverage include private bank loans, state funds, other federal funds, and local funds for both rental and homebuyer activities. For FY 2019, HOME estimates leveraging over $125 million from other sources. HOME's leverage typically exceeds its match requirement.

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NHTF – There is no federal match requirement for NHTF. HCD anticipates that like the state HOME program, LIHTC will be leveraged for rental new construction projects. HCD anticipates sources of leverage including private bank loans, state funds such as tax credits, other federal funds, and local funds.

ESG – ESG match is provided by its subrecipients on a dollar-for-dollar basis. Specific sources of match or leverage are identified at the time of application and must comply with 24 CFR §576.201. Sources of match may include: (1) local funds from cities and counties; (2) private funds including agency fundraising and cash; and (3) donated goods, volunteers, building value, or lease.

Administrative Entities (AEs) and Local Continuums of Care (CoC) have the ability to combine their own local resources with those of the federal allocation to provide more services to their communities to work towards ending homelessness. These entities are encouraged to devote all in-kind donations, tangible resources, buildings and space provided, monetary donations, and volunteer services, as well as their own local funds, to meet the match requirement of Title 24 Code of Federal Regulations (CFR), §576.201. Additionally, other state funds including Homes Energy Assistance Program (HEAP) and California Emergency Solutions and Housing (CESH) can be used to match ESG funds.

HOPWA - There is no federal match requirement for HOPWA; however, project sponsors report leveraged funds. On an annual basis, the California Department of Public Health, Office of AIDS (CDPH/OA) allocates funding through the HIV Care Program (Ryan White Part B) for HIV/AIDS care and treatment services to California counties.

Based on prior year data, state HOPWA anticipates approximately $4.8 million in leveraged funds by HOPWA project sponsors, including Ryan White Part B and other federal, state, local, and private resources for the provision of HIV/AIDS housing and services to HOPWA clients based on the FY 2017-18 Consolidated Annual Performance and Evaluation Report (CAPER) reported number.

Resources also include prior year HOPWA funds for Fresno County that will be committed to projects in FY 2018-19 and 2019-20. These funds were resources from the FY 2013-14 grant period. CDPH/OA has received an extension from HUD to spend those funds until August 31, 2020. A contract with Clinical Sierra Vista in Fresno has been executed to expend these funds.

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CDPH/OA is currently working with the City of Bakersfield for CDPH/OA to resume the administration of the HOPWA funds for the County of Kern. The County of Kern and the City of Bakersfield have indicated this would be optimal because CDPH/OA already has Ryan White Part B housing contracts administered by the county. If CDPH/OA administers the HOPWA funds as well, this would result in more comprehensive coordination of housing services for clients.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Although some localities utilize property they own to build affordable housing, the state's AP does not identify specific projects to be developed or supported, since local entities must first apply for, and be awarded, funding for these projects**.**

For locally controlled surplus property, Assembly Bill 2135 (Government Code (GC), Section 54220), effective January 1, 2015, revised provisions of existing law by strengthening first right of refusal requirements to affordable housing developers for the disposal of surplus land and required affordability when the land is not proposed with an affordability requirement. Current state law, GC, Section 11011, allows the state to dispose of surplus state real property through the Department of General Services (DGS) for affordable housing. Given these requirements and programs, HCD will continue to partner with DGS and outside stakeholders to promote affordable housing on publicly owned land.

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AP-20 Annual Goals and Objectives – 91.320(c)(3) &(e)

Goals Summary Information

The figures below represent estimates of allocation goals and objectives. These figures could change based on the U.S. Department of Housing and Urban Development (HUD) allocation of funds and changes in funding priorities.

Sort Order	Goal Name	Start Year	End Year	Category	Needs Addressed	Funding	Goal Outcome Indicator	Total
1	Increase the supply of affordable rental housing	2019	2020	Affordable Housing	Affordable rental housing	CDBG: $21,781,343 HOME: $26,525,464 NHTF: $32,376,691	Rental units constructed (Household Housing Unit)	539
							Rental units rehabilitated (Household Housing Unit)	755
2	Expand homeownership and improve existing housing	2019	2020	Affordable Housing	Affordable homeowners hip and home rehabilitation	CDBG: $7,510,807 HOME: $16,879,841	Homeowner Housing Added (Household Housing Unit)	40
							Homeowner Housing Rehabilitated (Household Housing Unit)	145
							Direct Financial Assistance to Homebuyers (Households Assisted)	112

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3	Provide homeless assistance & prevention services	2019	2020	Homeless	Homeless assistance and prevention services	ESG: $12,222,551 HOME: $4,822,811 HOPWA: $4,048,215	Tenant-based rental assistance / Rapid Rehousing (Households Assisted)	2,263
							Homeless Person Overnight Shelter (Persons Assisted)	5,447
							HIV/AIDS Housing Operations (Household Housing Unit)	30
							Homelessness Prevention (Persons Assisted)	819
							Household Housing Unit Other	1,642
4	Increase economic development opportunities	2019	2020	Non-Housing Community Development	Economic Development Opportunities	CDBG: $15,021,616	Jobs created/retained (Jobs)	299
							Jobs Businesses Assisted (Businesses Assisted)	14

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5	Maintain or increase public services	2019	2020	Non-Housing Community Development	Public Services	CDBG: $3,505,044	Public service activities other than Low/Moderate Income Housing Benefit (Persons Assisted)	833
							Public service activities for Low/Moderate Income Housing Benefit (Households Assisted)	7,495
6	Maintain or increase public facilities	2019	2020	Non-Housing Community Development	Public Facilities	CDBG: $2,253,242	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit (Persons Assisted)	409
							Public Facility or Infrastructure Activities for Low/Moderate Income Housing benefit (Households Assisted)	20,035

Table 4 – Goals Summary

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Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)

The state anticipates providing affordable housing to approximately 573 extremely low-income to moderate-income families from the proposed construction/addition or rehabilitation of both rental and homeowner housing units.

Goal Descriptions *(Note: goals below are determined by the 2015-2020 Consolidated Plan)*

1	**Goal Name**	**Increase the supply of affordable rental housing**
	Goal Description	Activities to address this goal may include CDBG rental rehabilitation, CDBG infrastructure projects in support of rental housing, HOME rental new construction and rental rehabilitation projects and LHCP rental rehabilitation activities. (HOME Tenant-Based Rental Assistance (TBRA) activities are discussed with homelessness assistance below.) CDBG planning and Technical Assistance (TA) grants associated with rental activities may also be eligible. For CDBG, "Moderate Income" does not exceed 80 percent Area Median Income (AMI). This year, all NHTF assisted units will be for those at or below 30 percent AMI or below the poverty line; whichever is greater.
2	**Goal Name**	**Expand homeownership and improve existing housing**
	Goal Description	Activities to address this goal may include the following: CDBG homeowner acquisition and homeowner rehabilitation; CDBG infrastructure projects in support of homeowner housing; HOME low-income, first-time homebuyer, new construction acquisition with or without rehabilitation, and owner-occupied rehabilitation, and LHCP homeowner rehabilitation. CDBG planning and TA grants associated with this activity may also be eligible. For CDBG, "Moderate Income" does not exceed 80 percent AMI.
3	**Goal Name**	**Provide homeless assistance & prevention services**
	Goal Description	Activities to address this goal may include all homeless assistance and prevention activities eligible under the ESG and HOPWA programs, as well as HOME tenant-based rental assistance activities.

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4	**Goal Name**	**Increase economic development opportunities**
	Goal Description	Activities to address this goal may include CDBG-funded economic development through assistance to local businesses and low-income microenterprise owners to create or preserve jobs for low-income workers in rural communities, as well as planning and evaluation studies related to any activity eligible for these allocations. Planning and TA grants associated with this activity may also be eligible. For CDBG, "Moderate Income" does not exceed 80 percent AMI.
5	**Goal Name**	**Maintain or increase public services**
	Goal Description	Activities to address this goal will include public service activities supported with CDBG funds. For CDBG, "Moderate Income" does not exceed 80 percent AMI.
6	**Goal Name**	**Maintain or increase public facilities**
	Goal Description	Activities to address this goal will include public facilities' acquisition, construction, or rehabilitation supported with CDBG funds and general community infrastructure projects. Planning and TA grants associated with this activity may also be eligible.

Table 5 – Goal Descriptions

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AP-25 Allocation Priorities – 91.320(d)

Introduction:

The percentages below are based on the expected amount of funds that will be awarded by each program for federal Fiscal Year (FY) 2019 for eligible activities that fall within that goal. The expected amounts to be awarded are in the column "Total Available in Year 5" (FY 2019) found in Table 5 in Annual Action Plan Section 15 (AP-15). This total includes any program income and prior year resources expected to be awarded in FY 2019. The expected amounts to be awarded towards each goal are typically based on actual applicant demand for activities within that goal from the prior Notice of Funding Availability (NOFA) year. For this reason, in some instances, the percentages below may be under the minimums allowed for a particular activity pursuant to statute or regulation.

Note: Community Development Block Grant (CDBG) Colonias percentage is listed below at 5 percent, but this amount would otherwise be reflected in the amounts available under the housing or infrastructure goals. The 4.5 percent projected for public facilities includes general infrastructure projects. Amounts for infrastructure projects connected to a particular housing project ("in support of housing") are reflected in the renter and homeowner goals.

The amount for the HOME Investment Partnership program (HOME) under "homelessness assistance and prevention" reflects the total amount of HOME Tenant Based Rental Assistance (TBRA) funds projected to be awarded for the 2019 HOME NOFA. For purposes of the goals reflected below, HOME TBRA is considered a homelessness assistance or prevention activity.

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Funding Allocation Priorities

Program	Increase the supply of affordable rental housing (%)	Expand homeownership and improve existing housing (%)	Provide homeless assistance & prevention services (%)	Increase economic development opportunities (%)	Maintain or increase public services (%)	Maintain or increase public facilities (%)	Colonias Set-Aside (%)	Total (%)
CDBG	43.5%	10%	0	30%	7%	4.5%	5%	100%
HOME	55%	35%	10%	0	0	0	0	100%
NHTF	100%	0	0	0	0	0	0	100%
ESG	0	0	100%	0	0	0	0	100%
HOPWA	0	0	100%	0	0	0	0	100%

Table 6 – Funding Allocation Priorities

Reason for Allocation Priorities

CDBG – Actual allocation percentages may vary from Table 7 – Funding Allocation Priorities. After administration costs are subtracted and mandatory federal and state allocations are calculated, activity funding is based on the demand for each activity as reflected in each year's application submittals. Federal law requires an allocation of up to 10 percent for eligible Colonia communities. The California Department of Housing and Community Development (HCD) has traditionally set aside 5 percent for eligible Colonia communities, however, the specific amounts will be identified in the NOFA. Federal law requires that not more than 15 percent of CDBG funding be provided for public service activities each year. State allocations include a 1.25 percent allocation for non-federally recognized Native American communities and 30 percent be allocated for economic development activities. If the demand for these allocations in a given NOFA cycle is not sufficient, then the balance of funds will revert to the general pool of funds.

State statute requires that at least 51 percent of all available funds be allocated for applications to fund housing and housing-related activities (housing-related includes public improvements and public improvements in support of construction of new housing activities).

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As with the economic development allocation, if the demand for housing funds in a given NOFA cycle is not sufficient, the balance of funds reverts to the general pool of funds to be awarded to other eligible projects and programs. In addition to allocations and funding level criteria described above, HCD will monitor general administration expenditures to ensure compliance with the federal expenditure cap rate and ensure a minimum expenditure rate on activities meeting the national objective of benefitting at least 70 percent of low/moderate-income individuals in compliance with the federal regulation.

CDBG-National Disaster Resilience (CDBG-NDR) - HUD has awarded the state $70,359,459 for three activities tied to the 2012 Rim Fire disaster in Tuolumne County. The state was awarded: (1) $28,604,459 for the Forest and Watershed Health Program (FWHP); (2) $22,000,000 for a biomass utilization facility (BUF); and (3) $19,755,000 for at least one Community Resilience Center.

For more information, please see HCD's CDBG-NDR Action Plan on HCD's website at http://www.hcd.ca.gov/community-development/disaster-recovery-programs/ndrc.shtml.

HOME - HOME-funding allocation priorities are based on demand by program applicants for categories of activities. In addition, state HOME regulations establish a minimum allocation of 40 percent for First Time Homebuyer (FTHB) mortgage assistance, Owner Occupied Rehabilitation (OOR), and TBRA (i.e., program activities), and a 5 percent minimum allocation for FTHB new construction or rehabilitation/conversion activities (i.e., FTHB projects). For FY 2019, in the table above, the 55 percent allocation for renters reflects anticipated demand for rental new construction or rehabilitation projects.

The 10 percent for homeless assistance and prevention activities reflects anticipated demand for HOME TBRA activities for FY 2019 due to HUD allowing unit inspections and income determinations as eligible project-related soft costs for TBRA, as well as an anticipated increase in the need for TBRA due to lack of other rental assistance, continued focus on Rapid Re-housing (RR) for homeless households, or assistance provided as a result of local, state, or federally declared disasters.

NHTF – Per 2017 Assembly Bill 74, NHTF funding allocation priorities are based on the state's current homeless crisis. Per Title 24 Code of Federal Regulations, §93.250, all NHTF will target homeless or households that are extremely low-income.
HCD will allocate these NHTF funds competitively to developers for operating reserve grants and capital loans for new Supportive Housing opportunities to assist the Housing for a Healthy California (HHC) program's Target Population. Target Population means a person who is Chronically Homeless or is Homeless and a High-cost health user, as defined by HCD's HHC program guidelines located on HCD's website at http://www.hcd.ca.gov/grants-funding/active-funding/hhc/docs/HHC-Final-Guidelines.pdf. HCD will maximize NHTF's deep targeting requirements by devoting 100 percent of its NHTF allocation

to the production of new rental housing units. Increasing the supply of rental housing is a priority need in the state's Consolidated Plan.

ESG - With the redesign of the ESG program, HCD has moved its focus from provider competition to a more formula-based method of distribution for Local Continuums of Care (CoC) Service Areas (SA), using a formula that utilizes Point-in-Time count (PIT), poverty rate, and renter cost burden data for extremely low-income households. (See AP-30 for more information on methodology).

HOPWA - A statutory goal of HOPWA is to prevent or alleviate homelessness among persons living with HIV. CDPH/OA allocates HOPWA funds through a formula process based on the most recent reported HIV and AIDS cases by county. This allocation formula was developed to ensure equity of funding to all non-Eligible Metropolitan Statistical Areas (EMSA) of California while allocating proportionately larger amounts to the communities most impacted by HIV/AIDS. To promote the use of HOPWA funds for housing assistance activities, the California Department of Public Health, Office of AIDS has limited supportive services activities to 20 percent of a project sponsor's allocation.

Provide a brief narrative on how the use of funds will contribute to achieving the goals set forth in the ConPlan

The proposed distribution of funds address all of our priority need housing areas to the extent that this is driven by applicant demand for these activities and federal and state statutory or regulatory requirements for the use of the funds.

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AP-30 Methods of Distribution – 91.320(d)&(k)

Introduction:

The 2019-2020 program year will be the first year under the Community Development Block Grant (CDBG) redesign guidelines and method of distribution. The CDBG guidelines will be released in the summer of 2019 and are closely aligned with U.S. Department of Housing and Urban Development (HUD) regulations. The guidelines are designed to protect the few state overlay requirements identified in state statute while relying on HUD regulations to guide program implementation. The new method of distribution is intended to improve expenditure rates by clarifying application requirements and setting transparent standards of eligibility and readiness. As the new method of distribution is substantially different from the method approved in the 2015-2020 Consolidated Plan (ConPlan), the California Department of Housing and Community Development (HCD) is preparing a substantial amendment to the 2015-2020 ConPlan that will identify the new method of distribution and meet HUD's citizen participation requirements.

Describe the state program addressed by the Method of Distribution:

In 1981, Congress amended the Housing and Community Development Act of 1974 to give each state the opportunity to administer CDBG funds for nonentitlement areas. Nonentitlement areas include those units of general local government that do not receive CDBG funds directly from HUD as part of the entitlement program (Entitlement Cities and Urban Counties). Nonentitlement areas are cities that have populations of less than 50,000 (except cities that are designated principal cities of Metropolitan Statistical Areas), and counties with populations of less than 200,000. The primary objectives of the CDBG program are the development of viable communities through the provision of decent housing and suitable living environments, and the expansion of economic opportunities. Pursuant to federal law, at least 70 percent of state CDBG funds must benefit persons/households with incomes of less than 80 percent of Area Median Income (AMI), adjusted for family size. This is known as the Low/Mod Income Group as defined in Title 24 Code of Federal Regulations (CFR), §570.483, within the discussion of required national objectives. The state CDBG program uses a Notice of Funding Availability (NOFA) application process where eligible cities and counties apply for funding awards. Federal requirements mandate that the state identify an allocation for Colonias from the CDBG allocation, up to 10 percent. California allocates 5 percent each year.

Additionally, state statute requires that at least 51 percent of HCD's CDBG allocation from HUD be made available to housing and housing related activities; 30 percent allocation for Economic Development (ED) projects and programs; and at least 1.25 percent of the total amount of funds shall be allocated for eligible Native American activities benefiting nonfederally

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recognized Native American communities or tribes. These allocations are intended to encourage program participation by limiting competition for funding to like programs and projects. If there is insufficient subscription to any given allocation during the NOFA application period, the funds in that allocation are released to the general pot of funds to be awarded to other eligible programs and projects.

The CDBG redesign guidelines will replace the state CDBG regulations as the guiding documentation for the state CDBG program, as allowed by state statute. The CDBG regulations included a method of distribution that drove the bulk of the program funds into competitive application processes, with detailed ranking and rating criteria intended to be used with competitive grant applications. Only specific types of economic development projects could be submitted for over-the-counter consideration, outside of the standard competitive process. The established competitive process was identified as one of the factors impacting poor program expenditures, particularly regarding capital projects with long predevelopment phases, as applicants were required to apply for funding by NOFA deadlines instead of when the project was ready for construction. The new method of distribution proposed in compliance with the new CDBG guidelines changes the method of distribution to use an over-the-counter process for capital projects, including economic development, public infrastructure, public infrastructure in support of housing, multifamily housing rehabilitation, and public facilities. Programs, such as single-family housing assistance and economic development programs, public services, as well as planning grants will still be competitive, with clear eligibility thresholds and transparent scoring criteria.

The CDBG redesign effort had multiple goals. Improving expenditure rates and program performance were key priorities, however, additional goals include increasing program participation among the eligible low-income communities and increasing public participation and program transparency. The new method of distribution is intended to help address these goals as well by connecting the NOFA and grant award process more closely with the AP development and by using established consolidated plan processes to better identify goals and priorities. HCD will be preparing a new ConPlan for the 2020-2025 planning period, and the method of distribution proposed through the CDBG redesign effort will be featured in the upcoming ConPlan cycle.

Describe all of the criteria that will be used to select applications and the relative importance of these criteria

The 2019 program year will be using a new method of distribution that includes two ways that jurisdictions may apply for funding. Programs, services, and planning grants will be scored competitively while projects will be accepted, reviewed, and awarded over-the-counter. The competitive applications will use a very similar scoring criteria to prior grant cycles. All applications will be required to meet federal and state overlay criteria to be eligible for application review. The summary

criteria below identifies the competitive criteria first, and then the over-the-counter criteria. Threshold criteria are discussed later in this document.

Competitive Program and Planning Applications – Competitive application will be used to rate and rank programs for single-family housing assistance, economic development, public services, and general planning activities.

Need – HCD will assign points based on the seriousness of the locality's community development needs, and the impact the program will have on those needs. Need will be determined based on HUD-provided community data and third-party proofs.

Readiness – Readiness points will be assigned to the proposed activity as demonstrated by successful operation of an ongoing activity, a completed program implementation plan, local government approvals and/or agreements (if applicable), contracts with program operators (if applicable), approved and adopted program guidelines, and sufficient funding to complete the program as applicable.

Jurisdictional Capacity and Past Performance – Points will be awarded for capacity to implement the proposed activity, as demonstrated by performance on past grant-funded activities, including timeliness of clearance of general and special conditions, program income and prior CDBG program reporting, and success in clearing audit and monitoring findings. Additional capacity criteria will include the status of outside contracts, clearly identified administrative personnel, and commitments to program timelines and milestones.

State Objectives – HCD may award application points for addressing state objectives as identified in the annual CDBG NOFA. HCD will include state objective points for one or more of the following HCD priority objectives: 1) access to opportunity (e.g. public outreach, diversity of investment in areas of low opportunity, and investment in areas of high opportunity); 2) homelessness; and 3) disaster resiliency long-term planning to incentivize local jurisdictions to incorporate hazard mitigation, and disaster resiliency planning. More clarification on these HCD priorities will be provided in the NOFA. For the 2019 NOFA, it is HCD's goal to increase state objective points for CDBG.

The application process for the competitive grants will include transparent self-scoring so that applicants can assess whether their program or service is competitive for funding and where additional points might be obtained through additional readiness or capacity efforts. Applicants will be strongly recommended to submit only their highest rating programs and services and use the planning grants to build capacity and advance readiness for programs and services that are farther behind in readiness and/or capacity.

Over-the-Counter Project Applications – Over-the-counter applications will be used to award funds to eligible capital projects, including economic development, multifamily housing rehabilitation, public infrastructure, public improvements in support of housing, and public facilities.

Project Readiness – Projects will be required to meet clear readiness criteria to be considered for funding. Projects may be submitted over-the-counter any time during the application period, however, each project will be ranked according to how close the project is to breaking ground.

Projects that are ready to prepare construction bid documents and that can commit to a fixed construction timeline will be identified as Tier One projects. Tier One projects must have completed environmental clearance, site control, local approvals, design documents, and other criteria necessary for projects to be shovel-ready. Tier One projects will be awarded funding as it is available.

Projects that have completed part of the project predevelopment, but do not meet Tier One readiness will be identified as Tier Two projects. Tier Two projects will have completed environmental clearance and partially completed or at least initiated site control, design documents, local approvals, and other criteria as applicable, but are still six months to a year away from Tier One readiness. Tier Two projects will be eligible for over-the-counter predevelopment funding to help them complete the necessary predevelopment activities and be ready for a subsequent over-the-counter submittal for full activity funding.

Projects that do not meet Tier Two readiness, have been conceptualized, but have not begun the site control, environmental clearance, design, or local approval process will be identified as Tier Three projects. Tier Three projects will be encouraged to apply for a competitive planning grant to support project predevelopment and complete required criteria to meet Tier Two or Tier One project readiness. Tier Three projects will not be considered for activity funding.

The application process will help applicants identify what tier describes their projects so that they can make strategic decisions about their specific project needs and the best application process prior to submittal. Creating clear over-the-counter criteria will improve program transparency and increase expenditures by prioritizing projects that are ready to begin construction, while providing a path to readiness for projects still in predevelopment.

Jurisdictional Capacity and Past Performance – Applications will be evaluated to identify the capacity to implement the proposed activity, as demonstrated by performance on past grant-funded activities, including activity reporting and timely semi-annual program income reports, and success in clearing audit and monitoring findings. Additional capacity criteria will

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include the status of outside contracts, clearly identified administrative personnel, and commitments to project timelines and milestones. Over-the-counter applications will also assess progress toward state objectives as part of the capacity and past performance evaluation.

All project programs, services, and planning efforts will be expected to adhere to budgets, deliverables, and milestone tracking identified during the application process. Failure to meet these expectations will adversely impact future applications and may result in full grant recovery and reallocation.

If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)

CDBG - The specific scoring breakdowns within the competitive application scoring categories vary based on the activity. A description of the specific criteria and associated points will be published in the applicable NOFA. Additional detail about the over-the-counter process, including threshold and readiness review procedures, will also be included in the NOFA. The NOFA will be made available on HCD's CDBG webpage at http://www.hcd.ca.gov/grants-funding/active-funding/index.shtml.

HCD publishes all CDBG application materials, NOFA, applications, appendices, and instructions, on HCD's website shown above. In addition, HCD releases NOFAs by sending out an electronic notification to eligible jurisdictions, nonprofits, and consultants on the interested parties list. The notice has links to the NOFA and application documents on the webpage. Additional notices are provided to inform interested parties about NOFA/application workshops held throughout the state.

As the new method of distribution is a substantial change from prior years, HCD will also be preparing new applications, instructions, and training materials to help jurisdictions understand the new processes. These materials will be available online and will include opportunities for feedback to make sure application documents are accessible and that criteria, especially threshold and readiness criteria, are not subject to arbitrary interpretation or subjective decision-making. HCD plans to roll out both the new CDBG guidelines as well as the improved grant application process with substantial outreach to stakeholders and training for potential applicants.

CDBG-NDR – All application documents can be found at: http://www.hcd.ca.gov/community-development/disaster-recoveryprograms/ndrc.shtml. The NDR page has been redesigned to accommodate all Disaster Recovery Grant Reporting (DRGR) Quarterly Progress Reports and other reporting requirements. The navigation function has been designed so the reports and information are easily found and accessible.

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Describe how resources will be allocated among funding categories

Pursuant to state CDBG guidelines, funding allocations are based on demand once state administration funding amounts and state and federal allocated amounts have been accounted for. The allocation for Colonias is determined based on a 5 percent allocation, and at least 1.25 percent of the total amount of funds shall be allocated for eligible Native American activities benefiting nonfederally recognized Native American communities or tribes. The 30 percent allocation for economic development will be made available for competitive economic development programs and planning, and over-the-counter economic development projects. The balance of funds will be made available for community development activities, including the 51 percent allocation for housing related activities. If there is insufficient demand for the activities under the allocations, the funding will revert to the general pool of funds to be awarded to eligible applicants. Public service activities will be capped at 15 percent and planning and administration allowances will be budgeted to stay within the 20 percent cap required by HUD. See table 6 for additional detail for how resources will be allocated. Approximately 30 percent of 2019 funds are expected to be allocated competitively, with the remainder allocated over-the-counter. However, actual allocations will be distributed based on the eligible and qualified applications HCD receives.

Describe threshold factors and grant size limits

Source	Threshold Requirement
Federal	Debarment Check - Applicant must document they are not on the Federal Excluded Parties list.
Federal	Statement of Assurances - Must be included in the application and signed by the Chief Executive Officer.
Federal	Compliance with 2 CFR Part 200 - Signed certification required.
Federal	Citizen Participation - Applicant must meet all Public Hearings/Citizen Participation requirements.
Federal & State	Single Audit and/or Monitoring - Any single audit or program monitoring findings made by the state or HUD have been submitted to HCD on time.
State	Housing Element - Applicant must be in substantial compliance as approved by HCD by the application deadline.

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State	Growth Control – The applicant has not enacted limitations on residential construction which included limitations other than establishing agricultural preserves to limitations imposed by another agency, or based on health and safety needs, and the limitations meet the CDBG program regulations.
State	Resolution of Governing Body - Applicant must include a resolution from the appropriate governing body.
State	50 Percent Expenditure Rule - Applicant must have expended at least 50 percent of all CDBG funds awarded in the past five years for programs, planning, and public service applications. The 50 percent rule does not apply to over-the-counter projects.
State	Noncompliance - Any funds due to the state for repayment of noncompliance items have been repaid, or the grantee has entered into a satisfactory repayment agreement, and payments are current.
State	Reporting - An applicant cannot have any overdue semi-annual or annual grant reports (overdue is anything after 30 days after the due date). In the initial year of the redesigned CDBG program, the look-back will be one year. In the second and subsequent years, the look-back will be two years.
State	Program Income - Applicant must be in compliance with the Program Income Reuse Agreement if the grantee has undertaken activities that could generate program income, including program income funded activities.

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Applications must meet the state guidelines threshold requirements at time of submittal.

Grant size limits: For the 2019 NOFA, grant size limits will be in the applicable NOFA and may change as the size of the CDBG allocation changes.

What are the outcome measures expected as a result of the method of distribution?

HCD expects to improve the CDBG program's low expenditure rate and reduce high unspent program income balances. The new Method of Distribution (MOD) includes an Over-the-Counter (OTC) application process for capital improvement projects, including multifamily rehabilitation and public infrastructure and facilities, that focuses on project readiness as a funding threshold, and that includes planning and predevelopment grants intended to help communities create a shovel-ready project

pipeline, and redesigns the competitive process to incorporate transparent scoring with clear requirements for eligible projects.

a. Federal ESG Program:

Describe the state program addressed by the Method of Distribution

As authorized by McKinney-Vento Homeless Assistance Act, Subtitle B, Title IV (42 U.S.C. 11371-11378) and the federal Homeless Emergency and Rapid Transition to Housing Act (HEARTH) Act, 100 percent of Emergency Solutions Grant (ESG) fund activities must address homelessness. HCD's ESG program is distributed by formula to two separate allocations, Continuum of Care (CoC) and Balance of State (BoS). CoC allocations contain a city or county that receives ESG funds directly from HUD. BoS funds are allocated to Service Areas (SA) that do not contain a city or county that receives ESG funds directly from HUD.

The ESG program aims to do the following: align with local systems' federal ESG and HEARTH goals; invest in impactful activities based on key performance goals and outcomes; improve geographic distribution of funded activities and continuity of funded activities, and create a streamlined delivery mechanism. Pursuant to current state regulations, eligible applicants are local governments and nonprofit corporations. Federal ESG funding will continue to be directed towards nonentitlement areas throughout the state; however, under the redesigned program, funding can be used in entitlement areas.

As discussed earlier, the program utilizes a formula-based method of distribution for CoC and BoS SA, using a formula that considers homeless PIT count, poverty rates, and extremely low-income household renter cost burden data. All of ESG's federal funds go to address homelessness and may be used for Emergency Shelter (ES), Homeless Prevention (HP), Street Outreach (SO), Rapid Re-housing (RR), and the Homeless Management Information System (HMIS) (24 CFR §576.100). Federal regulations cap the amount that can be used for ES/SO at 60 percent, and HCD generally limits the amount that can be received for HMIS per application to no more than 10 percent of the available funds. RR will remain the priority for ESG funds with a minimum required 40 percent used towards RR. HP funds within the BoS allocation are also limited to 10 percent of an application amount, and must be combined with requests for ES or RR.

Describe all of the criteria that will be used to select applications and the relative importance of these criteria.

As stated above, ESG funds will be allocated to two separate funding pools; the CoC allocation and the BoS allocation.

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Within the CoC allocation, local government Administrative Entities (AEs) will select applications for funding pursuant to the criteria set forth in ESG regulations, California Code of Regulations (CCR), Title 25, Division 1, Chapter 7, Subdivision 20, Section 8403(g), and administer ESG contracts. Further discussion of the CoC allocation is provided in the narrative below.

Under the BoS allocation, for the 2019 funding round, up to 50 percent of the funds will be available noncompetitively to each BoS SA. The remaining balance will be available within the three-regional competitive allocations set forth under ESG Regulations, Section 8404(a)(3). HCD will select providers for funding according to the application eligibility criteria set forth under Section 8406 and the application rating criteria set forth under Section 8407. Further discussion of the BoS allocation is also provided in the narrative below.

CoC Allocation

Within the CoC allocation, AEs will be selected by HCD to administer an allocation of funds provided pursuant to the formula factors set forth under Section 8402 of the ESG Regulations. These AEs must be local governments of ESG Entitlement Areas and must commit to administering ESG funds, in collaboration with their CoC, throughout their CoC SA, including ensuring access to ESG funds by households living in nonentitlement areas. AE and CoC qualifications are set forth in state ESG Regulation Section 8403(d) and (e). A minimum of 40 percent of each AE ESG allocation must be used for RR activities.

HCD will enter into a contract with the AE, and the AE will contract directly with its selected providers. The AE shall collaborate with the CoC in administering an application selection process which complies with Section 8403 (g) of the ESG regulations. The process must: (1) be a fair and open competition which avoids conflicts of interest; (2) follow procurement requirements of 24 CFR Part 200; (3) evaluate provider capacity and experience, including the ability to deliver services in nonentitlement areas; (4) evaluate eligibility and quality of services, including adherence to Core Practices pursuant to ESG regulations section 8409; (5) utilize data and consider community input to identify unmet needs; (6) prioritize activities that address the highest unmet need, considering other available funding and system-wide performance measures; and (7) consider project-level performance measures when evaluating proposals.

An AE can also enter into an agreement with a geographically contiguous CoC in the BoS allocation to administer 100 percent of the funding attributable to both CoC SAs for RR activities in accordance with section 8403(a)(1) of the ESG regulations.

BOS Allocation

Noncompetitive allocation

CoC in the BoS allocation are those that have no ESG entitlement jurisdictions within their CoC SA. Within this allocation pool, CoC may select providers to receive a portion of funds available under the formula allocation noncompetitively for RR. HCD

will administer these contracts with providers. In recommending providers for these funds, the CoC shall follow a process that meets the requirements of ESG Regulation Section 8404(a) (2). The current limits on the percentage of funds and number of contracts that can be accessed noncompetitively for RR are set forth on the following pages under the heading “Minimum and maximum percentage of an ESG allocation that can be accessed noncompetitively for Rapid Re-housing”.

Competitive allocation

Funds available through the competitive allocation are divided up into three geographic regional allocations (Northern Region, Bay Area Region, and the Central and Imperial Valley Region). Programs within each of these regions apply for the funds. Applications are evaluated based on the following competitive application rating criteria:

a) Applicant Experience (20 points) - An evaluation of length of experience and prior ESG program performance.

b) Need for Funds (10 points) - Whether the application activity and subpopulation targeting, if any, meets a high need for the community as identified by the CoC in a manner that is consistent with the requirements of ESG regulations section 8409 (required Core Practices).

c) Program Design (20 points) – The quality of the proposed program in delivering eligible activities to participants consistent with the Written Standards of the CoC and Core Practices as set forth under ESG regulations section 8409.

d) Impact and Effectiveness (30 points) – The Impact and Effectiveness measures for FY 2019-20 are discussed on the following pages under the heading “**HMIS Project and System-Level Impact and Effectiveness Performance Metrics.”**

e) Cost Efficiency (10 points) - Using HMIS data from the most recent ESG contract year, applications will be evaluated based on the average cost per exit to permanent housing based on the total ESG project budget and the number of exits to permanent housing.

Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and nonprofit organizations, including community and faith-based organizations (ESG ONLY)

ESG funds will be distributed to local governments and nonprofit homeless service providers in two separate funding allocations pursuant to the formula set forth under section 8402 of the ESG regulations: 1) the CoC allocation and 2) the BoS allocation. See above for a discussion of these two allocations.

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Describe how resources will be allocated among funding categories.

Federal ESG regulations cap the amount that can be allocated to eligible projects under the SO and ES components at 60 percent of HCD's annual federal ESG allocation. Individual homeless service providers may propose uses of funds in accordance with their needs subject to the above restriction, as well as the following limitations:

CoC allocation

Within the COC allocation, ESG regulations section 8403(i) requires that not less than 40 percent of the CoC allocation awarded by an AE be for RR except if funds are administered by an AE for two contiguous SAs. In this scenario, 100 percent of the funds must be used for RR. HMIS is limited to 10 percent per application under state regulations section 8408.

BoS allocation

BoS must use a minimum of 40 percent and a maximum of 50 percent of their Noncompetitive allocation for RR. BoS Homelessness Prevention funds are limited to 10 percent per application and can only be used in combination with an RR or ES application. If applied for as part of a noncompetitive RR application, SO funds are limited to 10 percent per application. (State regulation section 8404(b)). HMIS is limited to 10 percent per application under state regulations section 8408.

As permitted by the ESG State Regulations, the following additional limits apply: Caps on amounts available under the formula (section 8402 (d))

No one CoC SA may receive more than 10 percent of the total amount of funds available under the allocation formula in a given funding round.

Amounts available for Administrative Activities and Indirect Cost Allocation (section 8402(a) and 8404(b))

ESG Administration - AEs under the CoC allocation may receive approximately 2.6 percent of their formula allocation for Administration as defined in the federal regulations. For the BoS allocation, grant Administration of up to $200 per application may be requested by local government service provider applicants per 24 CFR §576.108. No other Administration funds will be provided for the CoC or BoS allocations.

Homeless Service Provider Indirect Cost Allocation

CoC allocation: As permitted by the applicable AE, homeless service providers receiving funds from an AE under the CoC allocation may charge an indirect cost allocation as calculated pursuant to 24 CFR 576.109.

BoS allocation - Homeless service providers funded by HCD through the BoS allocation are eligible to receive an indirect cost allocation as calculated pursuant to 24 CFR 576.109.

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Eligible Activities (8403 (h) 8408 (b))

Pursuant to ESG regulation Section 8408, all activities permitted under the federal ESG regulations shall be eligible except for renovation, conversion, or major rehabilitation activities pursuant to 24 CFR 576.102. Minor repairs to an ESG-funded Emergency Shelter (ES) that do not qualify as renovation, conversion, or major rehabilitation are an eligible use of HCD's ESG funds.

Pursuant to ESG regulation Section 8408 (b), the following additional limitations apply to ESG activities funded from the CoC allocation:

a) Where HCD has approved an AE to administer ESG funds, a minimum of 40 percent of the SA allocation shall be used for RR.
b) AEs partnering with a neighboring CoC from the BoS allocation must award 100 percent of both SA formula allocations to RR (§ 8403(a) (1)).
c) In the absence of an approved AE, 40 percent of a CoC SA formula allocation may be accessed noncompetitively for RR. Up to two applications may be recommended by the CoC for RR. These applications and contracts will be administered by HCD. The remainder of the funds will be distributed through the formula to the BoS allocation.
d) Up to 10 percent of an AE SA allocation may be used for HMIS activities.

Pursuant to ESG regulation Section 8408 (b), the following additional limitations apply to ESG activities funded from the BoS allocation:

a) Up to 50 percent of a BoS SA allocation may be accessed through the noncompetitive allocation.
b) A minimum of 40 percent and a maximum of 50 percent of a BoS SA allocation must be used for RR.
c) SO can be submitted as a stand-alone activity, or in combination with an ES or RR application in the competitive allocation. If submitted in combination with an RR activity through the noncompetitive allocation, the SO portion cannot exceed 10 percent.
d) Up to 10 percent of a BoS SA allocation may be used for HP activities in combination with an ES or RR application.
e) Up to 10 percent of a BoS SA allocation may be used for HMIS activities. HMIS funds may be requested as part of an application for another eligible activity.

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HMIS Project and System-Level Impact and Effectiveness Performance Metrics (8407 (a) (4))

Project-Level Performance Metrics Data

CoC allocation: AEs may establish their own project-level HMIS performance metrics by which to evaluate applications submitted to them for ESG funds.

BoS allocation: Scoring for the Performance Outcomes in the Impact and Effectiveness rating factor will be evaluated using data from HMIS from October 1, 2018- September 30, 2019, or, for those projects not in operation during this entire time period, the most recent 12-month period. For data coming from Victim Service Providers (VSP), data from a HUD-compliant comparable database may be used.

The project level measures are as follows: (1) Average length of project participation for individual leavers and (2) leavers exiting to permanent housing. Scores assigned will be based on relative success rate. For project-level performance metrics, programs of the same activity type (i.e. SO, ES, RR) will only be compared against programs of that same activity-type.

System-Level Performance Metrics data

CoC allocation: System-level performance metrics within SAs under the CoC allocation will be monitored by HCD at a later date.

BoS allocation: In the 2019 funding competition - Reports submitted by the CoC must be consistent with HUD's 2018 System Performance Measures. Data for **Measures 1, 2, 3, 5, and 7** must be submitted to HCD and scoring will only be based on the CoC's ability to produce the information.

In the 2020 funding competition, six points would be awarded if a CoC Service Area showed improvement in either of these two measures over the 12-month period ending September 30, 2018.

1) The Change in Exits to Permanent Housing, (Measure 7b1, or as may be renumbered by HUD), or
2) Increases in the number of Chronically Homeless served, as measured under Metric 3.917, or as may be renumbered by HUD

Minimum and maximum percentage of an ESG allocation that can be accessed noncompetitively for Rapid Rehousing (8403 (a) (2) 8404 (a) (2) (F)

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CoC allocation:

a) Where HCD has approved an AE to administer ESG funds, a minimum of 40 percent of the SA allocation shall be used for RR.
b) AEs partnering with a neighboring CoC from the BoS allocation must award 100 percent of both SA formula allocations to RR.
c) An approved AE will administer funding for the SA which it is located and will also be eligible to administer funds for geographically contiguous CoCs that are eligible to receive funds under the BoS Allocation and that agree to administration of their allocation by the AE. One hundred percent of both allocations will be used for RR activities.
d) In the absence of an approved AE, 40 percent of a CoC SA formula allocation may be accessed noncompetitively for RR.
e) Up to two applications may be recommended by the CoC for RR. These applications and contracts will be administered by HCD.

BoS allocation:

A minimum of 40 percent and a maximum of 50 percent of a BoS SA allocation shall be used for RR. See the heading "Maximum number of applications, contracts, and subcontracts" for a discussion of the number of applications that can be submitted within each BoS SA.

Minimum and Maximum Grant Limits

CoC allocation: Where there is an approved AE, AEs will be responsible for setting any minimum and maximum grant amounts since they will be evaluating provider applications and managing these contracts. HCD will be monitoring AEs to ensure that they can effectively manage the number of awards they make.
BoS allocation:
Noncompetitive allocation – no individual application minimum and maximum grant amounts will be established by HCD for this allocation. The amount of each individual application for available funds within this set-aside shall be determined by the CoC.

Competitive allocation - each application submitted must be for a minimum of $75,000 and a maximum of $200,000. These amounts include amounts requested for all eligible activities, including HMIS and Indirect Costs.

Maximum number of applications, contracts, and subcontracts

CoC allocation:

Within the CoC allocation where there is an approved AE, AEs will be responsible for setting any limits on the number of applications received and contracts or subcontracts funded, since they will be evaluating provider applications and managing all contracts and subcontracts. HCD will be monitoring AEs to ensure that they can effectively manage the number of awards, contracts, and subcontracts they have.

BoS allocation:

Within each allocation, CoC Service Areas comprised of a single county, no more than two applications may be recommended by the CoC and submitted to HCD. No more than two contracts per CoC Service Area will be awarded by HCD.

Within each allocation, CoC Service Areas comprised of more than one county, no more than three applications may be recommended by the CoC and submitted to HCD. No more than three contracts per CoC Service Area will be awarded by HCD.

Subcontracts

There are two types of subcontracting which are permitted under ESG.

a) Subcontracting for services provided to the funded program. Procuring services related to carrying out the funded program is permissible.
   - Examples: *security, supportive services, food services. Federal procurement rules may apply*.

b) Applications that contain multiple ESG-eligible activities as permitted under the Annual Action Plan or NOFA may subcontract with separate providers for those activities. For example, RR programs that request funds for both RR and HMIS may subcontract to a different entity for their HMIS.

Note: Contracting with, or in any way assigning the ESG grant or grant funds in part or whole to another provider for any activity or activities that is/are not allowed or approved by HCD as part of the ESG funded program, is strictly prohibited.

Application packages that include provider recommendations are due to HCD as set forth in ESG NOFAs. HCD released NOFAs for the CoC and BoS allocations on March 25, 2019 at http://www.hcd.ca.gov/grants-funding/active-funding/esg.shtml.

Describe threshold factors and grant size limits

All funded activities must meet program eligibility criteria as set forth in ESG state Regulations section 8406 and this AP. Grant size limits are discussed above. Application threshold factors under the BoS regional competition are summarized below, pursuant to section 8406.

1. The applicant is an eligible organization and is recommended by the CoC;
2. The CoC meets the requirements of section 8404(a)(1);
3. The application proposes an eligible activity in the CoC SA consistent with section 8408;
4. A complete application is received by the deadline stated in the NOFA. HCD does have the authority to request missing information after the application deadline, but the application may be scored as initially submitted.

What are the outcome measures expected as a result of the method of distribution?

As stated previously, the method of distribution is designed to better align with local systems federal ESG and HEARTH goals. For fiscal year 2019-2020, ESG anticipates serving approximately 2,055 RR recipients, 7,127 persons with ES, and 144 persons with HP services.

c. State Program – HOME

Describe the State Program addressed by the Method of Distribution

The Home Investment Partnerships Program (HOME) provides funds for Project Activities (PJ) and Program Activities (PA) on a competitive basis through an annual NOFA.

Project Activities are activities with an identified site at the time of application for HOME funds. These activities include Rental New Construction or Rehabilitation projects and First Time Home Buyer (FTHB) New Construction or Rehabilitation/Conversion projects. Based on applicant demand, HOME allocates roughly 60 percent of its funds to project

activities on an annual basis, 55 percent to rental projects, and 5 percent to FTHB projects. Within the rental project allocation, HOME may offer additional Deep Targeting (DT) funds to rental projects to reduce private mandatory debt and facilitate more affordable rents.

Program Activities are activities without an identified site at the time of application for HOME funds, meaning that HOME applicants apply for a specified amount of funds, and once awarded, advertise their housing program(s), and provide these funds to individual low-income households that qualify for participation. Eligible program activities for FY 2019 funds include FTHB acquisition with or without rehabilitation, FTHB infill new construction, Owner Occupied Rehab (OOR), and Tenant-based Rental Assistance (TBRA). Based on applicant demand, HOME allocates roughly 40 percent of its funds to program activities on an annual basis.

Eligible applicants for HOME funds are local governments that do not receive a direct allocation of HOME funds from HUD (or participate in a HOME Consortium or a CDBG Urban County) and state certified HOME Community Housing Development Organization(s) (CHDOs) that provide housing in these localities. The state considers American Indian reservations or Native American lands to be within the boundaries of the applicable state HOME eligible jurisdictions listed in Appendix A. HCD is modifying existing state HOME regulations to allow Federally Recognized Tribes and Tribal Designated Housing Entities to apply directly for HOME funded program activities.

State HOME regulations require that a minimum of 50 percent of funds go to activities located in rural census tracts. Federal regulations require that a minimum of 15 percent of funds be allocated to CHDOs. TBRA funds can be used in all HOME eligible jurisdictions in the county where the funds were awarded, not just in the particular jurisdiction to which the funds were awarded. TBRA tenant leases cannot exceed 24 months, but can be renewed if additional TBRA funds are secured. In California, there are many market conditions that justify the use of HOME funds for TBRA, including tenant income compared to fair market rent and housing cost burden. State recipients may establish preferences pursuant to federal and state HOME requirements for use of HOME TBRA funds to serve victims of local, state, or federally declared disasters. Preferences for TBRA funds may also be established for tenants displaced if HCD determines that existing rental assistance will not be continued or renewed.

Additional preferences for special needs populations, including the needs of persons with mobility, sensory, mental health and intellectual disabilities, may be approved by HCD consistent with federal and state regulations and fair housing laws. Prior to approving any preferences in the use of TBRA funds, HCD will determine whether an unmet need exists for which the preference is necessary to narrow the gap in benefits and services received by such persons. Any TBRA preferences must be

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established under the jurisdiction's HOME TBRA guidelines and these guidelines must be approved by HCD. Before using HOME TBRA funds, the applicable TBRA requirements at 24 CFR §58 must also be met.

Describe all of the criteria that will be used to select applications and the relative importance of these criteria.
Below is a summary of the HOME rating criteria. For additional information, see section 8212 of the state HOME regulations at http://www.hcd.ca.gov/grants-funding/active-funding/home.shtml. (Note: in a federal, state, or locally declared emergency, the state may utilize an alternate method of distribution to enable funds to be allocated quickly to impacted areas.)

1) Housing Element Compliance (50 points) - Provides points to cities or counties with an adopted housing element that has been approved by HCD. Projects developed on American Indian reservations or Native American lands as defined by section 8201(y)(1) receive full points for this rating factor. CHDOs and newly formed cities receive full points in this rating category. 2) Direct Home allocation Declined (50 points) - HOME entitlement jurisdictions that have given up their HOME formula allocation to compete in the state HOME Program receive additional points. 3) Rural Points (50 points) - Activities proposed in rural census tracts receive additional points. 4) State objectives (up to 200 points) - HCD may award state objective points to one or more of the following: (a) applications that provide deeper affordability; (b) activities that can be set up and funded quickly; (c) applications that demonstrate expeditious or efficient use of HOME funds; (d) applications that can be funded in a manner which promotes capacity building and continuity of housing activities; (e) applications that target special needs populations, including the needs of persons with mobility, sensory, mental health and intellectual disabilities, as permitted under federal and state antidiscrimination and fair housing laws, HOME requirements; (f) applications that serve victims of local, state, or federally declared disasters, (g) applications that promote community revitalization of mobile home parks; (h) applications that promote geographic diversity; (i) applications that address fair housing impediments; and (j) activities that complement other state or federal programs or policy objectives.

HCD will also be providing state objective points for two of its three housing and community development priorities: 1) Homelessness and 2) Access to Opportunity. Further information and guidance on these additional state objective factors is in the NOFA.

<u>Additional Rating Factors for Program Activity Applications</u>: 1) Applicant Capability: (250 points) - Examines past performance on HOME contracts, as well as experience with other activities. Performance points may be deducted for failure to submit required reports in a timely manner and failure to cooperate with monitoring or contractual requirements identified by HCD in the last five years. 2) Community Need: (250 points) - Examines census data, such as poverty rates, age of housing stock, housing overcrowding, and home sales prices compared to median incomes in the locality. 3) Program Feasibility: (100

points) – For FTHB programs, this factor examines the financial feasibility of the activity at proposed sales prices, income targets, and assistance levels. For OOR programs, this factor examines feasibility as reflected through need by census data, such as overcrowding and age of housing stock. For TBRA programs, this factor examines feasibility as reflected through need by census data, such as renter overpayment for housing.

Additional Rating Factors for Project Applications: 1) Applicant Capability: (450 points) - Examines past performance on HOME contracts, as well as experience with other activities. Points may be deducted for any of the following: missing HOME performance deadlines in the last five years; failure to submit required reports in a timely manner; material misrepresentations of fact which jeopardize the HOME investment or put HCD at risk of a serious monitoring finding; and failure to cooperate with monitoring requirements identified by HCD in the last five years. 2) Community Need: (250 points) Examines census data, such as poverty rates, vacancy rates, age of housing stock, housing overcrowding, and home sales prices compared to median incomes in the locality. 3) Project Feasibility: (200 points) - Both rental and FTHB projects earn points based on the percentage of HOME-assisted units. Rental projects must demonstrate compliance with HCD's Uniform Multifamily Regulations (UMRs) and HOME requirements. FTHB projects must demonstrate the ability of the proposed project to meet HOME requirements, including demonstrating the adequacy of the proposed development budget, the market for the project, and the affordability of the project. 4) Readiness: (300 points) - Examines the project development plan, as well as the status of local government approvals, design progress, and financing commitments.

Describe how resources will be allocated among funding categories

Pursuant to state HOME regulations, a minimum of 40 percent will be allocated to program-activity applications, (FTHB, OOR, and/or TBRA activities), and 5 percent will be allocated to FTHB projects. Fifty-five percent of funds are typically available for rental project new construction or rehabilitation projects, based upon applicant demand in a given NOFA cycle.

Describe threshold factors and grant size limits

Grant size limits are in the applicable NOFA and may change as the size of the HOME allocation changes. HOME threshold factors are discussed below. For more information, see sections 8211 and 8212 of the state HOME regulations.

To be eligible for funding, an application must be submitted by an eligible applicant by the deadline stated in the applicable NOFA. The total amount requested in an application cannot exceed the amounts specified in the NOFA for the particular

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eligible activity(ies). Applicants may be held out from competition due to performance problems with current HOME contracts, such as failure to submit required Single Audit documentation to the State Controller's Office or unresolved audit findings.

Applicants for program activity funds with one or more active state HOME contracts must have expended at least 50 percent of the aggregate total of program funds originally awarded under these contracts to be eligible to apply for additional program activity funds. Applicants for projects that miss three project deadlines are currently ineligible to apply for funds in the next NOFA. However, HCD may waive this holdout penalty if the missed project deadline was clearly outside the control of all of the following parties: the applicant, developer, owner, and managing general partner.

Project applications must show adequate evidence of site control and demonstrate financial feasibility. HOME requires certain documents to evaluate feasibility, including, but not limited to, a market study (or other market information for FTHB development activities), appraisal, and Phase I/Phase II Environmental Site Assessments (ESA) for new construction projects or lead, asbestos, and mold assessments for rehabilitation projects. Project applications must also certify there are no pending lawsuits preventing implementation of the project as proposed. FTHB projects and all program activities must also submit guidelines governing the allocation of mortgage assistance funds to individual homebuyers. Rental projects must demonstrate compliance with Article XXXIV of the California Constitution and CHDO applicants must also demonstrate effective project control pursuant to federal and state HOME requirements.

All proposed HOME activities must be evaluated according to underwriting standards addressing federal HOME requirements at 92.250 and 92.254, as applicable. For more information see sections 8211 and 8212 of state HOME regulations.

What are the outcome measures expected as a result of the method of distribution?

Based on trends in prior year completion data and additional demand for TBRA, in the upcoming fiscal year HOME estimates completing construction of 250 rental units (includes RNC and Rental Rehab) and 42 homebuyer units (FTHB NC); rehabilitating 74 OOR units, assisting 91 FTHB program activity households, and providing TBRA to 92 households.

d. HOPWA

Describe the State Program addressed by the Method of Distribution.

HOPWA serves counties (including cities within those counties) that do not receive a HOPWA allocation directly from HUD.

Describe all of the criteria that will be used to select applications and the relative importance of these criteria.

Beginning in FY 2019, CDPH/OA will fund project sponsors through grants instead of cooperative agreements. Criteria for selection will remain the same.

Program Description Supportive Service Plan/Client Accessibility to Supportive Services

Ability to assess organizational performance and client outcomes	5 points
Ability to report in the AIDS Regional Information and Evaluation System	5 Points

Program Staffing

Appropriate staff qualifications for HOPWA services to be performed:

Fiscal (3 points)	
Administrative (3 points)	
Information Management (3 points)	
Client Services (e.g., case management) (3 points)	12 points
Logical and achievable program implementation and timeline	12 points

Agency Capacity and Experience:

Experience with and focus on serving clients with HIV/AIDS	12 points
Success in managing similar program(s)	12 points
Organization's cultural competency to work with target population(s)	5 points
Experience managing inter-disciplinary programs (e.g., housing/health care or Mental health service/substance abuse services)	10 points
Fiscal capacity to provide housing assistance payments	10 points

Budget Detail:

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Satisfactory audited financial report	12 points
Evidence of satisfactory accounting system	5 points

For project sponsors applying for a renewal to continue operating existing programs or requesting a contract amendment, the application process includes state HOPWA approval of a detailed budget and program work plan prior to the beginning of FY 2018-19.

Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA)

CDPH/OA has renewed contracts with 20 existing project sponsors through June 30, 2019 to provide housing assistance and supportive service programs to persons living with HIV (PLWH) throughout 40-non-Eligible Metropolitan Statistical Areas (EMSAs) counties. In addition, one cooperative agreement was executed with Clinica Sierra Vista to spend funds from previous grant years. Every project sponsor provides direct client services, and some subcontract with other local agencies to provide housing or supportive services.

In the case of loss of a project sponsor or a change in program delivery for a specific county or counties, CDPH/OA solicits project sponsors through a Request for Award (RFA) process that allows equal access to all grassroots, faith-based, and community-based organizations, and governmental housing agencies in that jurisdiction. Project sponsors that subcontract with other agencies must also use a selection process that provides full access to all grassroots, faith-based, and community-based organizations.

Describe how resources will be allocated among funding categories

Project sponsors participate in local HIV/AIDS needs and service planning efforts and prioritize the HOPWA allocation to fill local HIV/AIDS housing and supportive service gaps. To address the most urgent needs of PLWH, and to assist in meeting the goal of the National HIV/AIDS Strategy to reduce the percentage of persons in HIV medical care who are homeless to no more than 5 percent by 2020, project sponsors may select from the following eligible HOPWA activities:

1. Tenant-based rental assistance
2. Short term rent, mortgage and utility assistance
3. Facility- based housing operations of existing permanent or transitional HIV/AIDS housing programs

4. Facility-based housing – hotel/motel voucher assistance
5. Housing Placement Assistance
6. Housing Information Services
7. Supportive Services

Project sponsors may also use funds for eligible resource identification activities (if justified in their program work plan), and no more than 7 percent of the allocation for grant administration.

State HOPWA established the following caps to ensure prioritization of funds for direct client housing assistance:
a) Twenty percent of a project sponsor's allocation may be used for supportive service activities.
b) Fifteen percent of a project sponsor's budget for housing assistance activities may be used for activity delivery costs.
c) Five percent of supportive service and housing information service budgets may be used for activity delivery costs.
State HOPWA may wave the 20 percent cap on supportive services if the proposed supportive services assist clients in overcoming barriers to housing stability (e.g., intense case management services, mental health or alcohol and substance abuse treatment, consumer credit counseling, employment services and education, etc.).

Pursuant to HOPWA regulation, grantees must identify how the rent standard will be set for a tenant-based rental assistance program within a jurisdiction. In most instances, state HOPWA adopts the published Fair Market Rent as the rent standard for the grant area.

Describe threshold factors and grant size limits

For FY 2019-20, the HOPWA allocation will be distributed through a formula process based on the reported HIV and AIDS case data.

Effective FY 2011-12, CDPH/OA eliminated prison numbers from the reported HIV and AIDS case data that resulted in the implementation of a funding stabilization method for counties with prisons. For FY 2019-20, state HOPWA will continue to include a funding stability method using prior year funds to hold those counties harmless at a percentage of their prior year allocation.

What are the outcome measures expected because of the method of distribution?

The outcome measures are low income PLWH will have increased housing stability, access to care, and viral suppression. The distribution method affords counties with larger populations of PLWH to access more funding for supportive services and housing subsidy. In addition, the weighted criteria ascertains the levels of organizational stability and capacity to reach diverse groups of PLWH.

e. State Program – NHTF

Describe the state program addressed by the Method of Distribution:

The state NHTF provides funding for Rental New Construction projects on a competitive basis through an annual NOFA. A NHTF applicant must be the owner or developer of the NHTF-assisted project that meets the requirements identified in the recipient definition of 24 CFR 93.2.

In 2017, the California State Legislature passed AB 74, which subsequently was signed into law by Governor Brown. AB 74 directs the state to use NHTF resources for the newly established Housing for a Healthy California program. The HHC program creates supportive housing for individuals who are recipients of or eligible for health care provided through the California Department of Health Care Services (DHCS) Medi-Cal program. The first funding round of the HHC program was in FY 2018.

Describe all of the criteria that will be used to select applications and the relative importance of these criteria

HCD will adhere to the following federal NHTF requirements when selecting applications and rating and ranking submittals:
a) Geographic priorities for the distribution of funds;
b) Applicant's ability to obligate NHTF funds;
c) Applicant's ability to complete the proposed project in a timely manner;
d) The availability of federal, state or local project-based rental assistance;
e) How well the application meets the state's priority housing needs; and
f) Use of nonfederal funding sources as leverage.

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The application selection criteria will also consist of requirements specifically mandated in the Housing for a Healthy California (HHC), AB 74 statute. HCD will apply the AB 74 requirements in a manner consistent with the rules of the NHTF funds. Eligible projects will receive points for the following:

1. Development team experience, measured amongst the developer, applicant ownership and operations, property manager, and lead service provider.
2. Percentage of assisted units restricted for supportive housing, with a minimum of at least 5 percent,
3. Supportive services plan including clear description of services, resident involvement, and budget information,
4. Utilization of other funding sources to offset requests,
5. Leverage of rental or operating subsidies available for the project,
6. Readiness to proceed with documented project milestones completed,
7. Need, which includes consideration of the number of individuals experiencing homelessness in the geographic jurisdiction,
8. Location efficiency and reasonable access to destinations for participating tenants.

HCD may award application points for addressing state objectives as identified in the NOFA. HCD may include state objective points for one or more of the following HCD priority objectives: 1) Access to Opportunity (e.g. diversity of investment in areas of low opportunity and investment in areas of high opportunity), 2) Homelessness and 3) Disaster Resiliency Long-Term Planning to incentivize local jurisdictions to incorporate hazard mitigation and disaster resiliency planning. More clarification on these HCD priorities will be provided in the NOFA.

Projects must employ Housing First practices documented in the application, property management plan and supportive services plan.

Describe how resources will be allocated among funding categories

HCD will distribute funds to eligible recipients, as defined in 24 CFR § 93.2, through a competitive application process. A Notice of Funding Availability (NOFA) will be issued and applications will be rated and ranked.

Describe threshold factors and grant size limits

To be eligible, a recipient may be an organization, agency, or other entity (including a public housing agency, or a for-profit entity or a nonprofit entity) that receives NHTF assistance from a grantee as an owner or developer to carry out an NHTF-

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assisted project, which meets the requirements of 24 CFR §93.2 of Recipient. A Recipient must: a) Make acceptable assurance they will comply with all NHTF requirements during the entire affordability period; b) Demonstrate ability and financial capacity to undertake, comply, and manage the eligible activity; c) Demonstrate familiarity with requirements of federal, state and any other housing programs used in conjunction with NHTF funds to ensure compliance; d) Demonstrate experience and capacity to conduct the eligible NHTF activity in questions as evidenced by relevant history: and e) has site control.

The maximum loan limit per Applicant is $20 million. The maximum amount that can be used for capitalized operating subsidy reserves will be one third of the total loan amount in accordance with 24 CFR 93.200(a)(1).

HCD will adopt maximum per-unit development subsidy limits similar to the limits established by the state's No Place Like Home (NPLH) program as follows.

1. Maximum per-unit loan amounts shall not exceed the total eligible costs required, when considered with other available financing and assistance, in order to: a) Enable the funds to be used for eligible uses; b) Ensure that rents for Assisted Units comply with Program requirements; and c) Operate in compliance with all other program requirements.

2. The capital portion of the loan amount is further limited to the sum of a base amount per Assisted Unit, plus the amount per Assisted Unit required to reduce rents from 30 percent of the 30 percent of Area Median Income level to the actual maximum restricted rent for the Assisted Unit, with loan limits increasing based on the level of affordability provided.

3. For loan limit calculations, HCD shall include the number of units within a rental housing development and the number of bedrooms per Assisted Unit.

4. For Assisted Units receiving rental assistance, the loan amount will be based on the most restrictive level of income restriction that will apply following the closing of the program loan.

5. Initial base amounts for the portion of the loan that does not include rental assistance will be equal to the NPLH initial base amounts.

6. The capital portion amounts in subparagraph (2) above will be adjusted annually based upon increases in the Consumer

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Price Index. Maximum per-unit loan amounts for loans underwritten by HCD shall be updated annually and published in the NOFA.

What are the outcome measures expected as a result of the method of distribution?

The expected outcome measure is the increase in supply of affordable rental housing by providing NHTF assistance to units for tenants at or below 30 percent AMI or below the poverty line; whichever is greater.

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AP-35 Projects (Optional)

Introduction:

Local applicants must first apply for and secure the available funds. The project summary below is a partial list. If needed, changes or a substantial amendment will be submitted for this Annual Plan.

A two-year Community Development Block Grant (CDBG) Notice of Funding Availability (NOFA) is planned to be released in December 2019 or January 2020. Pending the U.S. Department of Housing and Urban Development approval, the Department of Housing and Community Development (HCD) estimates a total of approximately $25-$30 million in federal CDBG funds will be made available to the state for 2020. The competitive application submittal deadline for the CDBG NOFA is planned for early March 2020. HCD is anticipating issuing the OTC awards starting in April 2020 and award competitive funds in May 2020.

HOME Investment Partnerships program (HOME) NOFA is planned to be released in July 2019. Approximately $45 million in federal HOME funds will be made available.

National Housing Trust Fund Program (NHTF) NOFA is planned to be released in April 2019. Applications will be due by June 2019. HCD anticipates issuing the awards in October 2019.

Emergency Solutions Grant program (ESG) released NOFAs on March 29, 2019. Approximately $11 million in federal ESG funds will be made available. Applications for the ESG NOFA will be due on May 30, 2019. HCD is anticipating issuing the awards in September 2019.

HOPWA (Housing Opportunities for Persons With AIDS) - Each of the projects will need to be edited to note the estimated amount of funding for HOPWA for 2019-20, once the California Department of Public Health Office of AIDS publishes the allocations for each of our project sponsors.

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Table 7 – HOPWA Project Information

#	Project Name
1	2019-22 Encompass Community Services CAH19F999 (Encompass)
2	2019-22 Imperial County CAH19F999 (Imperial)
3	2019-22 Madera County CAH19F999 (Madera)
4	2019-22 Mendocino Co AIDS Viral HepC Network CAH19F999 (MCAVHN)
5	2019-22 Merced Co Community Action Board CAH19F999 (MCCAB)
6	2019-22 Nevada Co CAH19F999 (Nevada)
7	2019-22 Planned Parenthood CAH19F999 (PP)
8	2019-22 Family Services of Tulare County CAH19F999 (FSTC)
9	2019-22 County of Humboldt CAH19F999 (Humboldt)
10	2019-22 County of Kings CAH19F999 (Kings)
11	2019-22 County of Plumas CAH19F999 (Plumas)
12	2019-22 Marin Housing Authority CAH19F999 (Marin HA)
13	2019-22 Caring Choices CAH19F999 (Caring Choices)
14	2019-22 Community Care Management Corp. CAH19F999 (CCMC)
15	2019-22 Community Impact Central Valley CAH19F999 (CICV)
16	2019-22 Queen of the Valley Care Network CAH19F999 (QVMC)
17	2019-22 San Joaquin County CAH19F999 (San Joaquin)
18	2019-22 Ventura County CAH19F999 (Ventura)
19	2019-22 AIDS Housing Santa Barbara CAH19F999 (AHSB)
20	2019-22 Access Support Network San Luis Obispo CAH19F999 (ASNSLO)
21	2019-22 Sierra HOPE CAH19F999 (Siera HOPE)
22	2019-22 California Office of AIDS CAH19F999 (OA)

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Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The state has no project-specific allocation priorities. For a discussion of HCD's general allocation priorities, see AP-25 and AP-30.

AP-38 Project Summary

Project Summary Information

The project summary below is a partial list. If needed, changes or a substantial amendment will be reflected in this Annual Plan.

Each of the projects will need to be edited to note the estimated amount of funding for Housing Opportunities for Persons with AIDS (HOPWA) for 2019-20, once the California Department of Public Health, Office of AIDS publishes the allocations for each of the project sponsors.

1	**Project Name**	2019-22 Encompass Community Services CAH19F999 (Encompass)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Permanent Housing Placement, Housing Information Services, and Case Management to eligible clients in Santa Cruz County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Permanent Housing Placement, Housing Information Services, and Case Management
2	**Project Name**	2019-22 Imperial County CAH19F999 (Imperial)
	Target Area	

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	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, Housing Case Management, and Transportation to eligible clients in Imperial County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, Housing Case Management, and Transportation
3	**Project Name**	2019-22 Madera County CAH19F999 (Madera)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, and Case Management to eligible clients in Madera and Mariposa Counties.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, and Case Management
	Project Name	2019-22 Mendocino Co AIDS Viral HepC Network CAH19F999 (MCAVHN)

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4	**Target Area**	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Housing Information Services, Case Management, and Resource Identification to eligible clients in Mendocino County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Housing Information Services, Case Management, and Resource Identification
5	**Project Name**	2019-22 Merced Co Community Action Board CAH19F999 (MCCAB)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Housing Information Services, Case Management, and Meals/Nutrition to eligible clients in Merced County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Housing Information Services, Case Management, and Meals/Nutrition

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6	**Project Name**	2019-22 Nevada Co CAH19F999 (Nevada)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance to eligible clients in Nevada County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance
7	**Project Name**	2019-22 Planned Parenthood CAH19F999 (PP)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Tenant-Based Rental Assistance, Facility-Based Housing (hotel/motel), Permanent Housing Placement, Housing Information Services, Case Management, Meals/Nutrition, and Transportation to eligible clients in Solano County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	

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	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Tenant-Based Rental Assistance, Facility-Based Housing (hotel/motel), Permanent Housing Placement, Housing Information Services, Case Management, Meals/Nutrition, and Transportation
8	**Project Name**	2019-22 Family Services of Tulare County CAH19F999 (FSTC)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing Costs (Hotel/Motel), Permanent Housing Placement, and Housing Information Services to eligible clients in Tulare County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing Costs (Hotel/Motel), Permanent Housing Placement, and Housing Information Services
9	**Project Name**	2019-22 County of Humboldt CAH19F999 (Humboldt)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement Services, Case Management, and Transportation in to eligible clients in Del Norte and Humboldt Counties.
	Target Date	6/30/2020

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	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement Services, Case Management, and Transportation
10	**Project Name**	2019-22 County of Kings CAH19F999 (Kings)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, Housing Information Services, Case Management, and Resource Identification to eligible clients in Kings County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, Housing Information Services, Case Management, and Resource Identification
11	**Project Name**	2019-22 County of Plumas CAH19F999 (Plumas)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:

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	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, and Facility-Based Housing Costs (Hotel/Motel) to eligible clients in Lassen, Modoc, Plumas, Sierra, and Siskiyou Counties.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, and Facility-Based Housing Costs (Hotel/Motel)
12	**Project Name**	2019-22 Marin Housing Authority CAH19F999 (Marin HA)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Tenant-Based Rental Assistance to eligible clients in Marin County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Tenant-Based Rental Assistance
13	**Project Name**	2019-22 Caring Choices CAH19F999 (Caring Choices)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services

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	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing Costs (Hotel/Motel), Permanent Housing Placement, and Case Management in Butte, Colusa, Glenn, Shasta, Sutter, Tehama, Trinity, and Yuba Counties.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing Costs (Hotel/Motel), Permanent Housing Placement, and Case Management
14	**Project Name**	2019-22 Community Care Management Corp. CAH19F999 (CCMC)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Housing Information Services, Case Management, and Meals/Nutrition services to eligible clients in Lake County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Housing Information Services, Case Management, and Meals/Nutrition
	Project Name	2019-22 Community Impact Central Valley CAH19F999 (CICV)

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15	**Target Area**	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Tenant-Based Rental Assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, and Housing Information Services to eligible clients in Stanislaus County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Tenant-Based Rental Assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, and Housing Information Services
16	**Project Name**	2019-22 Queen of the Valley Care Network CAH19F999 (QVMC)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, and Transportation to eligible clients in Napa County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	

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	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, and Transportation
17	**Project Name**	2019-22 San Joaquin County CAH19F999 (San Joaquin)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Housing Information Services, Resource Identification, Short-Term Rent, Mortgage, and Utility assistance, and Facility-Based Housing (Hotel/Motel, Operations) to eligible clients in San Joaquin County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Housing Information Services, Resource Identification, Short-Term Rent, Mortgage, and Utility assistance, and Facility-Based Housing (Hotel/Motel, Operations)
18	**Project Name**	2019-22 Ventura County CAH19F999 (Ventura)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, and Case Management to eligible clients in Ventura County.
	Target Date	6/30/2020

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	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement, and Case Management
19	**Project Name**	2019-22 AIDS Housing Santa Barbara CAH19F999 (AHSB)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Transitional Facility Based Housing Operations for Sarah House, a licensed Residential Care Facility for the Chronically Ill and Supportive Services to eligible and resident clients in Santa Barbara County.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Transitional Facility Based Housing Operations for Sarah House, a licensed Residential Care Facility for the Chronically Ill and Supportive Services
20	**Project Name**	2019-22 Access Support Network San Luis Obispo CAH19F999 (ASNSLO)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:

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	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Permanent Housing Placement, Housing Information Services, Case Management, Meals/Nutrition, and Resource Identification to eligible clients in Monterey and San Luis Obispo Counties.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Permanent Housing Placement, Housing Information Services, Case Management, Meals/Nutrition, and Resource Identification
21	**Project Name**	2019-22 Sierra HOPE CAH19F999 (Siera HOPE)
	Target Area	
	Goals Supported	Provide homeless assistance & prevention services
	Needs Addressed	Homeless assistance and prevention services
	Funding	:
	Description	Providing Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement Services, and Case Management to eligible clients in Calaveras, Inyo, Mono, and Tuolumne Counties.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Short-Term Rent, Mortgage, and Utility assistance, Facility-Based Housing (Hotel/Motel), Permanent Housing Placement Services, and Case Management
22	**Project Name**	2019-22 California Office of AIDS CAH19F999 (OA)
	Target Area	

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	Goals Supported	
	Needs Addressed	
	Funding	:
	Description	Administers the State of California HOPWA grant for the 2019-20 fiscal year.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	

Table 8 – Project Summary Information

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AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

☐ No ☑ Yes

If yes,
Describe available grant amounts.

Available Grant Amounts

There are none at this time. The California Department of Housing and Community Development (HCD) has not issued any Section 108 loan guarantees and has no immediate plans to do so.

The California Community Development Block Grant Guidelines include participating in the federal Section 108 loan guarantee program. HCD has considered CDBG economic development projects for this type of funding. However, large-scale projects that would support the federal Section 108 funding have not been identified at this time.

Acceptance process of applications

There are none at this time.

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AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies?

☐ Yes ☑ No

State's Process and Criteria for approving local government revitalization strategies

Not applicable. The state Community Development Block Grant (CDBG) program does not currently have a Community Revitalization Strategies (CRS) program. CDBG program participants and stakeholders prefer individual activities in specific areas of greatest need.

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AP-48 Method of Distribution for Colonias Set-Aside – 91.320(d)&(k)

(States with Colonias Only)

Regulation Citation: 91.320(d)&(k), see also HUD Notice CPD 11-001

Distribution Methods

Describe the state program addressed by the Method of Distribution

Colonias are jurisdictions along the U.S. and Mexico border that frequently experience advance needs for housing, water, and sewer challenges. The National Affordable Housing Act of 1990 established allocations to support Colonias in addressing deficiencies in housing, access to potable water, and sewer improvements. The new Community Development Block Grant (CDBG) guidelines allow for up to 10 percent of CDBG funding to be allocated for Colonia applications, which aligns with federal regulations. This allows for the CDBG program to be responsive in the event additional funding needs to be made available for the Colonias. Typically, the state has met the federal requirement for the Colonia allocation by setting aside 5 percent of CDBG funding for Colonia applications. The state plans to continue to use the 5 percent allocation for Fiscal Year (FY) 2019 as it rolls out the new method of distribution. Colonia programs, projects, and service applications should align to the specific needs of Colonias, with a focus on housing, access to potable water, and sewer improvements. To support this focus, the Colonia set aside is allocated to the community development component of the 2019 Notice of Funding Availability (NOFA). Economic development and public service applications will not be eligible through the Colonia allocation, though jurisdictions may apply for economic development and/or public service activities from the economic development set aside and the general CDBG allocation, in addition to a Colonia activity application. The Colonia applications are subject to the same method of distribution identified in the CDBG method of distribution.

Applicants will be able to identify if they are applying for Colonia allocation funds in their competitive or over-the-counter application. For details in both the competitive and over-the-counter application process, please see the CDBG method of distribution. Competitive applications will include housing assistance programs, such as homebuyer assistance and housing rehabilitation, and planning grant applications. Over-the-counter applications will include multifamily housing rehabilitation, public improvements in support of housing, and public infrastructure and facility projects that increase access to potable water and sewer improvements. Only the Colonias in Imperial County are eligible for the Colonia set aside through the state CDBG program.

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Describe all of the criteria that will be used to select applications and the relative importance of these criteria

The criteria for the Colonia set aside applications is the same as the criteria for the state CDBG applications as a whole. Programs (single-family housing assistance) and planning applications will be submitted through the competitive process while projects (multifamily housing rehabilitation, public improvements in support of housing, and public facility and infrastructure project focusing on water and sewer improvements) will be submitted over-the-counter. Competitive application criteria will focus on need, readiness, capacity and past performance, and state objectives. Competitive applications will focus on readiness and capacity and past performance, which includes performance on the state objectives. For specific details about the criteria, see the CDBG method of distribution. The Colonia allocation will not be eligible for economic development or public services activities, though Colonia jurisdictions may apply for Colonia eligible activities in addition to general CDBG programs, services, planning, and projects.

If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)

The specific scoring breakdowns within the competitive application scoring categories vary based on the activity. A description of the specific criteria and associated points will be published in the applicable NOFA. Additional detail about the over-the-counter process, including threshold and readiness review procedures, will also be included in the NOFA. The NOFA will be made available on HCD's CDBG webpage at http://www.hcd.ca.gov/grants-funding/active-funding/index.shtml.

HCD publishes all CDBG application materials, NOFA, applications, appendices, and instructions, on HCD's website shown above. In addition, HCD releases NOFAs by sending out an electronic notification to eligible jurisdictions, non-profits and consultants on the interested parties list. The notice has links to the NOFA and application documents on the webpage. Additional notices are provided to inform interested parties about NOFA/application workshops, held throughout the state.

As the new method of distribution is a substantial change from prior years, HCD will also be preparing new applications, instructions, and training materials to help jurisdictions understand the new processes. These materials will be available online and will include opportunities for feedback to make sure application documents are accessible and that criteria, especially threshold and readiness criteria, are not subject to arbitrary interpretation or subjective decision-making. HCD plans to roll out both the new CDBG guidelines as well as the improved grant application process with substantial outreach to stakeholders and training for potential applicants.

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Describe how resources will be allocated among funding categories

Pursuant to state CDBG guidelines, funding allocations are based on demand once state administration funding amounts and state and federal allocated amounts have been accounted for. The allocation for Colonias is determined based on a 5 percent set-aside for FY 2019-20. The Colonias set aside is pulled from the community development funds and may be used for programs and projects that support housing, access to potable water, and sewer improvements. Applications for activities from the Colonia set aside may be made in addition to applications for the general CDBG activities identified in the CDBG allocation among funding categories.

Describe threshold factors and grant size limits.

All applications must meet federal and state thresholds and overlays to be eligible for funding. Please see the CDBG method of distribution for the CDBG threshold and overlay table. The Colonia set aside is subject to the same thresholds and overlays as the other set asides and allocations in the state CDBG program.

Grant size limits: The following grant limits apply to the Colonia set aside only. The 2019 NOFA maximum application limit for Colonia funding will be 5 percent of the CDBG allocation or at least approximately $1.6 million. Colonia applications may apply for the full amount of set aside for an eligible project. Grant size limits will be in the applicable NOFA and may change as the size of the CDBG allocation changes.

What are the outcome measures expected as a result of the method of distribution?

HCD expects to use CDBG funding to support public infrastructure for water and sewer improvements in the Colonias, as well as improving housing quality in owner and renter occupied units. HCD expects to leverage the Colonia allocation with other CDBG funding, as Imperial County has been a regular applicant for multiple CDBG funded projects.

Identify the method of selecting a project sponsor (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA (Housing Opportunities for Persons With AIDS Program) only).

HOPWA does not operate in Colonias.

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AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

For most programs, assistance is made available to all areas of the state. Lists of eligible jurisdictions for CDBG, HOME, ESG, and HOPWA are provided in **Appendix A**. Changes in CDBG- and HOME-eligible jurisdictions may occur annually if jurisdictions join or withdraw from a CDBG Urban County Agreement, and for HOME only, a HOME consortium.

On a notice of funding availability basis, the California Department of Housing and Community Development (HCD) will consider a variety of approaches to promote geographic distribution, such as rural set-asides, minimum percentages by broad area (e.g., north, south), and disadvantaged communities, which can include areas with concentrations of lower-income households without decent infrastructure or in close proximity to environmental hazards.

HOPWA - Pursuant to eligibility requirements for HOPWA formula awards, changes in eligible jurisdictions for HOPWA may occur if a Metropolitan Service Area (MSA) reaches more than 500,000 in population and has more than 2,000 persons living with HIV or AIDS annually. Entitlement MSAs annually receive approximately $36 million in HOPWA funds directly from the U.S. Department of Housing and Urban Development. State HOPWA currently funds EMSAs only, unless there is a compelling reason to assume oversight of a particular EMSA's funding for a limited period.

NHTF - Pursuant to Title 24 Code of Federal Regulations, §91.320(k)(5), the program considers geographic distribution. NHTF funding will be available to all jurisdictions in California. However, HCD will employ geographic distribution methods such as a set-aside at least 20 percent of the NHTF for projects located in rural areas, as defined by §50199.21 of the California Health and Safety Code.

Eligible applicants from the jurisdictions listed in **Appendix A** may apply for and be awarded program funding. See AP-30 for each program's individual Method of Distribution that sets forth allocation methods or applicant rating criteria that may directly or indirectly impact the geographic distribution of program funds.
Since the state has a partial list of projects it will award with Fiscal Year 2019 funds, the extent to which these projects will be in areas of low-income and minority concentration is not fully known at this time.

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Geographic Distribution

Target Area	Percentage of Funds
N/A	**N/A**

Table 9 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The state has no geographic target areas for allocation.

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AP-55 Affordable Housing – 24 CFR §91.320(g)

Introduction:

Table 10 below reflects one-year goals for affordable housing by the type of households to be supported.

One Year Goals for the Number of Households to be Supported	TOTAL
Homeless	2,263
Non-Homeless	1,591
Special-Needs	1,642
Total	5,496

Table 10 - One Year Goals for Affordable Housing by Support Requirement

Table 11 below reflects one-year goals for affordable housing by type of support.

One Year Goals for the Number of Households Supported Through	TOTAL
Rental Assistance	2,263
The Production of New Units	691
Rehab of Existing Units	900
Acquisition of Existing Units	
Total	3,854

Table 11 - One Year Goals for Affordable Housing by Support Type

Discussion

In Fiscal Year 2019-20, the California Department of Housing and Community Development (HCD), Community Development Block Grant (CDBG), Home Investment Partnerships program (HOME), National Housing Trust Fund (NHTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons With AIDS (HOPWA) program plan to assist approximately **5,496** households to access or maintain permanent housing that includes an estimated **3,854** households who will receive short-term rent and/or utility assistance. The estimated **1,642** Special Needs households, including persons with mobility and sensory disabilities, will be assisted through HOME and HOPWA.

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AP-60 Public Housing - 24 CFR §91.320(j)

Introduction:

The California Department of Housing and Community Development (HCD) does not own or operate public housing in the State of California. Public housing is administered directly through local Public Housing Authorities (PHAs). Pursuant to the U.S. Department of Housing and Urban Development's requirements, PHAs are also not eligible to apply directly for funds from the following programs: Community Development Block Grant (CDBG), Home Investment Partnerships program (HOME,) National Housing Trust Fund (NHTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons With AIDS (HOPWA) program. However, PHAs in eligible jurisdictions can work with eligible applicants to plan for the use of program funds to assist low-income tenants in their communities.

Actions planned during the next year to address the needs to public housing

PHAs in jurisdictions eligible to apply for federally-funded state programs may seek funds for eligible activities through their city or county application development process. For a list of California PHAs, see: https://www.hud.gov/sites/dfiles/PIH/documents/PHA_Contact_Report_CA.pdf.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Since HCD does not administer PHA funds, or have any oversight over PHA tenants, it has no actions directed specifically to public housing residents.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

There are currently no PHAs designated as "troubled" in the state's CDBG nonentitlement areas.

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AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

The California Department of Housing and Community Development (HCD) will address the requirements in 24 CFR Section 91.320 by using funds to reduce and end homelessness.

For ESG, HCD has over 70 active contracts that work to help transition persons out of institutions, and to provide funds to service providers for outreach to unsheltered homeless persons in order to connect them to emergency shelters, housing, or critical services.

HOPWA – Individuals eligible for this program are coordinated through the California Department of Public Health, Office of AIDS (CDPH/OA). The CDPH/OA convenes the California Planning Group which serves as an advisory subcommittee, which identifies the most effective housing services and best practices for integrating HIV health and housing providers. For FY 2019-20, a total of 20 HOPWA projects will be funded with the goal of providing homeless assistance and prevention services.

The NHTF Program increases the supply of affordable supportive housing projects and rental housing for extremely low- and very low-income families, including homeless families. HCD released a NHTF NOFA in June 2018 for approximately $30 million, utilizing FY 2016 and FY 2017 allocations. Seven projects were awarded in FY 2018-19. The projects will complete predevelopment requirements and be under construction by the end of FY 2019-20.

Section 811 Project Rental Assistance is for households with disabilities administered by another agency in collaboration with HCD and three (3) other state agencies. Applications are currently being accepted on an over-the-counter basis.

As authorized by Senate Bill (SB) 850, the California Emergency Solutions and Housing (CESH) Program provides funds for a variety of activities to assist persons experiencing or at risk of homelessness. HCD administers the CESH program with funding received from SB 2 – Building Homes and Jobs Act. The first year's revenues from SB 2 will allocate 25 percent of the 2018-19 Budget to Continuums of Care (CoCs) through the CESH program, and another 25 percent to the Housing for a Healthy California (HHC) program. The CESH program provides funding to CoCs for housing relocation and stabilization services, operating subsidies for permanent housing, flexible housing subsidies, shelter operations, and capacity building. In August 2018, HCD released the first CESH NOFA, making $53 million available using a portion of SB 2 funds and remaining California Emergency Solutions Grant Program (ESG) funds. All California CoCs applied and were awarded the first round of CESH funds. Please go to HCD's website to see the award list, http://www.hcd.ca.gov/grants-funding/active-

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funding/cesh/docs/2017-2018-CESH-Awards-List.pdf. On March 21, 2019, HCD released the second CESH NOFA for approximately $29 million. Applications are due on June 28, 2019 and HCD anticipates awarding the funds in September 2019.

SB 850 authorizes the Homeless Emergency Aid Program (HEAP), which is a $500 million block grant program designed to provide direct assistance to cities, counties and Continuums of Care (CoCs) to address the homelessness crisis throughout California. The Homeless Coordinating and Financing Council (HCFC) released the HEAP NOFA on September 5, 2018.

The Supportive Housing Multifamily Housing Program (SHMPH) provides low-interest residual receipts loans for permanent affordable rental housing that contain supportive housing units. In October 2018, HCD released a NOFA for $77 million from recaptured Propositions 46 and 1C funding for the SHMHP program, which provides permanent financing for supportive housing developments. HCD amended the NOFA in January 2019 to include approximately $78 million in Proposition 1 Multifamily Housing Program (MHP) funding. The application deadline was February 8, 2019. For the approximately $155 million available, HCD received 20 applications requesting approximately $142 million. An application log and self-score report is located on HCD's website at http://www.hcd.ca.gov/grants-funding/active-funding/shmhp/docs/2018InitialSHMHPApplicationsandSelf-Scores.pdf. HCD anticipates announcing awards in June 2019.

HCD is implementing the No Place Like Home (NPLH) program to provide development financing, including capitalized operating subsidy reserves, for rental housing serving extremely low-income individuals, including children and youth, with a serious mental illness who are Chronically Homeless, Homeless, or At-Risk of Chronic Homelessness. In particular, persons At-Risk of Chronic Homelessness will include persons discharged from various institutional settings. Under the program, counties are required to provide mental health services and to coordinate the provision or referral to other services that NPLH tenants may need, including, but not limited to, health, social services, employment, and education. HCD has released $390 million in available funding to date.

In addition to the information provided in earlier sections of the AP for the Emergency Solutions Grant Program (ESG) and Housing Opportunities for Persons with AIDS (HOPWA), further efforts are discussed below and in AP-85.

HCD is offering technical assistance (TA) to enhance, develop, build capacity, or improve the design and the delivery of programs and services for people experiencing homelessness. HCD uses an on-demand response model and will deploy consultants to provide assistance to jurisdictions. Three expert consulting firms were selected to provide assistance; Technical Assistance Collaborative, Inc. (TAC), Corporation for Supportive Housing (CSH), and The Center for Common Concerns (Home Base). Each TA request is evaluated on its unique circumstances and challenges to address the Housing First

approach, capacity building, and housing stability. Eligible recipients may receive on-site or in-depth remote assistance from homelessness consultants, information through online resources, toolkits, and interactive training and knowledge-building through online courses or webinars, regional workshops and peer to peer learning.

1. **ESG**

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs
For FY 2019, ESG funds allow for SO as a stand-alone project or as an add-on with ES or Rapid Rehousing (RR). These services are intended to reach unsheltered homeless individuals and engage them in eligible activities, including case management, emergency health and mental health services, transportation, and services for special populations as defined in the federal regulations.

Addressing the Emergency Shelter and transitional housing needs of homeless persons
ESG funds may be used for the costs of providing ES as defined by federal regulations 24 CFR §576.102. Pursuant to federal regulations, ESG funds cannot be used for transitional housing. In addition to providing temporary shelter, many programs provide a range of essential services necessary to assist people to access and retain permanent housing. Essential services include, but are not limited to, case management, education, job training, childcare, and mental and medical health services. Shelter operations costs include maintenance, rent, security, equipment, utilities, and food. HCD estimates 5,377 persons will receive ES utilizing federal fiscal year 2019 ESG funds.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

The ESG Program funds RR activities that provide short- and medium-term rental assistance and supportive services to homeless individuals and families, and those at risk of homelessness so that they can access and maintain affordable, suitable permanent housing. In the coming year, ESG anticipates that a minimum of 40 percent of its awards will go toward

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RR activities.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

In administering all of its housing programs, HCD continues to assist persons who are being discharged from publicly funded institutions and systems of care or are receiving assistance from other public or private agencies. Particular focus on assisting persons exiting institutional care is made through the Section 811 Project Rental Assistance (PRA) program. This program provides rental assistance for non-elderly disabled individuals who are exiting long-term care facilities, as well as developmentally disabled persons and others at-risk of institutionalization due to housing instability or homelessness.

2. HOPWA

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

HOPWA provides Tenant-based Rental Assistance (TBRA), Short Term Rent, Short-term Rent Mortgage and Utility (STRMU) Assistance, housing placement assistance, and supportive services to people living with HIV (PLWH) who are homeless or at risk of homelessness. In addition to homelessness prevention, HOPWA funds may be used to provide temporary shelter (emergency shelter or hotel/motel vouchers) to homeless PLWH while helping them to locate stable housing.

Housing assistance and supportive services allow residents to achieve or maintain housing stability. The prevention of homelessness is an essential component of state HOPWA, as housing is increasingly identified as a strategic point of intervention to address HIV/AIDS and overlapping vulnerabilities (such as age, race, mental illness, drug use, or chronic

homelessness). The National AIDS Housing Coalition reports that housing assistance has been shown to decrease health disparities while reducing overall public expense and/or making better use of limited public resources.

3. **National Housing Trust Fund**

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Homelessness often creates an institutional circuit, where those experiencing it long enough cycle through living on the streets, emergency room visits, inpatient admission, incarceration, and often nursing home stays. In 2017, Assembly Bill 74 (AB 74) established the HHC program to help with coordinating delivery services between the health and housing systems to further California's goal of eliminating homelessness.

HCD is implementing the Housing for a Healthy California Program (HHC) that creates supportive housing for individuals who are recipients of or eligible for health care provided through the California Department of Health Care Services, Medi-Cal program. The goal of the HHC program is to reduce the financial burden on local and state resources due to the overutilization of emergency departments, inpatient care, nursing home stays and use of corrections systems and law enforcement resources as the point of health care provision for people who are Chronically homeless or Homeless and a High-cost health user.

The HHC program is designed to reduce the financial burden on local and state resources due to the overutilization of emergency departments, inpatient care, nursing home stays and other resources as the point of health care provision for people for people who are Chronically homeless or Homeless and a High-cost health user. HCD released the draft guidelines for HHC in December 2018, and released two NOFAs for a combined total of $93 million in May 2019.

The HHC program fills a critical and necessary gap in overall state housing assistance programs by offering long-term housing assistance to support innovative health care programs targeting California's most vulnerable persons experiencing homelessness. Research suggests that individuals experiencing homelessness, particularly those individuals with multiple chronic conditions, often struggle to receive appropriate health care services and are disproportionately likely to be high

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utilizers of the health care safety net. For this population, targeted case management services can play an instrumental role in obtaining and maintaining housing and reducing health care utilization while improving health outcomes. The homeless population often has complex medical and behavioral health conditions, high rates of disability, multiple untreated health conditions, and early mortality. Recent data demonstrates that helping to secure permanent housing with targeted case management services for this population not only results in improved health for those individuals, but also results in significant cost savings for programs such as Medi-Cal and Medicaid.

With the creation of the HHC program, HCD initially plans to use appropriated revenues from National Housing Trust Fund (NHTF) allocations for years 2018 to 2021 to provide supportive housing opportunities through operating reserve grants and capital loans to developers to create affordable housing for those experiencing frequent homelessness. Furthermore, this assistance will complement existing supportive housing production resources at HCD such as NPLH, Multifamily Housing Program-Supportive Housing, and Veterans Housing and Homelessness Prevention programs. The ability to pair this resource will accelerate the time it takes to secure all financing to build supportive housing faster to serve homeless and extremely low-income households.

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AP-70 HOPWA Goals – 91.320(k)(4)

One-year goals for the number of households to be provided housing through the use of Housing Opportunities for Persons With AIDS for:	Number of Households
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	700
Tenant-based rental assistance	45
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	100
Total	845

Table 12 – HOPWA Goals

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AP-75 Barriers to affordable housing – 91.320(i)

Introduction:

Barriers affecting housing in California communities range from nongovernmental to governmental. Nongovernmental barriers include issues such as land, financing availability, and the cost of construction. Governmental barriers can be at various levels of government and include potential constraints such as land-use controls, fees, and exactions; processing and permit procedures; and on/off-site improvement standards. The cumulative impact of these public policies negatively affects housing supply, choices, location, and affordability. California will continue to prioritize and pursue a variety of strategies to address barriers to housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

In 2017, former Governor Brown signed a 15-bill housing package designed to mitigate the effects of public policies that create barriers to affordable housing production. The housing package, designed to provide critical funding for new affordable housing, accelerates development to increase housing supply, holds cities and counties accountable for addressing housing needs in their communities, and preserves the existing affordable housing stock. In Fiscal Year (FY) 2019-20, the California Department of Housing and Community Development (HCD) will continue conducting outreach, development, and implementation of the housing package, for example:

Senate Bill (SB) 2 (2017) directs HCD to administer over-the-counter planning grants to local governments for the preparation and adoption of land use plans and strategies that streamline and accelerate affordable housing production. After releasing a notice of funding availability in March 2019, HCD, in conjunction with the Governor's Office of Planning and Research (OPR), will make technical assistance available to local governments to aid their planning efforts and begin making awards through FY 2019-20.

HCD is also preparing to implement SB 540 (2017) and Assembly Bill (AB) 73 (2017), which are designed to offer incentives to local governments to streamline approvals. SB 540 authorizes the state to provide planning funds to a city or county to adopt a specific housing development plan that minimizes project-level environmental review, and AB 73 provides financial incentives to cities and counties that create zoning overlay districts with streamlined zoning. HCD will be conducting outreach and drafting guidelines to implement both SB 540 and AB 73 during FY 2019-20.

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Several provisions in the housing package impose more stringent accountability regarding housing element law and standards of performance by local governments. State law requires each city and county to adopt a general plan containing at least seven mandatory elements, including housing. The housing element contains information about the availability of sites and infrastructure to accommodate new housing needs and requires an analysis of governmental constraints to the production and preservation of housing. It is required to be updated every five to eight years and is subject to detailed statutory requirements and mandatory review by HCD.

AB 72 (2017) now requires HCD to review any action inconsistent with housing element laws and allows HCD to de-certify housing elements and refer violations to the Attorney General. AB 879 (2017) mandated updates to the housing element and annual report requirements to provide data on project approvals, processing times, and processes, and requires HCD to produce a study with findings and recommendations regarding impact fees that would reduce costs and barriers to housing development. HCD plans to release the study in late 2019. Furthermore, HCD released guidelines in 2018 for streamlined ministerial approval processes (SB 35, 2017) for developments in localities that have not yet met their housing targets as provided for in the housing element, and will be monitoring local efforts during FY 2019-20.

Other efforts to reduce barriers include AB 678, SB 167 and AB 1515 (2017: aka the Housing Accountability Act), which strengthens the state's legal authority by establishing legal parameters to constrict the denial of affordable housing projects to more objective criteria and limits impacts of local opposition, and requires the courts to impose a fine of $10,000 or more per unit on local agencies failing to legally defend their denial. HCD will provide technical assistance to all local governments regarding this matter throughout FY 2019-20.

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AP-80 Colonias Actions – 91.320(j)

Introduction

California's 15 Colonias are situated within the County of Imperial: nine in the unincorporated areas of the county; one in the City of Brawley; two in City of Calexico; two in the City of Imperial; and one in the City of El Centro. Many Colonias suffer from inadequate housing and failing sewer and potable water systems. Federal law requires up to 10 percent of the total amount of Community Development Block Grant (CDBG) funds be made available for Colonia activities. The California CDBG Colonia set aside (5 percent of the total state CDBG allocation) funds support public infrastructure and housing rehabilitation projects to improve the health and safety standards for Colonias communities.

Actions planned to address obstacles to meeting underserved needs

The California Department of Housing and Community Development (HCD) CDBG Advisory Committee includes an Imperial County Representative, whose county staff provides outreach and support to eligible jurisdictions to coordinate Colonias funding opportunities with HCD. HCD will continue to use CDBG funding set asides to mitigate the lack of resources facing Colonias. Additionally, HCD hopes to coordinate CDBG project funding efforts more closely with the U.S. Department of Agriculture Colonia funding to address the lack of resource obstacles faced by Colonias.

Actions the state plans to take to reduce the number of poverty-level families

HCD, through CDBG redesign, assessed its current regulations, internal processes, policies, and resource materials to determine the obstacles and impediments that are barriers to expending grant and program income funds that aid in decreasing the number of poverty-level families. The Colonia allocated funding is intended to help improve the low-moderate income families living in Colonias. The U.S. Department of Housing and Urban Development, Community Planning Department (CPD) Notice 2012-008 "strongly encourages" states to use Colonia's funding to address the lack of potable drinking water, adequate sewage systems, and decent, safe, and sanitary housing. Considering this guidance, the state continues to support Imperial County's efforts to fund anti-poverty activities throughout the County of Imperial.

Colonia residents are also eligible to receive benefits through the state's HOME programs, which provides rental assistance, rehabilitation, or reconstruction of owner-occupied units.

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Established in 2018, the California Emergency Solutions and Housing (CESH) program utilizes a portion of the first year's revenues from Senate Bill 2 (2017), to provide funding to Continuums of Care (CoCs) for housing relocation and stabilization services, operating subsidies for permanent housing, flexible housing subsidies, shelter operations, and capacity building. In August 2018, HCD released the first CESH Notice of Funding Availability (NOFA) and in January 2019 awarded approximately $1 million to the Imperial County CoC Service Area for rental assistance, housing relocation and stabilization services; and operating support for emergency housing interventions. HCD released another CESH NOFA on March 29, 2019 that includes a formula allocation of $627,522 for the Imperial County CoC Service Area.

The state's Homeless Coordinating and Financing Council (HCFC) released a NOFA for Homeless Emergency Aid Program (HEAP) grant funding on September 5, 2018, and Imperial County was awarded approximately $4.86 million in HEAP grant funding. Eligible uses for these funds include, but are not limited to:

1. Services: Street outreach, health and safety education, criminal justice diversion programs, prevention services, navigation services, and operating support for short-term or comprehensive homeless services.
2. Rental assistance or subsidies: Housing vouchers, Rapid Re-housing programs, and eviction prevention strategies.
3. Capital improvements: Emergency shelter, transitional housing, drop-in centers, permanent supportive housing, small/tiny houses, and improvements to current structures that serve homeless individuals and families.

Actions the state plans to take to develop the institutional structure

HCD is actively committed to working with other state and federal regulatory and funding agencies to strengthen institutional structures throughout the state. In 2018, HCD supported the CDBG Redesign, a comprehensive analysis that identified ways HCD can improve the CDBG program to increase program expenditures, reduce program income balances, and streamline processes. HCD partnered with a diverse group of stakeholders and program consultants to form the CDBG Redesign Working Group (RWG) and contracted with outside consultants to assess all internal processes and program requirements. The RWG and consultants engaged in collaborative efforts to understand current impediments and develop new processes and policies to increase funding opportunities and streamline processes.

The CDBG Redesign efforts will deliver new program guidelines, method of distribution, processes, policies, procedures, and grant management manual. These deliverables will require ongoing oversight and feedback.
To ensure oversight is ongoing and transparent, HCD will work with a newly formed Advisory Committee (AC), operating by charter. The AC will provide opportunities for members to serve as liaisons for the many eligible nonentitlement jurisdictions throughout California. The AC will hold regularly scheduled meetings and receive and provide feedback to HCD so the program can continue to evolve and better perform nationally and better serve its partners and customers.

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Specific actions the state plans to take to enhance coordination between public and private house and social service agencies

All state CDBG-eligible jurisdictions must adhere to the CDBG citizen participation requirements, including outreach to housing and social service agencies that serve the jurisdiction. The County of Imperial is responsible for ensuring that Colonias are aware of available state funding, and aware of agencies that can assist with housing and social service needs. Combined, these actions will better serve Colonia communities.

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AP-85 Other Actions – 91.320(j)

Introduction:

Actions planned to address obstacles to meeting underserved needs

In addition to implementation of eligible activities under the Community Development Block Grant (CDBG), Home Investment Partnerships program (HOME), National Housing Trust Fund (NHTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs discussed throughout this Annual Action Plan (AP); the California Department of Housing and Community Development's (HCD) current Analysis of Impediments to Fair Housing (AI) identifies several actions to address obstacles related to meeting underserved needs. Planned actions under the AI for Fiscal Year 2019-20 include, but are not limited to, the following: (1) continue tracking the minority concentration of HOME projects and make this data available with the Consolidated Annual Performance and Evaluation Report (CAPER) as required by the U.S. Department of Housing and Urban Development (HUD); (2) continue offering HOME application rating points to projects located outside of areas of minority concentration and in areas of opportunity; (3) continue implementation of Housing Elements, including enforcement and incentives; (4) begin providing CDBG Fair Housing Outreach points to incentivize access to opportunity; (5) continue training efforts related to fair housing and housing element compliance; and (6) continue to consider ways to incentivize Access to Opportunity Areas on a Notice of Funding Availably (NOFA) basis. Progress on implementation of recommended actions in the AI will be provided annually in the CAPER.

Actions planned to foster and maintain affordable housing

In addition to implementation of housing assistance activities under the federal CDBG, HOME, NHTF, ESG and HOPWA programs, the HCD has a number of state-funded housing programs in place to foster and maintain affordable housing. For a listing of current NOFAs, see http://www.hcd.ca.gov/grants-funding/active-funding/index.shtml. HCD also plans to release NOFAs in 2019-20 for the following state programs designed to foster and/or maintain affordable housing: Affordable Housing and Sustainable Communities (AHSC) program, Supportive Housing Multifamily Housing program (SHMHP), Mobilehome Park Rehabilitation and Resident Ownership Program (MPRROP), Veterans Housing and Homelessness Prevention (VHHP) program, and No Place Like Home Program (NPLH).

On November 1, 2018, HCD issued a NOFA under the AHSC program for approximately $395 million. Administered by California's Strategic Growth Council (SGC) and implemented by HCD, the AHSC program funds land-use, housing, transportation, and land preservation projects to support infill and compact affordable housing developments that reduce

greenhouse gas ("GHG") emissions. The AHSC program is funded by the Greenhouse Gas Reduction Fund (GGRF), an account established to receive Cap-and-Trade auction proceeds. The AHSC program also helps further HCD's climate change priority by incentivizing developments that reduce GHG, Vehicle Miles Traveled (VMT), as well as many other climate goals. See http://www.hcd.ca.gov/grants-funding/active-funding/ahsc.shtml for more information. Applications were due on February 11, 2019 and HCD received 47 applications requesting $673,741,832. HCD anticipates announcing awards in summer 2019 and plans to release another AHSC NOFA in the fall of 2019.

HCD released a NOFA in November 2018 for SHMHP. This program provides low-interest loans to developers of permanent affordable rental housing that contain supportive housing units. The application deadline was February 8, 2019. For the approximately $155 million available, HCD received 20 applications requesting approximately $142 million. An application log and self-score report is located on HCD's website at http://www.hcd.ca.gov/grants-funding/active-funding/shmhp/docs/2018InitialSHMHPApplicationsandSelf-Scores.pdf. HCD anticipates announcing awards in June 2019.

HCD's MPRROP provides financing to mobilehome park resident organizations, qualified nonprofit housing sponsors, or to local public entities to purchase a mobilehome park in order to preserve it as a source of affordable housing. MPRROP also provides loans to park resident organizations and qualified nonprofit housing sponsors to assist residents with needed repairs or accessibility upgrades to the mobilehomes if specified criteria are met. In October 2017, the Governor approved Senate Bill 136 allowing HCD to use MPRROP funds to provide technical assistance (TA) to mobilehome park residents and/or community based nonprofit corporations for acquiring, financing, operating and improving mobilehome parks occupied by low- and moderate-income households. HCD drafted guidelines for the technical assistance component of the program and plans to release a request for proposal in spring 2019. Additionally, HCD plans to release a MPRROP NOFA in summer of 2019.

In June 2014, voters approved bonds for the Veterans Housing and Homeless Prevention (VHHP) Program that provided $600 million for veterans housing. At least 50 percent of capital development funding must be used for housing for extremely low-income, and at least 60 percent of the units assisted must be permanent supportive housing. In 2018, HCD issued the round four VHHP NOFA for $75 million. For a list of awarded projects please go to HCD's website at http://www.hcd.ca.gov/grants-funding/active-funding/vhhp/docs/VHHP%20Round%204%20Award%20Data.pdf.

HCD plans to release a round five VHHP NOFA for approximately $75 million in September 2019. See http://www.hcd.ca.gov/grants-funding/active-funding/vhhp.shtml for more information.

NPLH program's purpose is to acquire, design, construct, rehabilitate, or preserve permanent supportive housing for seriously

mentally ill persons who are experiencing homelessness, chronic homelessness or who are at risk of chronic homelessness. In August 2018, HCD released a NPLH NOFA of $190 million in non-competitive funds. Every County is eligible for NPLH Noncompetitive Allocation funds. Counties whose Noncompetitive Allocation has not already been fully awarded to them by August 19, 2019 must submit the County Noncompetitive Allocation Acceptance Form and an executed copy of the Authorizing Resolution Template for Acceptance Form no later than August 15, 2019. Counties must submit one or more project applications utilizing Noncompetitive Allocation funds no later than February 15, 2021. In October 2018, HCD released a NOFA of $400 million in competitive funds. The application due date was January 30, 2019. HCD received 45 applications requesting approximately $194 million of the approximately $178 million available for counties that were not designated as Alternative Process Counties. An application log and self-score report is located on HCD's website at http://www.hcd.ca.gov/grants-funding/active-funding/nplh/docs/Applications-Received-2018-NPLH-NOFA.pdf. HCD anticipates awarding these funds in June 2019. HCD designated four counties (Los Angeles, San Diego, Santa Clara, and San Francisco) to administer their own NPLH allocation. In March 2019, HCD awarded three of the four Alternative Process Counties their Noncompetitive Allocation funds and Round 1 competitive funds for approximately $300 million. Approximately $27.7 million in funds available to San Francisco will be awarded later this spring. HCD anticipates releasing a second NOFA of competitive funds in September 2019.

The state was also awarded nearly $24 million in HUD five-year renewable project- based Section 811 Project Rental Assistance Program (PRA) funds. Round one funding, which was made available to the state in June 2014, provides five-year renewable rental assistance to affordable housing projects serving Medicaid beneficiaries with disabilities, ages 18-61, who have resided in a long-term health care facility for at least 90 days and desire to return to community living, or are at risk of placement in an inpatient facility because of loss of housing. The Round one NOFA was issued in August 2014 and these funds have been fully awarded. Round two Section 811 funds awarded are now at Fair Market Rent and most of these funds have been committed to date. For NOFA and application materials, see http://www.calhfa.ca.gov/multifamily/section811/nofa/index.htm. State agency partners for round one and two include HCD, the California Housing Finance Agency (CalHFA), the California Department of Health Care Services (DHCS), California Department of Developmental Services (DDS), and the California Tax Credit Allocation Committee (TCAC).

SB 2 (2017), the Building Homes and Jobs Act, establishes a permanent source of funding through a variety of real estate transactions, intended to increase the affordable housing stock in California. Seventy percent of these collected on and after January 1, 2019 will be allocated to local governments through the Permanent Local Housing Allocation (PLHA). PLHA funds will be available for eligible housing-related projects and programs to assist in addressing the unmet housing needs of local

communities. HCD plans to release funding for local governments through the Permanent Local Housing Allocation Program in 2019-20. Ten percent of these funds will be used to address affordable homeownership and rental housing opportunities for agricultural workers and their families. HCD plans to include these funds in the Joe Serna, Jr., Farmworker Housing Grant Program NOFA that will be released in May 2019.

Proposition 1 passed by voters in November 2018 approved $3 billion in bond funds for the following HCD programs: Multifamily Housing Program, CalHome, Local Housing Trust Fund, Transit Oriented Development Housing Program, Infill Infrastructure Grant, and Joe Serna, Jr., Farmworker Housing Grant. HCD plans to release NOFAs for these programs in calendar year 2019.

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HOME Program Value Limit Waivers
Current federal HOME regulations require participating jurisdictions to use the HOME Value limits provided by HUD for existing and newly-constructed single-family housing. In lieu of the current federal HOME Value limits, the State has solicited from grantees that reported inadequate and thus infeasible Value Limits their sales price information and requests for Value Limits increases, based upon the State's prescribed methodology, per the requirements of 92.254(a)(2)(iii) of the HOME Final Rule. In 2019, the State verified the submitted requests, based on 95 percent of the median area purchase price for single family housing, for each of the grantees listed in Appendix E. The median purchase price was determined using a minimum of 100 sales for at least a two-month period. The HOME Program Value Limit Waivers will increase potential homebuyers' access to local housing inventory and assist existing homeowners with Owner-Occupied Rehabilitation loans, and in many cases remedy infeasible programs that have had no existing housing stock below the current HOME Value Limits. The waivers were approved by HUD and are effective from March 28, 2019 through June 30, 2020. Please see Appendix E for the Summary of 2018 HOME Value Limit Waiver Requests.

Actions planned to reduce lead-based paint hazards

All HCD recipients that are awarded federal funds (CDBG, HOME or other HUD programs) are required to follow the regulations and statutes pertaining to lead-paint hazards. Specific requirements depend on the type and amount of federal financial assistance, the age of the structure, and whether the dwelling is rental or owner-occupied.
HCD recipients are responsible for informing residents of the potentials of lead-based paint hazards in their home, evaluating the degree of lead-based paint hazards, mitigating these hazards, providing clearance on the rehabilitated areas affected by

the lead-based paint work, and providing all appropriate notices.

Lead regulations include (but are not limited to) the HUD Lead Safe Housing Rule, as listed in 24 CFR Part 35, Public Law 102-550 (Residential Lead-Based Paint Hazard Reduction Act of 1992), Title X, Sections 1012 and 1023 (requirement for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance), and Title X, Section 1018 (requirements for Disclosure of Known Lead-Based Paint and/or Lead-Based Paint Hazards in Housing) when implementing these activities: Housing Rehabilitation activities (whether operated as a single family residential (one-four units) program, or a multifamily (five or more units) project, Homebuyer Assistance Programs and Tenant-based Rental Assistance. HCD includes these requirements in standard agreements with grantees and verifies compliance when the grantees are monitored.

Actions planned to reduce the number of poverty-level families

In addition to CDBG's economic development activities (discussed in CDBG's Method of Distribution in Section AP-30), the ESG and HOPWA program's funded case management services may help poverty-level households link to mainstream education and employment opportunities and assist them in increasing their income.

Other state housing programs seek to reduce the housing cost burden on families who are considered extremely low income, many of whom have incomes at or below the poverty level, by developing housing units at 30 percent AMI or below, and/or by providing rent or operating subsidies that will assist families at this income level to afford housing. The Supportive Housing Multifamily Housing Program (SHMHP), NHTF, VHHP, NPLH, CalWORKs Housing Support Program, and the Section 811 PRA program specifically target households at 30 percent AMI or below. Other state programs such as HOME, AHSC, and 9 percent and 4 percent tax credit programs provide application rating points and/or additional dollars for providing rents to individuals and families at 30 percent AMI or below.

Established in 2018, the California Emergency Solutions and Housing (CESH) program utilizes a portion of the first year's revenues from Senate Bill (SB) 2 (2017) to provide funding to Continuums of Care (CoCs) for housing relocation and stabilization services, operating subsidies for permanent housing, flexible housing subsidies, shelter operations, and capacity building. In August 2018, HCD released the first California Emergency Solutions and Housing (CESH) NOFA, making $53 million available using a portion of SB 2 funds and remaining California Emergency Solutions Grant program funds.
All California CoCs applied and were awarded the first round of CESH funds. Please go to HCD's website to see the award

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list, http://www.hcd.ca.gov/grants-funding/active-funding/cesh/docs/2017-2018-CESH-Awards-List.pdf. HCD released another CESH NOFA on March 29, 2019 for approximately $29 million.

The state's Homeless Coordinating and Financing Council (HCFC) released a NOFA for Homeless Emergency Aid Program (HEAP) grant funding on September 5, 2018 for $500 million. Eligible uses for these funds include, but are not limited to:

1. Services: Street outreach, health and safety education, criminal justice diversion programs, prevention services, navigation services, and operating support for short-term or comprehensive homeless services.
2. Rental assistance or subsidies: Housing vouchers, Rapid Re-housing programs, and eviction prevention strategies.
3. Capital improvements: Emergency shelter, transitional housing, drop-in centers, permanent supportive housing, small/tiny houses, and improvements to current structures that serve homeless individuals and families.

Applications were due on December 31, 2018 and the standard distribution of funds began in March 2019.

Actions planned to develop institutional structure

The 2018-19 budget included significant investments and policy changes to address homelessness. These investments included over $700 million to address homelessness. This large infusion of homelessness funding provides HCD with an opportunity to support local and regional efforts to build or improve system capacity, develop or implement Housing First policies, and improve efforts around housing stability for people experiencing homelessness. HCD is offering technical assistance to California CoCs to enhance, develop, build capacity, or improve the design and the delivery of programs and services for people experiencing homelessness. Eligible recipients may request technical assistance in the following categories: Building Capacity, Housing First, and Housing Stability. HCD will evaluate each technical assistance request based on its unique circumstances and challenges. Types of assistance offered and activities supported, at no cost to the jurisdictions, include analysis assistance, stakeholder-convened discussions, tool kits, education and training through workshops and webinars, onsite or remote consultations with technical experts.

Additionally, pursuant to HUD's Community Planning and Development Notice 18-09, HCD will develop and disseminate homebuyer policies and procedures including homebuyer underwriting standards, responsible lending standards, and standards for refinancing and subordination of the HOME loan via a policy memorandum in FY 2019-20 and require State Recipients and Subrecipients to apply those policies to all homebuyers for whom HOME funds were committed on or after

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January 24, 2014.

Actions planned to enhance coordination between public and private housing and social service agencies

Through the VHHP, Section 811 PRA, NPLH, HHC, HCD continues to work with other state departments to assist housing providers serving homeless and other low-income households to supportive services offered or funded through partner agencies for these programs.
See http://www.hcd.ca.gov/grants-funding/active-funding/vhhp.shtml for more information on VHHP, http://www.hcd.ca.gov/grants-funding/active-funding/section-811.shtml for more information on Section 811 PRA, http://www.hcd.ca.gov/grants-funding/active-funding/nplh.shtml for more information on NPLH, and http://www.hcd.ca.gov/grants-funding/active-funding/hhc.shtml for more information on HHC.

In addition to the programs discussed above, the California Department of Public Health, Office of AIDS (CDPH/OA) is the state agency that administers statewide programs and activities that pertain to HIV/AIDS and is statutorily responsible for coordinating all state programs, services, and activities relating to HIV/AIDS. State HOPWA is situated in CDPH/OA to ensure that all HIV/AIDS service programs, including housing assistance, are coordinated at state and local levels.

CDPH/OA emphasizes inclusion of representatives of various HIV/AIDS service agencies, other State of California departments (such as Corrections and Rehabilitation, HCD, Rehabilitation, Health Care Services, and Developmental Services), local health departments, and others, in information gathering, research, and decision-making processes.

CDPH/OA convenes the California Planning Group (CPG) to assist in the development, implementation, and revision of the Integrated Plan. The Integrated Plan responds to the National HIV/AIDS Strategy that includes housing goals and objectives related to prevention efforts and improved access to HIV/AIDS care and treatment. CPG also informs CDPH/OA about local trends or newly emerging populations in the epidemic, and successes and challenges in accessing HIV care and prevention services in the community. The CPG includes representatives from local HIV/AIDS planning groups as well as consumers, local health departments, and other statewide experts in the field.

Activities from the Integrated Plan to identify unstably housed persons include developing a system for routinely screening AIDS Drug Assistance Program (ADAP) clients for unstable housing situations and homelessness during the annual ADAP re-enrollment, encouraging housing evaluation as a routine part of medical assessment, and establishing a CPG subcommittee to address housing services.

Project sponsors are required, to the extent possible, to participate in local HIV/AIDS planning groups and the HIV/AIDS service delivery and needs assessment processes within their community. Local participation assists project sponsors in linking clients to necessary services and establishing collaborative relationships with other local government and private service agencies.

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AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction:

Projects planned with all the California Department of Housing and Community Development (HCD) Community Development Block Grant (CDBG) funds expected to be available during the year are identified in the Projects Table.

The U.S. Department of Housing and Urban Development (HUD) changed its CDBG and Home Investment Partnerships (HOME) program requirements for reporting Program Income (PI) (24 Code of Federal Regulations CFR), Parts 91 and 92). CDBG information required by 24 CFR 91.320(k)(1)(iv) includes the following: a description of each of the local accounts, including the name of the local entity administering the funds, contact information for the entity administering the funds, the amounts expected to be available during the program year, the eligible activity type(s) expected to be carried out with the program income, and the national objective(s) served with the funds. In addition, the HOME Interim Rule (12/2/16) requires that uncommitted program income, repaid funds, or recaptured funds received during the previous program year must be described in the Annual Plan (AP). Pursuant to 24 CFR 91.320(k)(2)(i) for the HOME program, the state may choose to include program income funds that are expected to be received during the program year if the state plans to commit these funds during the program year. As part of the CDBG program redesign, HCD will be implementing new program income (PI) reuse agreements with new PI reporting requirements intended to meet HUD's requirements and to encourage PI expenditures on eligible activities. The new agreements are currently in development and will be rolled out with the new method of distribution, Notice of Funding Availability (NOFA), and applications.

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Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	5,073,194
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	5,073,194

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

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HOME Investment Partnership Program (HOME)
Reference 24 CFR §91.320(k)(2)

1. **A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

None. HOME funds are allocated in the form of grants and deferred payment loans.

2. **A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows**:

Pursuant to 24 CFR §92.254(a)(5) and state HOME regulations §8206.1, the state HOME program will utilize the recapture option in its homeownership programs and projects, but may utilize the resale option for limited equity forms of ownership, such as cooperatives and community land trusts. Due to reductions in HOME funding and staffing levels, HOME will be unable to administer First Time Home Buyer (FTHB) activities proposing use of resale controls; therefore, no activities proposing use of resale controls will be approved in the coming fiscal year.

Recapture loans: Where the local jurisdiction or the Community Housing Development Organization (CHDO) is not imposing its own resale controls, the method used is to recapture the entire amount of the loan to the homebuyer. The assistance provided to the homebuyer may include down-payment assistance, closing costs, and/or the difference between the appraised home sales price and the amount of the first mortgage for which the low-income homebuyer can qualify, plus closing costs. The home sales price cannot exceed the appraised value of the home. If HCD provides funds for homeowner new construction or rehabilitation, and total project costs exceed appraised value, the development subsidy is not subject to recapture.

Pursuant to 24 CFR §92.254, when recapture is triggered by a sale (voluntary or involuntary) of the housing unit and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, only the net proceeds can be recaptured, if any. Net proceeds are the sales price minus superior loan repayments (other than HOME funds) and any closing costs. HOME loans made under the recapture option may be assumed by subsequent HOME-eligible purchasers.

For loans held by state recipients, the local jurisdiction may impose equity sharing provisions on the appreciation in home value proportionate to the share of the HOME assistance provided, less the homeowner investment in the property. Equity sharing would only apply if the sales price is sufficient to repay the HOME loan and the loan is not assumed by another HOME-eligible purchaser.

The captured appreciation may also be reduced proportionate to the number of years during which the homebuyer has owned the home. The captured appreciation may also be subject to restrictions by other public lenders such as the United States Department of Agriculture (USDA) or California Housing Finance Agency (CalHFA).

Applicants requesting funding for Owner Occupied Rehabilitation (OOR), First Time Home Buyer (FTHB) projects, and FTHB programs must submit documentation (i.e. – promissory note, deed of trust, regulatory agreement, etc.) showing specific recapture provisions per HUD's recapture requirements established in 24 CFR 92.254(a)(5)(ii). These documents will be sent to HUD for approval prior to awarding funds for these activities.

Typically, the appreciation is calculated as follows:

Gross appreciation is calculated by subtracting the original sales price from the current sales price or the current appraised value if the loan-accelerating event is other than sale of the property.

Net appreciation is calculated by subtracting the seller's applicable closing costs, seller's cash contribution in the original purchase transaction, value of seller's sweat equity, if applicable, and documented value of capital improvements from the gross appreciation amount.

The state recipient only claims repayment of the HOME principal and a portion of the net appreciation. That maximum portion of the net appreciation that is claimed by the state recipient is equal to the percentage of the value of the home financed by the HOME loan. That is, if the loan equals 20 percent of the initial value of the home, the state recipient claims a maximum of 20 percent of the net appreciation.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Pursuant to state HOME regulations Section 8206.1, HOME loans may be made in the form of resale loans on projects involving limited equity forms of ownership. In other situations, although the HOME loan remains a recapture loan, a state recipient or CHDO may impose its own resale controls when there is a subsidy other than state HOME funds. The subsidy need not be an actual loan; it may be in the form of an inclusionary ordinance that requires homes to sell below fair market value.

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4. **Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR §92.206(b), are as follows:**

None. The state HOME program does not use its funds for refinancing of existing HOME debt.

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Emergency Solutions Grant (ESG)
Reference 24 CFR §91.320(k)(3)

1. **Include written standards for providing ESG assistance (may include as attachment)**

HCD requires applicants to submit written standards for each funded activity. All written standards must comply with **Appendix C**.

2. **If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.**

The state has approximately 40 Continuums of Care (CoC) potentially eligible to access federal ESG funds. Individual CoC Coordinated Assessment descriptions are not available; however, all Coordinated Assessment systems of Service Areas (SA) funded by ESG in a given year must meet the requirements of Section 8409(a) of the ESG state regulations, as well as the HUD requirements.

3. **Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).**

See ESG's Method of Distribution in AP-30.

If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR §576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

This requirement does not apply to states.

4. **Describe performance standards for evaluating ESG.**

See ESG's Method of Distribution in AP-30.

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Housing Trust Fund (HTF)
Reference 24 CFR 91.320(k)(5)

1. **How will the grantee distribute its HTF funds? Select all that apply:**

☑ Applications submitted by eligible recipients
☐ Subgrantees that are State Agencies
☐ Subgrantees that are HUD-CPD entitlement grantees

HCD will distribute funds to eligible recipients, as defined in 24 CFR § 93.2, through a competitive application process. A NOFA will be issued and applications will be rated and ranked.

2. **If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".**

N/A

3. **If distributing HTF funds by selecting applications submitted by eligible recipients,**

a. **Describe the eligibility requirements for recipients of NHTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".**

To be eligible, a recipient may be an organization, agency, or other entity (including a public housing agency, or a for-profit entity or a nonprofit entity) that receives National Housing Trust Fund (NHTF) assistance from a grantee as an owner or developer to carry out an NHTF-assisted project, which meets the requirements of 24 CFR §93.2 of the recipient. A recipient must:

- Make acceptable assurance they will comply with all NHTF requirements during the entire affordability period;
- Demonstrate ability and financial capacity to undertake, comply, and manage the eligible activity;
- Demonstrate familiarity with requirements of federal, state and any other housing programs used in conjunction with NHTF funds to ensure compliance; and

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- Demonstrate experience and capacity to conduct the eligible NHTF activity in questions as evidenced by relevant history.

b. Describe the grantee's application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

HCD will issue a NOFA and require eligible recipients to submit applications that contain a description of the eligible activities to be conducted with NHTF funds as required in CFR 24 §93.200. The NOFA shall specify the maximum amount of project funds available, any restrictions on uses of funds, general terms and conditions of funding allocations, threshold requirements, timeframe for submittal of applications, application requirements, and rating metrics. Application requirements include, but are not limited to, the following:

- Identification of applicants;
- Information of proposed project;
- Adequate information to determine applicant's eligibility;
- Adequate information to determine project's eligibility;
- Certification of compliance with federal and state requirements;
- Resolution by the governing board authorizing the application and execution of all documents;
- Adequate information to determine applicant's experience;
- Site control;
- Compliance with the state's policy on Housing First;
- Project readiness to proceed; and
- Adequate information to determine project's feasibility.

Each eligible recipient will be required to certify that housing assisted with NHTF funds will comply with federal and state NHTF requirements.

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The California State Legislature passed Assembly Bill (AB) 74, which subsequently was signed into law by Governor Brown. AB 74 directs the state to use NHTF resources for the newly established Housing for a Healthy California program.
The application selection criteria will also consist of requirements specifically mandated in the Housing for a Healthy California, AB 74 statute. HCD will apply the AB 74 requirements in a manner consistent with the rules of the NHTF funds. Eligible projects will receive points for the following:

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1. Development team experience, measured amongst the developer, applicant ownership and operations, property manager, and lead service provider.
2. Percentage of assisted units restricted for supportive housing, with a minimum of at least 5 percent.
3. Supportive services plan including clear description of services, resident involvement, and budget information.
4. Utilization of other funding sources to offset requests.
5. Leverage of rental or operating subsidies available for the project.
6. Readiness to proceed with documented project milestones completed.
7. Need, which includes consideration of the number of individuals experiencing homelessness in the geographic jurisdiction.
8. Location efficiency and reasonable access to destinations for participating tenants.

d. Describe the grantee's required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

NHTF funding will be available to all jurisdictions in California. However, HCD will allocate at least 20 percent of the funding for projects located in rural areas as defined by §50199.21 of the California Health and Safety Code (HSC). In the event no projects target the 20 percent allocation for projects located in rural areas, funds will be distributed according to the Distribution Methods identified in AP-30 and of the Consolidated Plan (ConPlan). (This is to address meeting the benchmark goal of increasing the supply of affordable rental housing for extremely low- and very low-income individuals.)

e. Describe the grantee's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

HCD will determine the applicant's capacity to obligate NHTF funds based on experience. Applicants must demonstrate the successful implementation of federal, state, or local affordable housing or community development projects within the last five years.

f. Describe the grantee's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The state's required priority to fund projects based on leveraged rental subsidies will be met by establishing a rating and ranking methodology that awards points for projects leveraging project based rental subsidies such as Housing Choice Vouchers (HCV), Veterans Affairs Supportive Housing (VASH) vouchers, and Sponsor-based Rental Assistance (SRA). To a lesser extent, rental assistance provided through Homeless Prevention and Rapid Re-housing (HPRP), Tenant-based Rental Assistance (TBRA) made available through the Shelter Plus Care (S+C), and local subsidy pools, or similar programs may receive incentive points. Other local commitments will also count towards this rating factor, such as state funds from Senate Bill (SB) 2 for rental assistance.

g. Describe the grantee's required priority for funding based on the financial feasibility of the project beyond the required 30 year period. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Pursuant to CFR 24 §93.302(d), the federal affordability period is 30 years commencing upon project completion; however, HCD imposes a 55-year state affordability period. In order for projects to be eligible for funding, developers must enter into regulatory agreements that requires them to serve the target population and maintain project affordability for 55 years. Projects located on Native American Lands will have a 50-year affordability period. These affordability periods are required and do not result in any additional points for eligible applications.

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

HCD will include the Location Efficiency and Access to Destination as part of the rating and ranking criteria. This pertains to reasonable access and proximity to amenities, services and public transportation.

HCD may also include state objective points for its three housing and community development priorities: (1) homelessness; (2) access to opportunity; and (3) climate change, including adaptation and hazard mitigation. Further information and guidance on these additional state objective factors is in the NOFA.

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i. **Describe the grantee's required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".**

HCD will examine the project development plan, as well as the status of local government approvals, design progress, and financing commitments. Financing commitments will include the leveraging of non-federal development funding sources and the extent to which the project has federal, state, or local project based rental assistance.

4. **Does the grantee's application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".**

Yes, HCD requires the applicant to thoroughly describe eligible activities that will be funded utilizing NHTF funds.

5. **Does the grantee's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".**

Yes, HCD requires a certification by each eligible recipient that housing units assisted with the NHTF will comply with NHTF federal regulations for development of multifamily rental housing.

6. ***Performance Goals and Benchmarks*. The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.**

HCD has met the performance goals and benchmarks requirement by including the goal of increasing the supply of rental housing as a priority in the state's ConPlan. The goal is to increase the supply of affordable rental housing by providing NHTF assistance to units for tenants at or below 30 percent Area Median Income (AMI) or below the poverty line; whichever is greater. HCD included NHTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

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7. **Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds. Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.**

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

HCD will adopt maximum per-unit development subsidy limits similar to the limits established by the state's No Place Like Home (NPLH) program, as follows:

1. Maximum per-unit loan amounts shall not exceed the total eligible costs required, when considered with other available financing and assistance, in order to:
   a) Enable the funds to be used for eligible uses;
   b) Ensure that rents for Assisted Units comply with program requirements; and
   c) Operate in compliance with all other program requirements.

2. The capital portion of the loan amount is further limited to the sum of a base amount per unit, plus the amount per unit required to reduce rents from 30 percent of the 30 percent of the (AMI) level to the actual maximum restricted rent for the unit, with loan limits increasing based on the level of affordability provided.

3. For loan limit calculations, HCD shall include the number of units within a Rental Housing Development and the number of bedrooms per unit.

4. For units receiving rental assistance, the loan amount will be based on the most restrictive level of income restriction that will apply following the closing of the program loan.

5. Initial base amounts for the portion of the loan that does not include rental assistance are set at:

   a) $125,000 per unit for projects using 9 percent low-income housing tax credits.
   b) $175,000 per unit for all other projects.

6. The amounts in subparagraph (e) above will be adjusted annually based upon increases in the Consumer Price Index.

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Maximum per-unit loan amounts for loans underwritten by HCD shall be updated annually and published in the NOFA.

8. **Rehabilitation Standards. The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.**

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

Although rehabilitation is allowed by HUD, HCD will not use NHTF funds for rehabilitation of housing.

9. **Resale or Recapture Guidelines. Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".**

N/A

10. ***HTF Affordable Homeownership Limits*. If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".**

☐ The grantee will use the HUD-issued affordable homeownership limits.

☐ The grantee has determined its own affordable homeownership limits using the methodology described in § 93.305(a)(2) and the limits are attached.

N/A

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11. **Grantee Limited Beneficiaries or Preferences. Describe how the grantee will limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population to serve unmet needs identified in its consolidated plan or annual action plan. If the grantee will not limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population, enter "N/A."**

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

HCD will limit beneficiaries and/or give preference to segments of the extremely low-income population as identified in the HCD AP.

12. **Refinancing of Existing Debt. Enter or attach the grantee's refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."**

HCD will not permit NHTF funds to be used to refinance existing long-term debt.

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Housing Trust Fund (HTF)
Reference 24 CFR 91.320(k)(5)

NHTF Questions Part 2

1. Distribution of funds

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2).

To be eligible, a recipient may be an organization, agency, or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) that receives NHTF assistance from a grantee as an owner or developer to carry out an NHTF-assisted project which meets the requirements of 24 CFR §93.2 of Recipient. A recipient must:

a) Make acceptable assurance they will comply with all NHTF requirements during the entire affordability period;
b) Demonstrate ability and financial capacity to undertake, comply, and manage the eligible activity;
c) Demonstrate familiarity with requirements of federal, state, and any other housing programs used in conjunction with NHTF funds to ensure compliance; and
d) Demonstrate experience and capacity to conduct the eligible NHTF activity in question as evidenced by relevant history.

b. Describe the State Agency's application requirements for eligible recipients to apply for HTF funds.

HCD will issue a NOFA and require eligible recipients to submit applications that contain a description of the eligible activities to be conducted with NHTF funds as required in CFR 24 §93.200. The NOFA shall specify the maximum amount of project funds available, any restrictions on uses of funds, general terms and conditions of funding allocations, threshold requirements, timeframe for submittal of applications, application requirements, and rating metrics. Application requirements include, but are not limited to, the following:

- Identification of applicants;
- Information on proposed project;
- Adequate information to determine applicant's eligibility;
- Adequate information to determine project's eligibility;

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- Certification of compliance with federal and state requirements;
- Resolution by the governing board authorizing the application and execution of all documents;
- Adequate information to determine applicant's experience;
- Site control;
- Compliance with the state's policy on Housing First;
- Project readiness to proceed; and
- Adequate information to determine project's feasibility.

Each eligible recipient will be required to certify that housing assisted with NHTF funds will comply with NHTF requirements.

c. Describe the selection criteria that the State Agency will use to select applications submitted by eligible recipients.

The application selection criteria will consist of requirements specifically mandated in the Housing for a Healthy California, AB 74 statute. HCD will apply the AB 74 requirements in a manner consistent with the rules of the NHTF funds. Eligible projects will receive points for the following:

1. Development team experience, measured amongst the developer, applicant ownership and operations, property manager, and lead service provider.
2. Percentage of assisted units restricted for supportive housing, with a minimum of at least 5 percent.
3. Supportive services plan including clear description of services, resident involvement, and budget information.
4. Utilization of other funding sources to offset requests.
5. Leverage of rental or operating subsidies available for the project.
6. Readiness to proceed with documented project milestones completed.
7. Need, which includes consideration of the number of individuals experiencing homelessness in the geographic jurisdiction.
8. Location efficiency and reasonable access to destinations for participating tenants.

d. Describe the State Agency's required priority for funding based on geographic diversity (as defined by the State in the consolidated plan).

NHTF funding will be available to all jurisdictions in California. However, HCD will allocate at least 20 percent of the NHTF for

projects located in rural areas as defined by § 50199.21 of the HSC. In the event no projects target the 20 percent allocation for projects located in rural areas, funds will be distributed according to the Distribution Methods identified in AP-30 and the ConPlan. (This is to address meeting the benchmark goal of increasing the supply of affordable rental housing for extremely low and very low-income households.)

e. Describe the State Agency's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner.

HCD will determine the applicant's capacity to obligate NHTF funds based on experience. Applicants must demonstrate the successful implementation of federal, state, or local affordable housing or community development projects within the last five years.

f. Describe the State Agency's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families.

HCD's required priority to fund projects based on leveraged rental subsidies will be met by establishing a rating and ranking methodology that awards points for projects leveraging project-based rental subsidies such as Housing Choice Vouchers (HCV), Veterans Affairs Supportive Housing (VASH) vouchers, and Sponsor-based Rental Assistance (SRA). To a lesser extent, rental assistance provided through Homeless Prevention and Rapid Re-housing (HPRP), Tenant-based Rental Assistance (TBRA) made available through the Shelter Plus Care (S+C), and local subsidy pools, or similar programs may receive incentive points. Other local commitments will also count towards this rating factor, such as state funds from SB 2 for rental assistance.

g. Describe the State Agency's required priority for funding based on the financial feasibility of the project beyond the required 30-year period.

Pursuant to CFR 24 §93.302(d), the federal affordability period is 30 years commencing upon project completion; however, HCD imposes a 55-year state affordability period. In order for projects to be eligible for funding, developers must enter into regulatory agreements which requires them to serve the target population and maintain project affordability for 55 years. Projects located on Native American Lands will have a 50-year affordability period. These affordability periods are required and do not result in any additional points for eligible applications.

h. Describe the State Agency's required priority for funding based on the merits of the application in meeting

the priority housing needs of the State (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations).

HCD will include the Location Efficiency and Access to Destination as part of the rating and ranking criteria. This pertains to reasonable access and proximity to amenities, services, and public transportation.

HCD may also include state objective points for its three housing and community development priorities: (1) homelessness; (2) access to opportunity; and (3) climate change, including adaptation and hazard mitigation. Further information and guidance on these additional state objective factors is in the NOFA.

i. **Describe the State Agency's required priority for funding based on the extent to which the application makes use of non-federal funding sources.**

HCD will examine the project development plan, as well as the status of local government approvals, design progress, and financing commitments. Financing commitments will include the leveraging of non-federal development funding sources and the extent to which the project has federal, state, or local project-based rental assistance.

2. **Does the State Agency's application require the applicant to include a description of the eligible activities to be conducted with HTF funds?**

Yes, HCD requires the applicant to thoroughly describe eligible activities being requested for NHTF funds.

3. **Does the State Agency's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements?**

HCD requires a certification by each eligible recipient that housing units assisted with the NHTF will comply with NHTF federal regulations for development of multifamily rental housing.

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Appendix A
Eligible Jurisdictions for CDBG, HOME, ESG, HOPWA

This table is subject to change.
(Any changes will be reflected in program Notices of Funding Availability and updated in the next Annual Action Plan)

County	CDBG	HOME	ESG	HOPWA
Alameda	None	None	Alameda, CoC Service Area	None
Alpine	Entire County	Alpine County	Inyo, Mono, Alpine Counties CoC Service Area*	Entire County Eligible
Amador	Amador County, Unincorporated Amador City Ione Jackson Plymouth Sutter Creek	Amador County Amador City Ione Jackson Plymouth Sutter Creek	Amador, Calaveras, Tuolumne and Mariposa Counties CoC Service Area*	Entire County Eligible
Butte	Butte County, Unincorporated Biggs Gridley Oroville	Butte County Biggs, Gridley, Oroville, Paradise	Chico/Paradise/Butte County CoC Service Area*	Entire County Eligible
Calaveras	Calaveras County, Unincorporated Angels Camp	Calaveras County Angels Camp	Amador, Calaveras, Tuolumne and Mariposa Counties CoC Service Area*	Entire County Eligible
Colusa	Colusa County Unincorporated Colusa Williams	Colusa County Colusa Williams	Colusa, Glenn, Trinity Counties CoC Service Area*	Entire County Eligible
Contra Costa	None	None	Richmond/Contra Costa, CoC Service Area	None
Del Norte	Del Norte County Unincorporated Crescent City	Del Norte County Crescent City	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Entire County Eligible
El Dorado	El Dorado County Unincorporated	El Dorado County	El Dorado CoC Service	None

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County	CDBG	HOME	ESG	HOPWA
	Placerville South Lake Tahoe	Placerville South Lake Tahoe	Area*	
Fresno	County Unincorporated ineligible Coalinga Firebaugh Fowler Huron Orange Cove Parlier San Joaquin	County not eligible, Coalinga, Clovis, Firebaugh, Fowler, Huron, Orange Cove, Parlier, San Joaquin	Fresno/Madera CoC Service Area	None
Glenn	Glenn County, Unincorporated Orland Willows	Glenn County Orland Willows	Colusa, Glenn, Trinity Counties CoC Service Area*	Entire County Eligible
Humboldt	Humboldt County, Unincorporated Arcata Blue Lake Eureka Ferndale Fortuna Rio Dell Trinidad	Humboldt County, Arcata, Blue Lake, Eureka, Ferndale, Fortuna, Rio Dell Trinidad	Humboldt County CoC Service Area*	Entire County Eligible
Imperial	Imperial County, Unincorporated Brawley, Calexico Calipatria El Centro (Colonias only) Holtville Imperial City Westmorland	Imperial County Brawley, Calexico, Calipatria, El Centro, Holtville, Imperial City, Westmorland	Imperial County CoC Service Area*	Entire County Eligible
Inyo	Inyo County, Unincorporated Bishop	Inyo County Bishop	Inyo, Mono, Alpine Counties CoC Service Area*	Entire County Eligible
Kern	County Unincorporated -ineligible. Arvin Maricopa McFarland Taft Wasco	County not Eligible. Arvin, Delano, Maricopa, Taft, Wasco	Bakersfield/Kern CoC Service Area	None
Kings	Kings County, Unincorporated Avenal	Kings County Avenal, Corcoran, Hanford,	Visalia, Kings, Tulare Counties CoC Service	Entire County Eligible

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County	CDBG	HOME	ESG	HOPWA
	Corcoran Lemoore	Lemoore	Area*	
Lake	Lake County, Unincorporated Clearlake Lakeport	Lake County Clearlake, Lakeport	Lake County CoC Service Area*	Entire County Eligible
Lassen	Lassen County, Unincorporated Susanville	Lassen County Susanville	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Entire County Eligible
Los Angeles	County, Unincorporated ineligible. Artesia Hidden Hills Industry Palos Verdes Estate Vernon	County not Eligible. Artesia, Carson, Cerritos, Gardena, Glendora, Hidden Hills, Industry, Lakewood, Lancaster, Pico Rivera, Redondo Beach, Santa Clarita, Torrance, Vernon, West Covina	Los Angeles City and County CoC Service Area	None
Madera	Madera County, Unincorporated Chowchilla	Madera County Chowchilla, Madera	Fresno/Madera Counties CoC Service Area	Entire County Eligible
Marin	None	None	Marin County CoC Service Area*	Entire County Eligible
Mariposa	Mariposa County	Mariposa County	Amador, Calaveras, Tuolumne and Mariposa Counties CoC Service Area*	Entire County Eligible
Mendocino	Mendocino County, Unincorporated Fort Bragg Point Arena Ukiah Willits	Mendocino County Fort Bragg Point Arena Ukiah Willits	Mendocino County CoC Service Area*	Entire County Eligible
Merced	Merced County, Unincorporated Atwater Dos Palos Gustine Livingston Los Banos	Merced County Atwater, Dos Palos, Gustine, Livingston, Los Banos	Merced City & County CoC Service Area*	Entire County Eligible

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County	CDBG	HOME	ESG	HOPWA
Modoc	Modoc County, Unincorporated Alturas	Modoc County Alturas	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Entire County Eligible
Mono	Mono County, Unincorporated Mammoth Lakes	Mono County Mammoth Lakes	Inyo, Mono, Alpine Counties CoC Service Area*	Entire County Eligible
Monterey	County, Unincorporated, ineligible, Carmel-by-the-Sea Greenfield King City Marina Pacific Grove Sand City Soledad	Monterey County Carmel Del Rey Oaks Gonzales, Greenfield, King City, Marina, Monterey, Pacific Grove, Sand City, Seaside, Soledad	Salinas/Monterey, San Benito Counties CoC Service Area	Entire County Eligible
Napa	Napa County, Unincorporated American Canyon Calistoga St. Helena Yountville	Napa County American Canyon, Calistoga Napa, St. Helena, Yountville	Napa City & County CoC Service Area*	Entire County Eligible
Nevada	Nevada County, Unincorporated Grass Valley Nevada City Truckee	Nevada County Grass Valley, Nevada City, Truckee	Nevada County CoC Service Area*	Entire County Eligible
Orange	County, Unincorporated, ineligible San Juan Capistrano	County not Eligible, Buena Park, Fountain Valley, La Habra, Laguna, Niguel Lake, Forest, Mission Viejo, Newport Beach, Rancho Santa Margarita, San Clemente, San Juan Capistrano, Tustin	Santa Ana/Anaheim/Orange Counties Service Area	None
Placer	Placer County, Unincorporated, Auburn Colfax Lincoln Loomis	Placer County Auburn, Colfax, Lincoln, Loomis, Rocklin, Roseville	Roseville/Rocklin/Placer Counties CoC Service Area*	None
Plumas	Plumas County	Plumas County	Redding/Shasta,	Entire County

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County	CDBG	HOME	ESG	HOPWA
	Portola	Portola	Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Eligible
Riverside	County, Unincorporated ineligible Calimesa Indian Wells Rancho Mirage	County not Eligible Calimesa, Cathedral City, Hemet, Indio, Lake Elsinore, Menifee, Palm Desert, Palm Springs, Perris, Rancho Mirage, Temecula	Riverside City & County CoC Service Area	None
Sacramento	None	County not eligible Elk Grove	Sacramento City & County CoC Service Area	None
San Benito	San Benito County, Unincorporated Hollister San Juan Bautista	San Benito County Hollister San Juan Bautista	Salinas/Monterey, San Benito Counties CoC Service Area*	None
San Bernardino	None	County not Eligible Chino, Hesperia, Redlands, Rialto, Upland	San Bernardino City & County CoC Service Area	None
San Diego	None	None	San Diego City and County CoC Service Area	None
San Francisco	None	None	None	None
San Joaquin	None	County not Eligible Lodi	Stockton/San Joaquin County CoC Service Area	Entire County Eligible
San Luis Obispo	County, Unincorporated ineligible Grover Beach Pismo Beach	County not Eligible Grover Beach Pismo Beach	San Luis Obispo County CoC Service Area	Entire County Eligible
San Mateo	None	None	Daly/San Mateo County CoC Service Area	None
Santa Barbara	County, Unincorporated ineligible Guadalupe	County not Eligible Guadalupe, Lompoc	Santa Maria/Santa Barbara County CoC Service Area	Entire County Eligible
Santa Clara	None	County not Eligible Milpitas	San Jose/Santa Clara City & County CoC Service Area	None
Santa Cruz	Santa Cruz County,	Santa Cruz County	Watsonville/Santa Cruz	Entire County

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County	CDBG	HOME	ESG	HOPWA
	Unincorporated Capitola Scotts Valley	Capitola, Scotts Valley, Watsonville	City & County CoC Service Area*	Eligible
Shasta	Shasta County, Unincorporated Anderson Shasta Lake	Shasta County Anderson Shasta Lake	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Entire County Eligible
Sierra	Sierra County, Unincorporated Loyalton	Sierra County Loyalton	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Entire County Eligible
Siskiyou	Siskiyou County, Unincorporated Dorris, Dunsmuir, Etna, Fort Jones, Montague, Mount Shasta, Tulelake, Weed, Yreka	Siskiyou County Dorris, Dunsmuir, Etna, Fort Jones, Montague, Mount Shasta, Tulelake, Weed, Yreka	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC Service Area*	Entire County Eligible
Solano	Solano County, Unincorporated Benicia, Dixon, Rio Vista, Suisun City	Solano County Benicia, Dixon, Fairfield, Rio Vista, Suisun City, Vacaville	Vallejo/Solano County CoC	Entire County Eligible
Sonoma	None	County not Eligible Petaluma	Santa Rosa/Petaluma/Sonoma County CoC Service Area	None
Stanislaus	County, Unincorporated ineligible Riverbank	County not Eligible Riverbank	Turlock/Modesto/Stanislaus County CoC Service Area	Entire County Eligible
Sutter	Sutter County, Unincorporated Live Oak	Sutter County Live Oak Yuba City	Yuba City & County/Sutter County CoC Service Area*	Entire County Eligible
Tehama	Tehama County, Unincorporated Corning Red Bluff Tehama	Tehama County Corning, Red Bluff, Tehama	Tehama County CoC*	Entire County Eligible
Trinity	Trinity County	Trinity County	Colusa, Glenn, Trinity Counties CoC Service Area*	Entire County Eligible

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County	CDBG	HOME	ESG	HOPWA
Tulare	Tulare County, Unincorporated Dinuba Exeter Farmersville Lindsay Woodlake	Tulare County Dinuba, Exeter, Farmersville, Lindsay, Porterville, Tulare, Woodlake	Visalia, Kings, Tulare Counties CoC Service Area*	Entire County Eligible
Tuolumne	Tuolumne County, Unincorporated Sonora	Tuolumne County Sonora	Amador, Calaveras, Tuolumne and Mariposa Counties CoC Service Area*	Entire County Eligible
Ventura	None	County not Eligible Camarillo Simi Valley Thousand Oaks	Oxnard/San Buenaventura/Ventura County CoC Service Area	Entire County Eligible
Yolo	Yolo County, Unincorporated Winters	Yolo County Winters Woodland	Davis/Woodland/ Yolo County CoC*	None
Yuba	Yuba County, Unincorporated Marysville Wheatland	Yuba County Marysville Wheatland	Yuba City & County/Sutter County CoC*	Entire County Eligible

* For Emergency Solutions Grants (ESG), Continuums of Care (CoC) with an asterisk are within the Balance of State (BOS) allocation. Homeless service providers within these CoC Service Areas (SAs) are eligible to apply under the 2019 ESG Notice of Funding Availability (NOFA) for the BOS allocation. Homeless service providers within other CoC service areas may access these funds by applying directly to an ESG Administrative Entity (AE). A list of approved AEs is available on the California Department of Housing and Community Development (HCD) ESG website at: http://www.hcd.ca.gov/grants-funding/active-funding/esg.shtml. For the most current list of CoCs within each ESG allocation, consult the most current program NOFAs at the above website.

Note: For National Housing Trust Fund (NHTF), an eligible applicant must be the owner or developer of the NHTF-assisted project that meets the requirements identified in the recipient definition of Title 24 Code of Federal Regulations (CFR), Part 93.2.

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Appendix B
Interested Parties Contact List

Note: Information contained in the tables below is subject to change following the 30-day public comment period.

The California Department of Housing and Community Development (HCD) promotes the Annual Action Plan by sending a notice via listserv requesting public comment involvement, along with an additional listserv email for the final report distribution. The types of individuals and entities on HCD's listserv lists include, but are not limited to, local jurisdictions, nonprofit housing organizations, developers, and consultants.

The notices and report are sent to the following listservs:

Listserv	**# of individual/entities listed**
Community Development Block Grant Program	4,886
Neighborhood Stabilization Program	4,756
Disaster Recovery	943
Home Investment Partnerships Program	5,776
Homeless	4,681
Housing element	5,789
Housing Trust Fund	5,776

The California Department of Public Health, Office of AIDS, sends notices and the Annual Plan to the following agencies/counties:

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HOPWA
AGENCY/COUNTY
Alameda County Public Health Department
Alpine County Health and Human Services
Amador County Health and Human Services
Butte County Public Health
Caring Choices
Sierra Hope
Colusa County Health and Human Services
Contra Costa County Public Health Department
Del Norte County Health and Human Services
El Dorado County Public Health Department
Community Regional Medical Center
County of Glenn Health and Human Services
Humboldt County Department of Public Health
Imperial County Public Health Department
Inyo County Health and Human Services Department

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Kern County Public Health Department
Kings County Public Health Department
Community Care Management Corporation
Lassen County Public Health
Los Angeles County Department of Public Health
Long Beach City Public Health Department
Madera County Public Health Department
Marin County Department of Health and Human Services
The Housing Authority of the County of Marin
John C. Freemont Healthcare District
Mendocino County AIDS Volunteer Network
Merced County Public Health Department
Merced County Community Action Agency
County of Modoc Health Services
Mono County Health Department
Monterey County Health Department
Queen of the Valley Medical Center

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Nevada County Public Health Department
Orange County Health Care Agency
Placer County Health and Human Services
Plumas County Public Health Agency
Riverside County Public Health Department
Sacramento County Health and Human Services Agency
San Bernardino County Public Health Department
San Diego County Health and Human Services Agency
San Francisco Department of Public Health
San Joaquin County Public Health Services Department
Access Support Network
San Mateo County Health Department
Santa Barbara County Public Health Department
AIDS Housing Santa Barbara
Santa Clara County Public Health Department
The Health Trust
Santa Cruz County Health Services Agency
Encompass Community Services
Shasta County Health and Human Services Agency
Sierra County Health and Human Services
Siskiyou Health and Human Services

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Solano County Health and Human Services Agency
Planned Parenthood Northern California
Santa Rosa Community Health Centers
Stanislaus County Health Services Agency
Community Impact Central Valley
Ampla Health
Trinity County Public Health
Tulare County Health and Human Services Agency
Family Services of Tulare County
Tuolumne County Health and Human Services
Ventura County Public Health Department
Yolo County Health and Human Services
Yuba County Health and Human Services Department

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Appendix C
Emergency Solutions Grant (ESG) Written Standards Checklist

All CoCs participating in both the CoC allocation and BoS allocation must establish ESG written standards as set forth in the ESG federal regulations, 24 CFR §576.400. In addition, CoC written standards for ESG-funded activities must incorporate the requirements of ESG regulations section 8409.

If the CoC written standards do not include policies for: (1) termination and the appeal process; (2) reasonable accommodation and disability-related grievance procedures; and (3) location where written standard(s) program participant rules are posted; and/or when written standards/program participant rules are posted; and/or when written standards/program participation rules are given to program participants, the ESG applicant may need to provide a copy of those policies.

☐	**I.**	Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under Emergency Solutions Grant (ESG). The policies and procedures must be consistent with the recordkeeping requirements and definitions of "homeless" and "at-risk of homelessness" in the federal ESG regulations at: 24 CFR §576.2 and 24 CFR §576.500 (b-e).
☐	**II.**	Standards for targeting and providing essential services related to street outreach.
☐	**III.**	Policies and procedures for admission, diversion, referral, and discharge by emergency shelters assisted under ESG, including standards regarding length of stay, if any, and safeguards to meet the safety and shelter needs of special populations, (*e.g.,* victims of domestic violence, dating violence, sexual assault, and stalking; and individuals and families who have the highest barriers to housing and are likely to be homeless the longest).
☐	**IV.**	Policies and procedures for assessing, prioritizing, and reassessing individuals' and families' needs for essential services related to emergency shelter.

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☐	**V.**	Policies and procedures for coordination among emergency shelter providers, essential services providers, homelessness prevention, and rapid re-housing assistance providers; other homeless assistance providers; and mainstream service and housing providers. The required coordination may be done over an area covered by the Continuum of Care or a larger area.

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Appendix C

ESG Written Standards Checklist (cont'd)

☐	**I.** Policies and procedures for determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which eligible families and individuals will receive rapid re-housing assistance. For homeless prevention, include the risk factors used to determine who would be most in need of this assistance to avoid becoming homeless.
☐	**II.** Standards for determining what percentage or amount (if any) of rent and utilities costs each program participant must pay while receiving homelessness prevention or rapid re-housing assistance. If the assistance will be based on a percentage of the participant's income, specify this percentage, and how income will be calculated.
☐	**III.** Standards for determining how long a particular program participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time. One-year lease required for project-based assistance. Annual participant evaluations required with rapid re-housing assistance; three-month evaluations required with homeless prevention assistance. Individual assistance cannot exceed 24 months in a three-year period.
☐	**IV.** Standards for determining the type, amount, and duration of housing stabilization and/or relocation services to provide to a program participant, including the limits, if any, on the homelessness prevention or rapid re-housing assistance that each program participant may receive, such as the maximum amount of assistance, maximum number of months the program participant may receive assistance, or the maximum number of times the program participant may receive assistance. Note: ESG regulations limit this assistance to no more than 24 months in a three-year period. Housing stability case management is limited as specified under 24 CFR 576.105
☐	**VI.** *Participation in Homeless Management Information System (HMIS).* The recipient must ensure that data on all persons served and all activities assisted under ESG are entered into the applicable community-wide HMIS in the area in which those persons and activities are located, or a comparable database, in accordance with HUD's standards on participation, data collection, and reporting under a local HMIS.

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Appendix D

Program Income for CDBG

The table below includes a description of each of the local accounts, including the name of the local entity administering the funds, contact information for the entity administering the funds, the estimated amounts expected to be available during the program year, the eligible activity type(s) expected to be carried out with the program income, and the national objective(s) served with the funds.

Jurisdiction	Contact Name	Contact PH #	19/20 Estimate Available	National Objective: Low Mod Job Creation or Retention Activities (LMJ)	National Objective: Low Mod Housing Activities (LMH)	National Objective: Low Mod Housing Activities (LMH)	National Objective: Low Mod Area Benefit (LMA)	National Objective: Low Mod Limited Clientele/ Low Mod Area Benefit (LMC/LMA)	National Objective: Low Mod Area Benefit (LMA)	General Admin
				Activity: Economic Development	Activity: Housing Programs	Activity: Housing Projects	Activity: Public Improvement	Activity: Public Facilities	Activity: Code Enforcement	
American Canyon, City of	Jason Holley	707-647-4558	$5,000							
Arcata, City of	Jennifer Dart	707-825-2112	$200,000	X		X	X			X
Auburn, City of	Chuck Wolfe	530-878-8016	$25,000							
Avenal, City of	Fernando Santillan	559-386-5766	$50,000		X			X		X
Biggs, City of	Jessica Hayes	916-517-4495	$10,000							
Blue Lake, City of	Amanda Mager	707-668-5655	$40,000							
Butte, County of	Heather MacDonald	530-552-3337	$20,000			X				X
Calaveras, County of	Kristin Brinks	209-754-6448	$100,000			X				X

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Calexico, City of	David Dale	760-768-2110	$80,000							
Calipatria, City of	Katherine R. Lopez	760-348-4141	$120,000							
Calistoga, City of	Dylan Feik	707-942-2805	$30,000			X				X
Chowchilla, City of	Susan Long	559-802-1630	$35,000					X		
Clearlake, City of	Adeline Brown	707-994-8201 x341	$40,000						X	X
Coachella, City of	Celina Jimenez	760-398-3502 x119	$15,000							
Colusa, City of	Toni Benson	530-458-4941	$5,000							X
Corcoran, City of	Kindon Meik	559-992-5121	$200,000				X			X
Dinuba, City of	George Avila	559-591-5924	$80,000		X		X			X
Dorris, City of	Carol McKay	530-397-3511	$40,000			X	X			X
Dunsmuir, City of	Mark Brannigan	530-235-4822	$25,000	X						X
El Dorado, County of	CJ Freeland	530-621-5159	$10,000	X	X					
Etna, City of	Sarah Griggs	530467-5256	$5,000							
Exeter, City of	Adam Ennis	559-592-9244	$50,000		X					
Farmersville, City of	Jennifer Gomez	559-747-0458	$50,000		X			X		X
Fort Bragg, City of	Jennifer Owen	707-961-2827	$75,000	X			X	X		X
Fort Jones, Town of		530-468-2281	$50,000							
Fortuna, City of	Merritt Perry	707-725-1400	$25,000							
Fowler, City of	Randy Uyeda	559-834-3113	$50,000							

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Gonzales, City of	Hortencia Vargas	831-675-4208	$20,000	X						
Grass Valley, City of	Lance E. Lowe	530-274-4716	$40,000	X	X					
Gridley, City of	Paul Eckert	530-846-3631	$50,000	X	X	X				X
Guadalupe, City of	Juana M. Escobar	805-356-3891	$5,000					X		X
Hollister, City of	Jamila Saqqa	831-636-4356 Ext 11	$10,000				X		X	
Holtville, City of	Kariza Preciado	760-356-4685	$40,000							
Imperial, City of	Isabel Alvarez	760-355-3335	$3,000							
Imperial, County of	Esperanza Colio	760-604-0901	$50,000				X	X		X
Jackson, City of	Lorie Ann Adams	916-449-3944	$10,000							
Lake, County of	Janet Coppinger	707-263-0119	$5,000		X					X
Lassen, County of	Nicole Madden	530-25-18153	$10,000							
Lindsay, City of	Becky Mesedahl	559-562-7102 x8043	$50,000							
Live Oak, City of	Alison Schmidt	530-695-2112	$15,000				X			X
Madera, County of	Robert Mansfield	559-675-7821	$150,000		X				X	
Mariposa, County of	Tara G. Schiff	209-742-1214	$150,000							
Merced, County of	Patty Hymiller & Mary Goulart	209-385-7686	$25,000	X	X				X	
Montague, City of	Sara Kleier	530-459-3030	$25,000	X						X

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Mount Shasta, City of	Bruce Pope	530-926-7510	$50,000							
Oroville, City of	Amy Bergstrand	530-538-2584	$500,000		X				X	X
Pacific Grove, City of	Terri Schaefer	831-648-3182	$200,000		X					X
Placer, County of	Cathy Donovan	559-745-3170	$40,000	X	X					X
Red Bluff, City of	Lorie Ann Adams	916-449-3944	$5,000							X
Rio Dell, City of	Kyle Knoop	707-764-3532	$3,000							
Riverbank, City of	Marisela Garcia	209-863-7110	$100,000						X	X
San Juan Capistrano, City of	Laura Stokes	949-443-6313	$100,000							
Shasta, County of	Cathy Allen	530-225-5730	$80,000							
Siskiyou, County of	Elizabeth Nielsen	530-842-8012	$30,000							
Soledad, City of	Brett Slama	831-223-5043	$10,000							
Sonora, City of	Rachelle Kellogg	209-532-3508	$15,000	X	X					X
South Lake Tahoe, City of	Hilary Roverud	530-542-6024	$50,000	X	X					
Susanville, City of	Jared Hancock	530-252-5110	$30,000					X		X
Sutter, County of	Bonnie Briscoe	530-822-7127	$10,000				X			X
Taft, City of	Yvette Mayfield	661-763-1222	$5,000							
Truckee, Town of	Tony Lashbrook	530-582-7700	$40,000	X						X

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Tulare, County of	Karen Mabry	559-624-7076	$40,000							
Tulelake, City of	Jenny Coelho	530-667-5522	$10,000		X					X
Tuolumne, County of	Maureen Frank	209-533-5511	$150,000			X	X			X
Ukiah, City of	Craig Schlatter	707-463-6219	$30,000	X						X
Weed, City of	Kelly McKinnis	530-938-5020	$50,000							
Westmorland, City of	Larry Ritchie	760-344-3411	$35,000				X			
Williams, City of	Rex Greenbaum	530-473-2955 x102	$50,000	X	X					X
Willits, City of	Stephanie Garrabrant-Sierra	707-459-4601	$100,000							
Winters, City of	John Donlevy	530-795-4910	$25,000	X	X					
Woodlake, City of	Ramon Lara	559-564-8055	$100,000							
Yolo, County of	Mindi Nunes	530-666-8150	$20,000					X		X
Yreka, City of	Steven W. Baker	530-841-2386	$20,000							
Yuba, County of	Karma Ferguson	530-749-5452	$30,000	X	X					
Total			$4,021,000							

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Appendix E

Summary of 2018 HOME Value Limit Waiver Requests

Summary of 2018 HOME Value Limit Waiver Requests							
Jurisdiction	**County**	**Types of Housing available in Jurisdiction**	**Results of Data**	**HUD Existing**	**HUD New Const.**	**New Approved Limits**	
Calistoga, City of	Napa			$ 493,000	$ 493,000		
		SFD Existing	659,300	Requested combined single limit for all housing types. Giving for SFD Detached only, per HUD.		Combined	$ 659,300
		SFD New Constr.	Combined				
		All Other	n/a			Unchanged	
Carson, City of	Los Angeles			$ 427,000	$ 464,000		
		SFD Existing	517,750	Requested combined single "existing housing" limit for SFD and Condos. Giving for SFD Detached only, per HUD.		Combined	$ 517,750
		All Other	n/a			Unchanged	
Madera, County of	Madera			$ 217,000	$ 269,000		
		SFD Existing	268,945	Requested combined "existing" limit for all housing types. Giving for SFD Detached only, per HUD.		Combined	$ 268,945
		All Other	n/a			Unchanged	

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Napa, City of	Napa			$ 493,000	$ 493,000		
		SFD Existing	617,500	Requested combined single limit for all housing types. Giving for SFD Detached only, per HUD.		Combined	$ 617,500
		SFD New Constr.	Combined				
		All Other	n/a			Unchanged	
Santa Cruz County	Santa Cruz			$ 496,000	$ 496,000		
		SFD Existing	793,250	Requested combined single limit for all housing types. Giving for SFD Detached only, per HUD.		Combined	$ 793,250
		SFD New Constr.	Combined				
		All Other	n/a			Unchanged	
St. Helena, City of	Napa			$ 493,000	$ 493,000		
		SFD Existing	1,037,400	Requested combined single limit for all housing types, giving for SFD Detached only per HUD.		Combined	$ 1,037,400
		SFD New Constr.	Combined				
		All Other	n/a			Unchanged	
Upland, City of	San Bernardino			$ 285,000	$ 338,000		
		SFD Existing	579,500	Requested separate single limits for existing SFD and Condo/PUD, per separate data sets submitted.			$ 579,500
		SFD New Constr.	Unchanged			Unchanged	
		Condo Existing	334,400				$ 334,400
		Condo New Const	Unchanged			Unchanged	
		Other Existing and New	Unchanged			Unchanged	
Vacaville, City of	Solano			$ 356,000	$ 416,000		

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		SFD Existing	418,000	Requested combined single limit for Detached SFRs.		Combined	$ 418,000
		SFD New Constr.	Combined				
		All Other	n/a			Unchanged	
Yountville, Town of	Napa			$ 493,000	$ 493,000		
		SFD Existing	818,900	Requested combined single limit for all housing types. Giving for SFD Detached only, per HUD.		Combined	$ 818,900
		SFD New Constr.	Combined				
		All Other	n/a			Unchanged	

Current federal HOME regulations require participating jurisdictions to use the HOME value limits provided by HUD for existing and newly constructed single-family housing. In lieu of the current federal HOME value limits, the state has solicited from grantees that reported inadequate and thus infeasible value limits their sales price information and requests for value limits increases, based upon the state's prescribed methodology, per the requirements of 92.254(a)(2)(iii) of the HOME Final Rule. In 2019, the state verified the submitted requests, based on 95 percent of the median area purchase price for single family housing, for each of the grantees listed above. The median purchase price was determined using a minimum of 100 sales for at least a two-month period. The HOME program value limit waivers will increase potential homebuyers' access to local housing inventory and assist existing homeowners with Owner-Occupied Rehabilitation loans, and in many cases remedy infeasible programs that have had no existing housing stock below the current HOME value limits. The waivers were approved by HUD and are effective from March 28, 2019 through June 30, 2020.

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