

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES

STANDARD AGREEMENT

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER

17-MITPPS-21009

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

Department of Housing and Community Development

CONTRACTOR NAME

Interface Children & Family Services

2. The term of this Agreement is:

START DATE

Upon HCD Approval

THROUGH END DATE

06/25/2025

3. The maximum amount of this Agreement is:

\$281,212

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

Exhibits	Title	Pages
Exhibit A	Authority, Purpose and Scope of Work	5
Exhibit B	Budget Detail and Payment Provisions	5
Exhibit C *	State of California General Terms and Conditions - GTC- 04/2017	GTC 4/17
Exhibit D	CDBG-Mitigation Terms and Conditions	26
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Items shown with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

Interface Children & Family Services

CONTRACTOR BUSINESS ADDRESS

4001 Mission Oaks Blvd., Suite I

CITY

Camarillo

STATE

CA

ZIP

93012

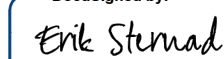
PRINTED NAME OF PERSON SIGNING

Erik Sternad

TITLE

Executive Director

CONTRACTOR AUTHORIZED SIGNATURE



DATE SIGNED

11/21/2022

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AGREEMENT NUMBER

17-MITPPS-21009

PURCHASING AUTHORITY NUMBER (If Applicable)

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

Department of Housing and Community Development

CONTRACTING AGENCY ADDRESS

2020 W El Camino Ave

CITY

Sacramento

STATE

CA

ZIP

95833

PRINTED NAME OF PERSON SIGNING

Synthia Rhinehart

TITLE

Contract Services Section Manager

CONTRACTING AGENCY AUTHORIZED SIGNATURE

Synthia Rhinehart

DATE SIGNED

12/7/2022

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

Exempt per; SCM Vol. 1 4.04.A.3 (DGS memo dated 6/12/1981)

EXHIBIT A

AUTHORITY, PURPOSE AND SCOPE OF WORK

1. Authority & Purpose

The California Department of Housing and Community Development (hereinafter "Department") is the lead and responsible entity for administering the Community Development Block Grant – Mitigation (hereinafter "CDBG-MIT") funds appropriated under Public Law 115-123 and allocated to the State of California by the U.S. Department of Housing and Urban Development (hereinafter "HUD"). CDBG-MIT supports the State of California to carry out strategic and high-impact activities to mitigate disaster risks and reduce future losses in areas impacted by the Federal Emergency Management Agency's Major Disaster Declaration DR-4344 in October 2017 and DR-4353 in December 2017/January 2018. CDBG-MIT Planning and Public Services Program (hereinafter "MIT-PPS") projects are funded by CDBG-MIT funds to address risks to, or across, community lifelines that support human health and safety and provide mitigation for individual and community-based systems.

2. Scope of Agreement

A. **Grant Funds**

Subject to the terms and conditions of this Standard Agreement (hereinafter "Agreement"), the Department has allocated and agrees to provide grant funds in the maximum amount identified below to the subrecipient identified as "Contractor" on page 1, Section 1 of the STD 213 form (hereinafter "Subrecipient") for all Work (defined below) identified in this Agreement (hereinafter "Subrecipient Award"). All payments made to the Subrecipient will adhere to the provisions described in Exhibit B, Section 4 (Method of Payment) herein. In no instance shall the Department be liable for any costs in excess of this amount, nor for any unauthorized or ineligible costs or expenses. The Subrecipient Award is and shall not exceed \$500,000 per project and \$2,500,000 per subrecipient.

This Agreement governs the Subrecipient Award and each individual Project thereafter proposed by the Subrecipient and approved by the Department (each an "Approved Project", and collectively the "Approved Projects"), the budget for each of which is to constitute some portion of the Subrecipient Award. The cumulative total amount of all Approved Projects shall not exceed the total amount of the Subrecipient Award.

B. **Implementation of Agreement**

By entering into this Agreement and thereby accepting the Award of grant funds, the Subrecipient agrees to comply with and implement this Agreement in a manner satisfactory to the Department and HUD and consistent with all

EXHIBIT A

applicable laws, regulations, policies and procedures that may be required from time to time as a condition of the Department providing the grant funds, including but not limited to, all applicable CDBG-MIT Program Administration and Compliance requirements set forth by this Agreement, and in accordance with the Application documentation previously provided by the Subrecipient and made a part hereof. The Department's providing of grant funds under this Agreement is specifically conditioned on Subrecipient's compliance with this provision and all terms and conditions of this Agreement, the most recently published version of the Department's CDBG- MIT Action Plan for 2017 disasters (<https://www.hcd.ca.gov/community-development/disaster-recovery-programs/cdbg-dr/cdbg-mit-2017/index.shtml>) and any amendments thereto, related Federal Register notices, and the requirements of the authorities cited above, as the same may be amended from time to time.

This Agreement is subject to written modification and termination as necessary by the Department in accordance with requirements contained in any future state or federal legislation and/or state or federal regulations. All other modifications must be in written form and approved by both parties.

3. **Subrecipient Scope of Work**

The Subrecipient scope of work (hereinafter "Work") for this Agreement shall consist of the following:

The Subrecipient shall perform the funded activities described in the Work, as detailed in Exhibit F. All written materials or alterations submitted as addenda to the original Application and which are approved in writing by the Department are hereby incorporated as part of the Application. The Department reserves the right to require the Subrecipient to modify any or all parts of the Application in order to comply with CDBG-MIT program requirements. The Department reserves the right to monitor all Work to be performed by the Subrecipient, its contractors, and subgrantees in relation to this Agreement. Any proposed revision to the Work must be submitted in writing for review and approval by the Department and may require an amendment to this Agreement. Approval shall not be presumed unless such approval is made by the Department in writing.

- A. For the purposes of performing the Work, the Department agrees to provide the amount(s) identified in Exhibit B Budget as detailed in Exhibit F, Additional Provisions. Unless amended, the Department shall not be liable for any costs in excess of the total approved budget. The Department shall not, under any conditions, be liable for any unauthorized or ineligible costs.
- B. Planning activity(ies), as defined in the MIT-PPS Policies and

EXHIBIT A

Procedures, do not have to meet a CDBG-Mitigation National Objective. Public Service activity(ies), as defined in the MIT-PPS Policies and Procedures, shall meet one of the two CDBG-Mitigation National Objectives:

1. Benefit to Low/Moderate Income Persons; or
 2. Urgent Need Mitigation
- C. Subrecipient shall collect data and submit reports to the Department in accordance with the reporting requirements detailed in Section 24 of Exhibit D herein.
- D. The Subrecipient shall monitor all Approved Projects in accordance with the requirements of Section 1.9 of the MIT-PPS Policies and Procedures.

4. Effective Date and Commencement of Work

- A. This Agreement is effective upon approval by the Department representative's signature on page one of the fully executed Standard Agreement, STD 213 (the "Effective Date").
- B. Subrecipient agrees that no Work toward the implementation of the project activity or program activity, as identified in Exhibit F, shall commence without prior written authorization from the Department prior to the execution of this Agreement by the Department.

5. Term of Agreement and Performance Milestones

- A. Term of Agreement: With the exception of the grant closeout procedures set forth in Exhibit B, Section 7, the Subrecipient shall complete the Approved Project(s) activities on or before the expenditure deadline identified on the STD 213 of this Agreement and identified below. Time is of the essence in order to ensure complete and compliant Projects before grant closeout.

All grant funds must be expended by: **06/25/2025, unless expressly extended by the department in writing**

This Agreement will expire on: **06/25/2025**

- B. Performance Milestones: Subrecipient shall adhere to the performance milestones below. Time is of the essence with respect to all such milestones.

EXHIBIT A

1. Subrecipient must submit monthly report data in accordance with the requirement of Section 1.24 of the MIT-PPS Policy and Procedure to HCD during the term of this Agreement.
2. Subrecipient must fully expend all MIT-PPS activity funds within three years of execution of this Agreement. If Subrecipient fails to fully expend MIT-PPS activity funds within three years of execution of this Agreement, the Department reserves the right to disencumber the Subrecipient's Award amount in this Agreement by the amount then unspent.

Failure to meet performance milestones:

If any performance milestones listed above are not met, the Department reserves the right to withhold further payments to Subrecipient until such time as satisfactory progress is made toward meeting the performance measures. Subrecipient shall diligently work with MIT-PPS staff to submit: (a) a written mitigation plan specifying the reason for the delay; (b) the actions to be taken to complete the task that is the subject of the missed measure deadline; and, (c) the date by which the completion of said task will occur.

The Department reserves the right to reallocate unobligated grant funds within the MIT-PPS program, in its sole and absolute discretion if the Department determines the Subrecipient is unable to meet the performance milestones in a timely manner following the failure to meet said milestones. The Department reserves all rights and remedies available to it in case of a default by Subrecipient of its responsibilities and obligations under the terms of this Agreement. All remedies available to the Department are cumulative and not exclusive.

- C. The Subrecipient and its Contractors, as applicable, shall adhere to all performance and Project milestones as established above.

8. **MIT-PPS Program Contract Management**

- A. Department Contract Manager: The Department Contract Manager for this Agreement is the MIT-PPS Program Manager or the Program Manager's designee. Written communication regarding this Agreement shall be directed to the Department Contract Manager at the following address:

CA Department of Housing and Community Development
Division of Financial Assistance – MIT-PPS
P.O. Box 952054

EXHIBIT A

Sacramento, CA 94252-2054

- B. Contract Management: Day-to-day administration of this Agreement shall take place via Grants Network, including but not limited to:
1. Financial Reports (Funds Requests)
 2. Activity Reports
 3. Other Reports, as required
 4. Submittal of any and all requested supporting documentation
 5. Standard Agreement Issuance and Amendments
- C. Subrecipient Contract Administrator: The Subrecipient Contract Administrator (must be a Subrecipient employee) is identified in Exhibit G, Profile. Unless otherwise directed by the Department, any notice, report, or other communication required by this Agreement shall be directed via Grants Network or written to the Subrecipient's Contract Administrator at the contact information identified in Exhibit G, Profile.

EXHIBIT B

BUDGET DETAILS AND PAYMENT PROVISIONS

1. **Budget**

All Budget amounts are specified in Exhibit F, section 3, Budget Worksheet.

2. **Availability of Funds**

- A. The Department's provision of funding to Subrecipient pursuant to this Agreement is contingent on the availability of CDBG-MIT funds, and subject to the requirements to spend 50% of program funds to benefit the MID, and 70% of grant-wide funds for LMI benefit, and continued federal and state authorization for CDBG- MIT activities and is subject to amendment or termination due to lack of funds or authorization.
- B. The Department shall be relieved of any obligation for making payments to the Subrecipient if funds allocated to the State by HUD cease to be available for any reason or there is any limitation on, or withdrawal of, the Department's authority to administer the CDBG-MIT program or any portion thereof.

3. **Expenditure of Funds**

A. Project and Activity Costs

No Project or Activity costs may be incurred or funds reimbursed until and unless Subrecipient provides documented compliance with the National Environmental Protection Act (NEPA) requirements established in 24 CFR 50, 24 CFR 58, and 42 USC 4321, et seq. and California Environmental Quality Act (CEQA); California Code of Regulations, Title 14, Division 6, Chapter 3, Sections 15000 – 15387 as referenced in Exhibit D, section 14

Activity Delivery Costs may be incurred prior to documented NEPA and CEQA compliance. See Section 4(A)(3) below for reimbursement requirements of Activity Delivery costs.

B. Priority of Funds

The Grantee agrees to utilize funds available under this Agreement to supplement rather than supplant funds otherwise available.

C. Withholding Funds

The Department reserves the right to withhold payments pending timely delivery of program and project reports or documents as may be required under this Agreement, and for defaults by the Subrecipient, as noted in Exhibit D.

EXHIBIT B

D. Disencumbering Funds

Disencumbering Funds: The Grantee agrees that funds determined by the Department to be surplus upon completion of the activity, or that have not been spent prior to the Expenditure Deadline, will be subject to disencumbrance by the Department.

E. Indirect Costs

The Department will only consider reimbursement of indirect cost expenditures from Subrecipients that have an approved Indirect Cost Rate Proposal from the Department, HUD or other cognizant federal agency. If Subrecipient does not have an approved Indirect Cost Rate Proposal, Subrecipient shall develop a proposal for determining the appropriate CDBG-MIT share of indirect costs and shall submit it to the Department for approval prior to submission of Financial Reports for reimbursement of indirect cost expenditures.

F. Compliance with the OMB Uniform Guidance Audit Requirements

Grant funds will not be disbursed to any Subrecipients identified by the State Controller's Office (SCO) as non-compliant with the Federal Single Audit Act, as described in the OMB Uniform Guidance and 2 CFR Part 200 Sub-Part F. No funds may be disbursed until compliance with the Uniform Guidance is demonstrated to the satisfaction of the Department.

G. Grant Administration

The Subrecipient agrees to administer this Agreement in accordance with the provisions of Section 7097 through and including Section 7126 of Title 25 of the California Code of Regulations.

4. Method of Payment

Payments will be made directly to Subrecipients as reimbursements based on the documented and satisfactory completion of agreed upon performance milestones detailed in Subrecipient Work as indicated in Exhibit A, and confirmation of Subrecipient's compliance with the terms of this Agreement.

Financial Reports shall be submitted electronically through Grants Network. The Department shall not authorize payments or reimbursements unless it has determined the activities indicated in the Financial Report have been performed in compliance with the terms of this Agreement and any other agreements executed by the parties in connection herewith. Financial Reports shall be submitted by the Subrecipient to the Department at least once per month.

EXHIBIT B

A. Reimbursements for Costs Incurred

1. The Subrecipient may use grant funds for reimbursement by the Department for Eligible Expenses as defined herein, applied to Activities approved by the Department through the application processes described in Exhibit A. Eligible Expenses include but are not limited to, costs associated with Subrecipient program implementation, including staff time and development of policies and procedures for Approved Activities, as determined by the MIT-PPS Program Policies and Procedures.
2. Activity Delivery Costs expenditures shall be paid only after such costs are expended for Work satisfactorily completed, provided the Department determines that the Program Performance Milestones in this Agreement and/or Approved Project Performance Milestones are on track. Subrecipient may expend up to the indicated Activity Delivery amount identified in Exhibit A.
3. To receive reimbursement for Projects and Activities, the Subrecipient shall timely submit all required Department forms via Grants Network. Financial Reports must include the level of documentation specified by the Department in the Department's Grant Administration Manual located on the Department's website, in order to be reviewed and processed.

B. Final Financial Reports

1. The final Financial Report for the Subrecipient Award must be submitted to the Department before the expenditure deadline of this Agreement.
2. If the final Financial Report for costs expended during the term of this Agreement has not been received by the Department before the expenditure deadline in Exhibit A, the Department may disencumber any funds remaining in which case grant funds will no longer be available to the Subrecipient.

5. Recapture of Funds

A Subrecipient may be required to repay all or a portion of the funds received from the Department, including Activity Delivery, pursuant to this Agreement if the Subrecipient, among other things, does not fulfill its obligations under this Agreement or fails to meet applicable federal requirements. The reasons for a recapture of funds by the Department include, but are not limited to, the following:

- A. The Subrecipient does not comply with the terms of this Agreement or any agreement executed by the Subrecipient and the Department in connection here with;

EXHIBIT B

- B. The Subrecipient withdraws from the Program prior to completion of the Project(s) or Activity(ies);
- C. The Subrecipient fails to meet a National Objective.

The potential recapture of funds pursuant to this provision is in addition to, and not in lieu of, any other rights and remedies of the Department under this Agreement.

6. **Project Budget Revisions and Amendments**

Budget line item adjustments may be made in accordance with the following:

- A. Budget Revisions: Adjustments to the Budget that do not require an increase or reduction of total activity budget, a change in National Objective, or a change in the type or a reduction in number of beneficiaries assisted may be completed as a Budget Revision. Budget Revisions shall include but not be limited to:

- 1. Adjustments that reallocate funds between budget line items
- 2. Adjustments that increase or decrease the detail included in the submitted lined item budgets, including adding and removing budget line items, without increasing or decreasing the scope of work and without changing the overall budget.

Budget Revisions must be approved by the Department prior to implementation. Approval shall be provided either through the online grant management system, or in writing, as appropriate. If approved, Budget Revisions shall automatically be deemed a part of, and incorporated into, this Agreement. Project budget revisions must be submitted through Grants Network and subsequently approved by the Department prior to implementation. Approval shall be provided through Grants Network.

- B. Agreement Budget Revisions: Adjustments to the Subrecipient Award that result in an increased or a reduced total award amount shall require an Agreement amendment. Agreement amendments must be fully executed by both the Subrecipient and the Department prior to implementation.

7. **Project and Activity Closeout Procedures**

The Subrecipient must submit the following to the Department at the completion of each Project and Activity.

- 1. A Final Activity Report (Project Completion Report) that includes all required reporting data for the Activity including but not limited to eligible

EXHIBIT B

activities, costs, beneficiaries, and National Objective;

2. If applicable, a copy of the produced plan
3. If applicable, Final Labor Standards Report as described in Exhibit D(14)(A)(2) herein.
4. Evidence, satisfactory to the Department, of compliance with any other Special Conditions of this Agreement; and,
5. A resolution from the governing body acknowledging the accomplishments of the Approved Project and confirming that the Approved Activity is complete and that all Financial Reports have been processed and reimbursed.

Upon receipt of the above documentation, the Department will close the Activity and finalize the activity in DRGR for final reporting to HUD.

8. **Document Retention Policy**

Subrecipient shall retain all books, records, accounts, documentation, and all other materials relevant to this Agreement for a minimum period of five (5) years after the Department notifies the Subrecipient that the grant agreement between HUD and the State of California has been closed.

EXHIBIT D

CDBG-MITIGATION TERMS AND CONDITIONS

1. Definitions

Activity Funds – means any reasonable and necessary costs that are directly related to labor and/or direct construction and/or direct Project implementation costs which will meet a national objective as defined in 42 U.S.C. 5304(b)(3), as amended and 24 CFR 570.483.

Activity Delivery Funds - means any reasonable and necessary costs for the implementation, management or oversight of a Project.

Activity Reports – Reports submitted by the Subrecipient that describe Project and Activity progress and/or beneficiaries served during a given reporting period.

Approved Activity – An Activity that has been submitted to the Department through the Notice of Funding Availability and reviewed and approved to fund with the Subrecipient Award by the Department.

Area Median Income (AMI) - means the median family income for specific geographic areas, adjusted for household size, as calculated by HUD, and published annually by the Department at <https://www.hcd.ca.gov/grants-funding/income-limits/state-and-federal-income-limits.shtml>.

California Environmental Quality Act (CEQA) - is a state statute that requires state and local agencies to identify the significant environmental impacts of their actions and to avoid or mitigate those impacts, if feasible.

Department – means the California Department of Housing and Community Development.

Disaster Recovery Grant Reporting System (DRGR) – The electronic system primarily used by the Department to access grant funds from HUD and report performance accomplishments for grant-funded activities to HUD. The DRGR system is used by HUD to review grant-funded activities, prepare reports to Congress and other interested parties, and monitor program compliance.

Duplication of Benefits (DOB) - Financial assistance received from another source that is provided for the same purpose as the CDBG Mitigation-MIT funds, in accordance with Federal Register Notices 84 FR 28836 and 84 FR 28848.

Eligible Expenses – Those necessary and reasonable costs under 2 CFR 200.400 through 475, and applicable notices and waivers, and as identified in Section 1.13 of the

EXHIBIT D

MIT-PPS Policies and Procedures Manual, and as approved by the Department. Eligible Expenses do not include any costs which are disallowed or otherwise deemed ineligible by the State of California and/or HUD.

Financial Reports (Funds Requests) - the forms and processes required for a Subrecipient to request the drawdown of grant funds.

Grant Funds – The CDBG-MIT funds allocated to the Subrecipient for the implementation of the MIT-PPS program and eligible Approved Projects. Grant funds include Activity Funds and Activity Delivery Funds.

Household - One or more persons occupying a housing unit.

HUD – The United States Department of Housing and Urban Development.

Indirect Costs - means those costs incurred for a common or joint purpose benefitting more than one cost objective, and not readily assignable to the cost objectives specifically benefitted, without effort disproportionate to the results achieved. To facilitate equitable distribution of indirect expenses to the cost objectives served, it may be necessary to establish a number of pools of indirect costs. Indirect cost pools must be distributed to benefitted cost objectives on bases that will produce an equitable result in consideration of relative benefits derived.

Indirect Cost Rate Proposal - means the documentation prepared by a governmental unit or subdivision thereof to substantiate its request for the establishment of an indirect cost rate as further defined in 2 CFR 200.56 and 2 CFR 200.57.

Low- to Moderate- Income (LMI) – Low to moderate income people are those having incomes not more than the “moderate-income” level (80% Area Median Family Income) set by the federal government for the HUD-assisted housing programs. This income standard changes from year to year and varies by Household size, county and the metropolitan statistical area.

Standard Agreement (“Agreement”) – The contractual arrangement between the Department and the Subrecipient which sets forth the terms and conditions by which CDBG-MIT funds must be utilized with regards to Approved Projects.

National Environmental Policy Act (NEPA) – The federal law and associated regulations which establishes a broad national framework for protecting the environment. NEPA's basic policy is to assure that all branches of government consider the environment prior to undertaking any major federal action that could significantly affect the environment.

Subrecipient – A ‘Subrecipient’ is a non-State or Federal entity receiving a direct award of grant funds from the Department for the purpose of funding Approved Projects to carry out activities that produce a plan or meet a National Objective.

EXHIBIT D

Subrecipient Award – The amount of grant funds allocated to the Subrecipient for Approved Activities.

2. **National Objectives**

In accordance with 24 CFR 570.208, Section 104(b)(3) of the Housing and Community Development Act of 1974, and as further outlined within the waivers and alternative requirements at Federal Register Notice 84 FR 45838, all CDBG-MIT funded activities, with the exception of Planning activities, must satisfy either the Low-to Moderate Income (LMI) or the Urgent Need Mitigation (UNM) national objective. HUD created a new National Objective - Urgent Need Mitigation (UNM) – for CDBG-MIT programs. This National Objective provides a better fit for CDBG-MIT activities that aim to address risks that do not tie back to the disaster events of the 2017 CDBG-DR funding or subsequent disasters. Projects using the UNM national objective must provide documentation that demonstrates a measurable and verifiable impact on reducing risks at the completion of the activity.

- Address the current and future risks as identified in the Mitigation Needs Assessment of the most impacted and distressed areas; and
- Result in a measurable and verifiable reduction in the risk of loss of life and property.

Planning activities do not require a national objective be established. HUD's Federal Register Notice (84 FR 45838) governing the MIT allocation describes planning efforts as addressing the national objectives without the limitation of any circumstances. All Public Services activities must meet one national objective criterion, LMI or UNM, related to its specific mitigation impact and defined direct benefits or service area.

Upon completion of the Approved Project(s) funded under this Agreement and prior to the funding expiration date of this Agreement, the Subrecipient must document that the Approved Project(s) met the LMH National Objective. The Department shall review the actual National Objective achievements of the Subrecipient. If the Subrecipient does not or cannot satisfactorily document the National Objective achievement of an Approved Project, the Approved Project may be deemed ineligible and repayment of funds may be required of the Subrecipient.

3. **Duplication of Benefits**

A Duplication of Benefits (DOB) occurs when a program beneficiary receives assistance from multiple sources for a cumulative amount that exceeds the total need for a particular recovery purpose. The amount of the duplication is the amount of assistance provided in excess of the total need for the same purpose. It is the Department's responsibility to ensure that MIT-PPS provides assistance only to the extent that the disaster recovery need has not been fully met by funds that have already been paid, or will be paid, from another source.

EXHIBIT D

The Subrecipient must report all funds obtained for the activity from any source from the date of the disaster until the Project is completed.

The Subrecipient agrees to repay to the Department immediately upon demand any assistance later received for the same purpose as the CDBG–MIT funds and that exceeds the total need for the particular recovery purpose.

4. **Remedies and Termination for Noncompliance**

A. **Remedies for Noncompliance:** In addition to any other rights and remedies the Department may have under this Agreement, at law, or in equity, the Department may initiate remedies for noncompliance as identified in 2 CFR 200.339 at any time it has been determined that the Subrecipient is no longer meeting the terms and conditions of this Agreement. Remedies for noncompliance may be required in addition to, in lieu of, or prior to termination. Such remedies for noncompliance with a federal statute or regulation, a state statute or regulation, an assurance, in a State plan or application, or elsewhere may include, as appropriate:

1. Temporarily withhold cash payments pending correction of the deficiency by the Subrecipient.
2. Disallow all or part of the cost of the action not in compliance.
3. Wholly or partly suspend or terminate the Subrecipient's grant funds.
4. Withhold further and/or future awards for CDBG-MIT funds and/or any other funds administered by the Department.
5. Request that the Federal Awarding Agency initiate suspension or debarment proceedings.
6. Take other remedies that may be legally available, such as:

In the case of costs incurred without meeting a National Objective, require repayment of all funds reimbursed and/or paid to the Subrecipient, including Activity Delivery, as appropriate.

In the case of Duplication of Benefits, require repayment of all CDBG-MIT funds reimbursed and/or paid to the Subrecipient where other financial assistance was received for the same purpose or in excess of the need.

In taking an action to remedy noncompliance, the Department will provide the Subrecipient an opportunity for such hearing, appeal, or other administrative proceeding to which the Subrecipient is entitled under any statute or regulation

EXHIBIT D

applicable to the action involved as per 2 CFR 200.342. Such appeal shall be governed by, and conducted in accordance with, the appeal processes and procedures set forth in section 5 herein.

Effects of Suspension and Termination. Subrecipient costs resulting from obligations incurred by the Subrecipient or any of the Subrecipient's Contractors during a suspension or after termination of an Agreement are not allowable unless otherwise authorized by the Department in a written notice or as allowable in 2 CFR 200.343. The enforcement remedies identified in this Section do not preclude a Subrecipient or any of the Subrecipient's Contractors from being subject to 2 CFR Part 2424. CDBG-MIT funds may not be provided to excluded or disqualified persons pursuant to 24 CFR 570.489(l) and 2 CFR 200.339.

The remedies available to the Department under this Agreement are cumulative and not exclusive.

- B. Termination for Noncompliance: Grant funds provided by this Agreement may be terminated in whole or in part as per federal regulation at 2 CFR 200.340 by HUD or by HCD if Subrecipient fails to comply with the terms and conditions of the Agreement that include the terms and conditions of the federal award. All terminations shall include written notification setting forth the reason(s) for such termination, the effective date, and the portion to be terminated in the case of partial terminations and will follow termination notification requirements identified in 2 CFR 200.341.
- C. Termination Without Cause: This Agreement may be terminated by the Department in whole or in part at any time without cause only with the consent of the Subrecipient. In the case of a termination of the whole Agreement, the parties shall agree upon termination conditions, including the effective date. In the case of a partial termination, the parties shall agree upon termination conditions, including the portion to be terminated and the effective date.
- D. Termination With Cause: This Agreement may be terminated by the Department in whole or in part at any time for cause by giving at least 14 days' prior written notice to the Subrecipient. Termination with cause includes termination prior to the end of the period of performance for failure to comply with the terms and conditions of this Agreement, and pursuant to 2 CFR 200.340(c), such termination shall be reported to the appropriate federal program integrity and performance system accessible through the System for Award Management. Termination with cause also includes, without limitation, a failure by Subrecipient to comply with the Project Schedule, Project and Activity Performance Milestones, Reporting Requirements, and/or Special Conditions issued for a Project to use CDBG-MIT funds.

EXHIBIT D

5. **Appeals Process for Finding of Noncompliance:** If Subrecipient disagrees with a finding of noncompliance and/or any accompanying remedy and/or termination that are associated with such finding, the Subrecipient may appeal the disputed decision to the Department in writing via U.S. Mail no later than thirty (30) calendar days from the date of HCD's issuance of the disputed decision. In the event the 30th day falls on a weekend or a recognized state or federal holiday, the Subrecipient's written request for appeal shall be due by 5:00 pm Pacific Time the following business day.

There are two levels of appeal available to aggrieved subrecipients:

- Level I Request for Reconsideration, and
- Level II Request for Official Review

A Subrecipient must first submit a written Level I Request for Reconsideration to the Program Manager within thirty (30) calendar days from HCD's issuance of the underlying decision as described above. If the written request is timely submitted, the Program Manager then has ten (10) calendar days of receipt of such request within which to issue any stay requested by the Subrecipient, in full or in part, and thirty (30) calendar days within which to issue a written reconsideration decision. Submitting a timely Level I Request for Reconsideration (and receiving a subsequent reconsideration decision from the Program Manager) is a necessary predicate to the Subrecipient having a right to initiate a Level II Request for Official Review.

A Subrecipient who disagrees with a Reconsideration decision may submit a Level II Request for Official Review to the Disaster Recovery Section Chief or Designee within thirty (30) calendar days from the issuance of the underlying reconsideration decision, as described above. The Section Chief or Designee will conduct an independent review and has thirty (30) calendar days within which to issue a written Official Review decision, which shall be final and binding and not subject to further appeal.

Time is of the essence with regards to the Subrecipient's obligation to timely file appeals or requests to the Department within the time periods set forth in this Appeals Process. As such, any appeal by Subrecipient that is not timely made in strict accordance herewith shall be void and not considered, and the initial decision or finding shall automatically remain as originally issued.

6. **Severability**

- A. If any provision of this Agreement, or an underlying obligation, is held invalid by a court of competent jurisdiction, such invalidity may not affect any other provisions of this Agreement and the remainder of this Agreement shall remain in full force

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and effect. Therefore, the provisions of this Agreement are, and shall be, deemed severable.

- B. The Subrecipient shall notify the Department immediately of any claim or action undertaken by or against it which affects or may affect this Agreement or the Department and shall take such action with respect to the claim or action as is consistent with the terms of this Agreement and the interests of the Department.

7. **Waivers**

No waiver or any breach of this Agreement shall be held to be a waiver of any prior or subsequent breach. The failure of the Department to enforce, at any time, the provisions of this Agreement or to require, at any time, performance by the Subrecipient of these provisions shall in no way be construed to be a waiver of such provisions nor to affect the validity of this Agreement or the right of the Department to enforce these provisions. All waivers by the Department must be in writing in order to be valid.

8. **Uniform Administrative Requirements**

The Subrecipient, its agencies or instrumentalities, shall comply with the policies, guidelines and Administrative Requirements of 2 CFR Part 200, et seq., as applicable, as they relate to the cost principles, audit requirements, acceptance and use of federal funds under this part.

- A. **Single Audit Compliance:** Funds will not be disbursed to any Subrecipient identified by the State Controller's Office (SCO) as non-compliant with the Federal Single Audit Act, as described in the Uniform Administrative Requirements, Cost Principles, And Audit Requirements for Federal Awards at 2 CFR 200 Sub-Part F. No funds may be disbursed until compliance with the Uniform Guidance is demonstrated to the satisfaction of the Department.
- B. **Accounting Standards:** The Subrecipient agrees to comply with, and administer the activity in conformance with, 2 CFR Part 200.300, et seq., and agrees to adhere to the accounting principles and procedures required therein, utilize adequate internal controls and maintain necessary source documentation for all costs incurred.
- C. **Suspension and Debarment:** By executing this Agreement, Subrecipient verifies and affirms that it has not been suspended or debarred from participating in or receiving federal government contracts, subcontracts, loans, grants, or other assistance programs. Subrecipient further agrees to verify that its Developers and Contractors have not been suspended or debarred from participating or receiving federal government contracts, subcontracts, loans, grants, or other assistance programs.

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9. **Compliance with State and Federal Laws and Regulations**

- A. The Subrecipient, its agencies or instrumentalities, Contractors shall comply with all local, state, and federal laws, statutes, and regulations, as well as policies and procedures established by the Department for the administration of MIT-PPS, as the same may be amended from time to time.
- B. The Subrecipient shall comply with the requirements of 24 CFR 570, the HUD regulations concerning Community Development Block Grants, 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Final Guidance, adopted by HUD at 2 CFR 2400, and all federal regulations, rules, and policies issued pursuant to these regulations. The Subrecipient further agrees to utilize funds available under this Agreement to supplement rather than supplant funds otherwise available.

10. **Authority to Impose Additional Special Conditions**

In accordance with 2 CFR 200.208, Department reserves the right and authority to impose additional specific conditions issued under this Standard Agreement under any of the following circumstances:

- A. When, in HCD's sole discretion, HCD finds that Subrecipient has a history of failure to comply with the general or specific terms and conditions applicable to the CDBG-MIT funds allocated under this agreement or to other awards of federally-funded grant or loan assistance passed through the Department.
- B. When Subrecipient fails to meet expected performance goals under this agreement.
- C. When Subrecipient poses an increased risk for noncompliance based on factors including, but not limited to, financial stability, quality of management systems, history of performance under Federal awards, history of timeliness under Federal awards, history of conformance with terms and conditions of previous federal awards, and reports and findings from audits.
- D. When, in the Department's sole discretion, such conditions are necessary to ensure timely and compliant performance under the federal award.

Such specific conditions, or special conditions, may include, withholding of authority to proceed to the next phase of an Approved Project until receipt of evidence of acceptable performance within a given period of performance, requiring additional detailed financial reports, requiring additional project monitoring, requiring the Subrecipient to obtain technical or management assistance, establishing additional prior

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approvals, or any other condition HCD deems reasonable and necessary to safeguard Federal funds.

11. **Equal Opportunity Requirements and Responsibilities**

The obligations undertaken by Subrecipient include, but are not limited to, the obligation to comply with all federal laws and regulations described in Subpart K of 24 CFR Part 570 and specifically with each of the following, among other things, as the same may be amended from time to time:

- A. **Title VI of the Civil Rights Act of 1964:** This act provides that no person shall be excluded from participation, denied program benefits, or subject to discrimination based on race, color, and/or national origin under any program or activity receiving federal financial assistance.
- B. **Title VII of the Civil Rights Act of 1968 (The Fair Housing Act):** This act prohibits discrimination in housing on the basis of race, color, religion, sex and/or national origin. This law also requires actions which affirmatively promote fair housing.
- C. **Restoration Act of 1987:** This act restores the broad scope of coverage and clarifies the application of the Civil Rights Act of 1964. It also specifies that an institution which receives federal financial assistance is prohibited from discriminating on the basis of race, color, national origin, religion, sex, disability or age in a program or activity which does not directly benefit from such assistance.
- D. **Section 109 of Title 1 of the Housing and Community Development Act of 1974 [42 U.S.C. 5309]:** This section of Title 1 provides that no person shall be excluded from participation (including employment), denied program benefits, or subject to discrimination on the basis of race, color, national origin, or sex under any program or activity funded in whole or in part under Title 1 of the Act.
- E. **The Fair Housing Amendment Act of 1988:** This act amended the original Fair Housing Act to provide for the protection of families with children and people with disabilities, strengthen punishment for acts of housing discrimination, expand the Justice Department jurisdiction to bring suit on behalf of victims in federal district courts, and create an exemption to the provisions barring discrimination on the basis of familial status for those housing developments that qualify as housing for persons age 55 or older.
- F. **The Age Discrimination Act of 1975:** This act provides that no person shall be excluded from participation, denied program benefits, or subject to

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- discrimination on the basis of age under any program or activity receiving federal funding assistance. Effective January 1987, the age cap of 70 was deleted from the laws. Federal law preempts any State law currently in effect on the same topic.
- G. **Section 504 of the Rehabilitation Act of 1973:** It is unlawful to discriminate based on disability in federally assisted programs. This Section provides that no otherwise qualified individual shall, solely by reason of his or her disability, be excluded from participation (including employment), denied program benefits, or subjected to discrimination under any program or activity receiving federal funding assistance.
- H. **The Americans with Disabilities Act of 1990 (ADA):** This act modifies and expands the Rehabilitation Act of 1973 to prohibit discrimination against "a qualified individual with a disability" in employment and public accommodations. The ADA requires that an individual with a physical or mental impairment who is otherwise qualified to perform the essential functions of a job, with or without reasonable accommodation, be afforded equal employment opportunity in all phases of employment.
- I. **Executive Order 11063:** This executive order provides that no person shall be discriminated against on the basis of race, color, religion, sex, or national origin in housing and related facilities provided with federal assistance and lending practices with respect to residential property when such practices are connected with loans insured or guaranteed by the federal government.
- J. **Executive Order 12259:** This executive order provides that the administration of all federal programs and activities relating to housing and urban development be carried out in a manner to further housing opportunities throughout the United States.
- K. **The Equal Employment Opportunity Act:** This act empowers the Equal Employment Opportunity Commission (EEOC) to bring civil action in federal court against private sector employers after the EEOC has investigated the charge, found "probable cause" of discrimination, and failed to obtain a conciliation agreement acceptable to the EEOC. It also brings federal, state, and local governments under the Civil Rights Act of 1964.
- L. **The Uniform Guidelines on Employee Selection Procedures adopted by the Equal Employment Opportunity Commission in 1978:** This manual applies to employee selection procedures in the areas of hiring, retention, promotion, transfer, demotion, dismissal and referral. It is designed to assist employers, labor organizations, employment agencies, licensing and certification boards in complying with the requirements of federal laws prohibiting discriminatory

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employment.

- M. **The Vietnam Era Veterans' Readjustment Act of 1974 (revised Jobs for Veterans Act of 2002):** This act was passed to ensure equal employment opportunity for qualified disabled veterans and veterans of the Vietnam War. Affirmative action is required in the hiring and promotion of veterans.
- N. **Executive Order 11246:** This executive order applies to all federally assisted construction contracts and subcontracts. It provides that no person shall be discriminated against on the basis of race.

12. **Relocation, Displacement, and Acquisition**

The Subrecipient shall comply with the provisions of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and regulations adopted to implement the Act in 24 CFR Part 42, 49 CFR Part 24, and Section 104(d) of the Housing and Community Development Act of 1974 as they apply to the performance of this Agreement.

13. **The Training, Employment, and Contracting Opportunities for Business and Lower Income Persons Assurance of Compliance (Section 3):**

The Subrecipient and the Subrecipient's Contractors and Developers shall comply with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulation at 24 CFR, Part 75. The responsibilities outlined in 24 CFR Part 75.19 include:

- A. Implementing procedures designed to notify Section 3 residents within the neighborhood service area of the project about training and employment opportunities generated by Section 3 covered assistance and Section 3 business concerns about contracting opportunities generated by Section 3 covered assistance.
- B. Notifying potential Contractors for Section 3 covered projects of the requirements of Part 75, Subpart C and incorporating the Section 3 clause set forth below in all solicitations and contracts in excess of \$100,000 as required at 24 CFR 75.27.

Section 3 Clause

The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to

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low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

The parties to this contract agree to comply with HUD's regulations in 24 CFR. Part 75, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 75 regulations.

The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and subrecipients for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 75 and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 75. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 75.

The contractor acknowledges that subrecipients, contractors, and subcontractors are required to meet the employment, training, and contraction requirements of 24 CFR 75.19, regardless of whether Section 3 language is included in recipient or subrecipient agreements, program regulatory agreements, or contracts.

The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 75 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 75.

Noncompliance with HUD's regulations in 24 CFR Part 75 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

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The contractor agrees to submit, and shall require its subcontractors to submit to them, annual reports detailing the total number of labor hours worked on the Section 3 Project, the total number of labor hours worked by Section 3 Workers, and the total number of hours worked by Targeted Section 3 Workers, and any affirmative efforts made during the quarter to direct hiring efforts to low- and very low-income persons, particularly persons who are Section 3 workers and Targeted Section 3 workers.

- C. Facilitating the training and employment of Section 3 workers and the award of contracts to Section 3 business concerns by undertaking activities such as described in Section 75.25(b), as appropriate, to reach the goals set forth in Section 75.23 and in Federal Register Vol. 85, No. 189, page 60909, until superseded by HUD in a subsequent publication. As of September 29, 2020, the minimum Section 3 benchmark is twenty-five (25) percent or more of the total number of labor hours worked by all workers on a Section 3 project are Section 3 workers; and five (5) percent or more of the total number of labor hours worked by all workers on a Section 3 project are Targeted Section 3 workers.
- D. Documenting actions taken to comply with the foregoing requirements, the results of those actions taken and impediments, if any.

14. **Environmental Compliance**

- A. The Subrecipient shall comply with the California Environmental Quality Act (CEQA) requirements as they apply to this Project(s).
- B. The Subrecipient shall comply with the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251, *et seq.*, as amended, 1318 relating to inspection, monitoring, entry, reports, and information, as well as other requirements specified in said Section 114 of the Clean Air Act and Section 308 of the Clean Water Act, and all regulations and guidelines issued thereunder.
- C. The Subrecipient shall comply with the requirements of the Clean Air Act, 42 U.S.C. 1857, *et seq.*, as amended.
- D. The Subrecipient shall comply with Environmental Protection Agency (EPA) regulations pursuant to 40 CFR Parts 15 and 50, as amended.
- E. The Subrecipient shall comply with the requirements of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001). The Subrecipient shall assure that for activities located in an area identified by the Federal Emergency Management Agency (FEMA) as having special flood hazards, that flood insurance under the National Flood Insurance Program is obtained and maintained as a condition of financial assistance for acquisition or construction purposes (including rehabilitation).

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- F. The Subrecipient shall comply with the requirements of the Residential Lead-Based Paint Hazard Reduction Act of 1992 and Section 401(b) of the Lead-Based Paint Poisoning Prevention Act of 1971. The Subrecipient agrees that any construction or rehabilitation of residential structures with assistance provided under this Agreement shall be subject to HUD Lead-Based Paint Regulations at 24 CFR 570.608, and 24 CFR Part 35, Subpart B. Such regulations pertain to all CDBG-assisted housing and require that all owners, prospective owners, and tenants of properties constructed prior to 1978 be properly notified that such properties may include lead-based paint. Such notification shall point out the hazards of lead-based paint and explain the symptoms, treatment and precautions that should be taken when dealing with lead-based paint poisoning and the advisability and availability of blood lead level screening for children under seven. The notice should also point out that if lead-based paint is found on the property, abatement measures may be required.
- G. The Subrecipient shall comply with the Historic Preservation requirements set forth in the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), the Archaeological and Historical Preservation Act of 1974 (Public Law 93-291), and the procedures set forth in 36 CFR Part 800, Advisory Council on Historic Preservation Procedures for Protection of Historic Properties, insofar as they apply to the performance of this agreement. The Subrecipient shall also comply with Executive Order 11593 on the protection and enhancement of the cultural environment. In general, this requires concurrence from the State Historic Preservation Officer for all rehabilitation and demolition of historic properties that are fifty years old or older or that are included on a federal, state, or local historic property list.
- H. Subrecipient shall comply with all National Environmental Policy Act (NEPA) requirements as applicable to the performance of this Agreement as found in 24 CFR Part 50, 24 CFR Part 58, as applicable, and 40 CFR 1500 – 1508. Subrecipient shall not receive authority to incur activity costs until they have successfully documented compliance with the applicable NEPA requirements, including public noticing and publishing.

The subrecipient understands and agrees that this Agreement does not constitute a commitment of funds or site approval, and the commitment of funds or approval may occur only upon satisfactory completion of environmental review and receipt by the Department of an approval of the request for release of funds and certification from HUD or the Department under 24 CFR Part 58. The provision of any funds to the project is expressly conditioned on the Department's determination to proceed with, modify or cancel the project based on the results of the environmental review.

15. Procurement

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The Subrecipient shall comply with the procurement provisions in 2 CFR Part 200.318 – 200.326, Procurement Standards as well as all other Administrative Requirements for Subrecipient and Cooperative Agreements to State, local and federally recognized Indian tribal governments as set forth in 2 CFR 200, et seq., as applicable. All procurements must be conducted in a fair, open, and competitive manner in compliance with both the spirit and the letter of applicable federal and state procurement laws.

16. Procurement of Recovered Materials

In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.

This clause shall apply to items purchased under this Agreement where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.

17. Construction Standards

The Subrecipient and Developer shall ensure that all Approved Projects comply with the following requirements:

The Architectural Barriers Act of 1968 (42 U.S.C. 4151-4157)

The Architectural Barriers Act (ABA) stands as the first measure by Congress to ensure access to the built environment for people with disabilities. The law requires that buildings or facilities that were designed, built, or altered with federal dollars or leased by federal agencies after August 12, 1968 be accessible.

California Green Buildings Standards Code (CALGreen) (Title 24, Part 11 of the California Code of Regulations)

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All new construction of residential buildings or reconstruction of substantially damaged buildings must incorporate California Green Buildings Standards Code (CALGreen).

Sustainability Requirements

All rehabilitation, reconstruction, and new construction must be designed to incorporate principles of sustainability, including water and energy efficiency, resilience, and mitigating the impact of future disasters. Wherever feasible, the Subrecipient, Subrecipient's and Contractors must follow best practices, such as those provided by the U.S. Department of Energy.

National Floodplain Elevation Standards

Subrecipients and Contractors must comply with the national floodplain elevation standards for new construction, repair of substantially damaged structures, or substantial improvements to residential structures in flood hazard areas. All structures designed for residential use within a 100-year (or one percent annual chance) floodplain will be elevated with the lowest floor at least two feet above the base flood elevation level and comply with the requirements of 83 FR 5850 and 83 FR 5861.

Wildland-Urban Interface Building Codes (WUI Codes)

All Approved Projects under this program that are located in a CAL FIRE high fire zone must comply with applicable WUI codes, found in Title 24, Chapter 7a of the California Building Code, which offer specific material, design and construction standards to maximize ignition- resistance.

18. Federal Labor Standards Provisions

The Subrecipient and the Developer shall at all times comply, and cause all Project contractors to comply, with applicable federal labor standards, including without limitation, the following:

- A. Davis-Bacon Act (40 U.S.C. §§ 3141-3148), which requires that workers receive no less than the prevailing wages being paid for similar work in their locality. Prevailing wages are computed by the Federal Department of Labor and are issued in the form of federal wage decisions for each classification of work. The law applies to most construction, alteration, or repair contracts over \$2,000.
- B. "Anti-Kickback Act of 1986" (41 U.S.C. §§ 51-58), which prohibits attempted as well as completed "kickbacks," which include any money, fees, commission, credit, gift, gratuity, thing of value, or compensation of any kind. The act also provides that the inclusion of kickback amounts in contract prices is prohibited

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conduct in itself. This act requires that the purpose of the kickback was for improperly obtaining or rewarding favorable treatment. It is intended to embrace the full range of government contracting.

- C. Contract Work Hours and Safety Standards Act - CWHSSA (40 U.S.C. § 3702), which requires that workers receive "overtime" compensation at a rate of one and one-half (1-1/2) times their regular hourly wage after they have worked forty (40) hours in one week.
- D. Title 29, Code of Federal Regulations CFR, Subtitle A, Parts 1, 3 and 5, which are the regulations and procedures issued by the Secretary of Labor for the administration and enforcement of the Davis-Bacon Act, as amended.

The Subrecipient shall maintain documentation that demonstrates compliance with hour and wage requirements of this part. Such documentation shall be made available to the Department for review upon request. Subrecipient shall be responsible for monitoring Developer, contractors, and subcontractors, as applicable, for compliance with these provisions.

19. State Prevailing Wages

- A. The Subrecipient shall ensure that the requirements of California Labor Code (LC), Chapter 1, commencing with Section 1720, Part 7 [LC Section 1720-1743] pertaining to the payment of prevailing wages and administered by the California Department of Industrial Relations are met.
- B. For the purposes of this requirement "construction work" includes, but is not limited to rehabilitation, alteration, demolition, installation, or repair done under contract and paid for, in whole or in part, through this Agreement. All construction work shall be done through the use of a written contract with a properly licensed building contractor incorporating these requirements (the "Construction Contract"). Where the Construction Contract will be between the Subrecipient and a licensed building contractor, the Subrecipient shall serve as the "awarding body" as that term is defined in the LC. Where the Subrecipient will provide funds to a third party that will enter into the Construction Contract with a licensed building contractor, the third party shall serve as the "awarding body." Prior to any disbursement of funds, including but not limited to release of any final retention payment, the Department may require a certification from the awarding body that prevailing wages have been or will be paid.
- C. The applicable wage rate determination on construction work will be the more restrictive of the rate prescribed in LC Section 1770-1784 or the Davis-Bacon Wage Determination.

20. Agreements with Contractors

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- A. The Subrecipient shall not enter into any agreement, written or oral, with any Contractor or other party without the prior determination that the Contractor or other party is eligible to receive federal funds and is not listed on the Federal Consolidated List of Debarred, Suspended, and Ineligible Contractors.

The terms "other party" is defined as public or private nonprofit agencies or organizations and certain (limited) private for-profit entities who receive grant funds from a Subrecipient to undertake Approved Projects.

- B. An agreement between the Subrecipient and any Contractor or other party shall require:
- 1) Compliance with all State and federal requirements described in this Agreement including without limitation those that pertain to labor standards, nondiscrimination, Americans with Disabilities Act, Equal Employment Opportunity and Drug Free Workplace, and prevailing wages. In addition to these requirements, all contractors and subcontractors shall comply with the applicable provisions of the California Labor Code.
 - 2) Maintenance of at least the minimum State required Workers' Compensation Insurance for those employees who will perform the Approved Project activities.
 - 3) Maintenance, as required by law, of unemployment insurance, disability insurance and liability insurance, which is reasonable to compensate any person, firm, or corporation, who may be injured or damaged by the contractor, or any subcontractor in performing the Approved Project activities.
 - 4) Compliance with the applicable Equal Opportunity Requirements described in Section 10 of this Exhibit.

- C. Contractors shall:

- 1) Perform the Approved Project activities in accordance with federal, state and local regulations, as are applicable.

- D. Contractors and Subcontractors: Drug-Free Workplace Act of 1988

- 1) Publish and give a policy statement to all covered employees informing them that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited in the covered workplace and specifying the actions that will be taken against employees who

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violate the policy.

- 2) Establish a drug-free awareness program to make employees aware of a) the dangers of drug abuse in the workplace; b) the policy of maintaining a drug-free workplace; c) any available drug counseling, rehabilitation, and employee assistance programs; and d) the penalties that may be imposed upon employees for drug abuse violations.
- 3) Notify employees that as a condition of employment on a federal contract or grant, the employee must a) abide by the terms of the policy statement; and b) notify the employer, within 5 calendar days, if he or she is convicted of a criminal drug violation in the workplace.
- 4) Notify the contracting or granting agency within 10 days after receiving notice that a covered employee has been convicted of a criminal drug violation in the workplace.
- 5) Impose a penalty on or require satisfactory participation in a drug abuse assistance or rehabilitation program by any employee who is convicted of a reportable workplace drug conviction.
- 6) Make an ongoing, good faith effort to maintain a drug-free workplace by meeting the requirements of the act.

21. Rights to Inventions Made Under a Contract or Agreement

If a Federal award meets the definition of "funding agreement" under 37 CFR 401.2(a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of recipient or subrecipient must comply with requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulation issued by the awarding agency.

22. Special Conditions Pertaining to Hazards, Safety Standards and Accident Prevention

- A. Use of Explosives: When the use of explosives is necessary for the prosecution of the work, the Contractor shall observe all local, state and federal laws in purchasing and handling explosives. The Contractor shall take all necessary precaution to protect completed work, neighboring property, water lines, or other underground structures. Where there is danger to structures or property from blasting, the charges shall be reduced, and the material shall be covered with suitable timber, steel or rope mats.

The Contractor shall notify all owners of public utility property of intention to use

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explosives at least 8 hours before blasting is done close to such property. Any supervision or direction of use of explosives by the engineer does not in any way reduce the responsibility of the Contractor or his Surety for damages that may be caused by such use.

- B. Danger Signals and Safety Devices: The Contractor shall make all necessary precautions to guard against damages to property and injury to persons. The Contractor shall put up and maintain in good condition, sufficient red or warning lights at night, suitable barricades and other devices necessary to protect the public.
- C. Protection of Lives and Health: The Contractor shall exercise proper precaution at all times for the protection of persons and property and shall be responsible for all damages to persons or property, either on or off the worksite, which occur as a result of prosecution of the work. The safety provisions of applicable laws and building and construction codes, in addition to specific safety and health regulations described by Chapter XIII, Bureau of Labor Standards, Department of Labor, Part 1518, Safety and Health Regulations for Construction, as outlined in the Federal Register, Volume 36, No. 75, Saturday, April 17, 1971, Title 29 - LABOR, shall be observed and the Contractor shall take or cause to be taken, such additional safety and health measures as the Developer may determine to be reasonably necessary.

23. Prohibition Against Payments of Bonus or Commission

The assistance provided under this Agreement shall not be used in the payment of any bonus or commission for the purpose of:

- A. Obtaining the Department's approval of the Application for such assistance; or,
- B. Any other approval or concurrence of the Department required under this Agreement, Title I of the Housing and Community Development Act of 1974, or the State regulations with respect thereto; provided, however, that reasonable fees for bona fide technical, consultant, managerial or other such services, other than actual solicitation, are not hereby prohibited if otherwise eligible as program costs.

24. Reporting Requirements

- A. Subrecipient must timely submit the reports prescribed below. The Department reserves the right to request additional detail and support for any report made. Reports must be made according to the dates identified, in the formats provided by the Department, and via the Department's Grants Network unless otherwise specified at the discretion of the Department. The Subrecipient's performance under this Agreement will be assessed based in part on whether it has submitted the reports on a timely basis.

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- 1) Monthly Activity Report: Subrecipient must submit a Monthly Activity Report that addresses the following, at a minimum: (1) a description of the current status of the Collective Work; (2) a description of activities to be undertaken in the next reporting period; (3) a description of problems or delays encountered in Collective Work and course of action taken to address them; (4) a description of actions taken to achieve Collective Work expenditure deadlines; and (5) a summary of Collective Work fiscal status, including award amount, funds drawn, and remaining balance. Unless otherwise waived in writing by the Department, Monthly Activity Reports must begin on the 10th calendar day of the second month following execution of this Agreement and must continue through the receipt and approval by the Department of the Project Completion Report, detailed below.
- 2) Semi-Annual Labor Standards Report: During the term of construction for each Approved Project, each April 1st and October 1st, the Subrecipient must submit the Labor Standards Cover Memo, the HUD Form 4710 and the Davis Bacon Labor Standards Report 5.7 (if applicable). These forms are located on the Department's website and are also available upon request.
- 3) Activity Completion Report: At the completion of construction and once an Approved Project is placed in service, the Subrecipient must submit a Project Completion Report. The performance metrics will be identified in Exhibit A.

25. Fiscal Controls

The Subrecipient shall be responsible for the internal control and monitoring of fiscal and programmatic/operational goals and procedures. The Subrecipient shall establish and maintain such fiscal controls and fund accounting procedures as required by Federal regulations, or as may be deemed necessary by the Department to ensure the proper disbursement of, and accounting for, funds paid to the Subrecipient under this Agreement.

- A. Deposit of Funds: Subrecipient shall maintain separate accounts within established bookkeeping systems for the deposit of CDBG-MIT funds and Program Income. Deposits in minority banks are encouraged.
- B. Fiscal Liability: Subrecipients shall be liable for all amounts which are determined to be due by the Department, including but not limited to, disallowed or ineligible costs which are the result of Subrecipient's or its Contractor's conduct under this Agreement. Subrecipients shall also be liable for the repayment of any and all amounts it has received under this Agreement and which HUD is seeking

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reimbursement for from the Department. Subrecipient's obligation to repay the foregoing amounts to the Department shall survive indefinitely the expiration or earlier termination of this Agreement. Subrecipient shall be notified in writing and shall be permitted to respond regarding any controversy or proceeding between the Department and HUD arising from this Agreement.

- C. Fiscal Records: All financial transactions must be supported by complete and verifiable source documents. Records shall provide a clear audit trail and shall be maintained as specified in Section 7 herein.

26. **Monitoring Requirements**

The Department monitors its Subrecipients based upon an assessment of risk posed by the Subrecipient and according to specific monitoring criteria per 2 CFR 200.332. During the term of this Agreement, the Department shall perform program and/or fiscal monitoring of the Subrecipient and Approved Projects to ensure compliance with federal and state requirements and timely project completion. The Subrecipient shall be required to resolve any monitoring findings to the Department's satisfaction by the deadlines set by the Department. In the event Subrecipient disagrees with a finding and/or any accompanying corrective actions or sanction(s) that are associated with such finding, Subrecipient shall follow an appeals process provided by the Department.

27. **Audit/Retention and Inspection of Records**

- A. The Subrecipient must have intact, auditable fiscal and program records at all times. If the Subrecipient is found to have missing audit reports from the California State Controller's Office (SCO) during the term of this Agreement, the Subrecipient will be required to submit a plan to the State for submitting the audit to the SCO. If the deadlines are not met, the Department may initiate remedies for noncompliance in accordance with Section 4 herein. The Subrecipient's audit completion plan is subject to prior review and approval by the Department.
- B. The Subrecipient agrees that the Department or its designee will have the right to review, obtain, and copy all records pertaining to performance of this Agreement. The Subrecipient agrees to provide the Department or its designee with any relevant information requested and shall permit the Department or its designee access to its premises, during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purpose of determining compliance with California Public Contract Code (PCC) Section 10115 et seq., Government Code (GC) Section 8546.7 and 2 CCR 1896.60, et seq., and other requirements of this Agreement. The Subrecipient further agrees to maintain such records for a minimum period of five (5) years

EXHIBIT D

after the Department notifies Subrecipient that the HUD/the Department contract has been closed according to the record retention requirements at 2 CFR 200.334. The Subrecipient shall comply with the caveats and be aware of the penalties for violations of fraud and for obstruction of investigation as set forth in Public Contract Code section 10115.10.

- C. An expenditure which is not authorized by this Agreement or which cannot be adequately documented shall be disallowed and must be reimbursed to the Department or its designee by the Subrecipient.
- D. Absent fraud or material error on the part of the Department, the determination by the Department of the allowability or validity of any expenditure shall be final and conclusive.
- E. For the purposes of annual audits, Subrecipient shall comply with 2 CFR Part 200 Subpart F for the State MIT-PPS Program. Pursuant to 2 CFR Part 200 Subpart F, the Subrecipient shall perform an annual audit at the close of each fiscal year in which this Agreement is in effect. The costs of the MIT-PPS related portion of the audit may be charged to the program in accordance with Public Law 98-502, 2 CFR Part 200 Subpart F, and Title 25 CCR Section 7122.
 - 1) The audit shall be performed by a qualified State, department, local or independent auditor. The agreement/contract for audit shall include a clause which permits access by the Department to the independent auditor's working papers.
 - 2) If there are audit findings, the Subrecipient must submit a detailed response to the Department for each audit finding. The Department will review the response and, if it agrees with the response, the audit process ends, and the Department will notify the Subrecipient in writing. If the Department is not in agreement, the Subrecipient will be contacted in writing and informed what corrective actions must be taken. This action may include the repayment of disallowed costs or other remediation.
 - 3) The Department shall not approve reimbursement for any expenditures for the audit, prior to receiving an acceptable audit report.
 - 4) If so, directed by the Department upon termination of this Agreement, the Subrecipient shall cause all records, accounts, documentation and all other materials relevant to the grant activity(ies) to be delivered to the Department as depository.
- F. Notwithstanding the foregoing, the Department will not reimburse the Subrecipient for any audit cost incurred after the expenditure deadline of this Agreement.

EXHIBIT D

28. Signs

If the Subrecipient places signs stating that the Approved Activity is funded with private or public dollars and the Department is also providing financing, it shall indicate in a typeface and size commensurate with the Department's funding portion of the Approved Activity that the Department is a source of financing through the MIT-PPS Program.

29. Insurance

The Subrecipient shall have and maintain in full force and effect during the term of this Agreement such forms of insurance, at such levels as may be determined by the Subrecipient and the Department to be necessary for specific components of the grant activity(ies) described in Exhibit A.

30. Anti-Lobbying Certification

The Subrecipient shall require that the language of this certification be included in all contracts or subcontracts entered into in connection with the Approved Project(s) and shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into.

Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and no more than \$100,000 for such failure.

- A. No federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

31. Conflict of Interest

Pursuant to 24 CFR 570.489(h), no member, officer, or employee of the Subrecipient, or

EXHIBIT D

its designees or agents, no member of the governing body of the locality in which the program is situated, and no other public official of such locality or localities who exercise or have exercised any functions or responsibilities with respect to MIT-PPS activities assisted under this part, or who are in a position to participate in a decision making process or gain inside information with regard to such activities, including members and delegates to the Congress of the United States, may obtain a financial interest or benefit from a MIT-PPS assisted activity, or have a financial interest in any contract, subcontract or agreement with respect to a MIT-PPS assisted activity or its proceeds, either for themselves or those with whom they have business or immediate family ties, during their tenure, or for 1 year thereafter. The Subrecipient shall incorporate, or cause to be incorporated, in all such contracts or subcontracts a provision prohibiting such interest pursuant to the purposes of this section.

32. Obligations of Subrecipient with Respect to Certain Third-Party Relationships

The Subrecipient shall remain fully obligated under the provisions of this Agreement notwithstanding its designation of any third party or parties for the undertaking of all or any part of the Collective Work with respect to which assistance is being provided under this Agreement to the Subrecipient. The Subrecipient shall comply with all lawful requirements of the Department necessary to ensure that the Collective Work, with respect to which assistance is being provided under this Agreement to the Subrecipient, is carried out in accordance with the Department's Assurance and Certifications, including those with respect to the assumption of environmental responsibilities of the Department under Section 104(g) of the Housing and Community Development Act of 1974 [42 U.S.C. § 5304(g)].

33. Energy Policy and Conservation Act

This Agreement is subject to mandatory standards and policies relating to energy efficiency which are contained in the State Energy Conservation Plan issued in compliance with the federal Energy Policy and Conservation Act (Pub. L. 94-163, 89 Stat. 871).

34. State Contract Manual Requirements (Section 3.11, Federally Funded Contracts (Rev. 3/03):

- A. All contracts, except for State construction projects that are funded in whole or in part by the Federal government, must contain a 30-day cancellation clause and the following provisions:
 - 1. It is mutually understood between the parties that this contract may have been written for the mutual benefit of both parties before ascertaining the availability of congressional appropriation of funds to avoid program and

EXHIBIT D

fiscal delays that would occur if the contract were executed after that determination was made.

2. This contract is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the purpose of this Program. In addition, this contract is subject to any additional restrictions, limitations, or conditions enacted by the Congress or to any statute enacted by the Congress that may affect the provisions, terms, or funding of this contract in any manner.
 3. The parties mutually agree that if the Congress does not appropriate sufficient funds for the program, this contract shall be amended to reflect any reduction in funds.
 4. The Department has the option to invalidate the contract under the 30 day cancellation clause or to amend the contract to reflect any reduction in funds.
- B. Exemptions from provisions A.1 through A.4 above may be granted by the Department of Finance provided that the director of the State agency can certify in writing that Federal funds are available for the term of the contract.
- C. Gov. Code § 8546.4(e) provides that State agencies receiving Federal funds shall be primarily responsible for arranging for Federally required financial and compliance audits, and shall immediately notify the Director of Finance, the State Auditor, and the State Controller when they are required to obtain Federally required financial and compliance audits.

EXHIBIT E

SPECIAL TERMS AND CONDITIONS

1. **Application Review**

Subrecipient has provided the Department with information about the Subrecipient's experience, processes, policies, and procedures related to the management of federal funding in the Subrecipient's jurisdiction. These submissions, in addition to discussions with the Subrecipient, have been used to inform this Agreement and are being materially relied upon by the Department in agreeing to enter into this Agreement. Should there be substantive changes to the organization, key personnel, methods, capacity, policies, or processes of the Subrecipient that impact the implementation of this Agreement, the Subrecipient shall promptly notify the Department of said changes.

2. **Risk Assessment**

During the term of this Agreement, Subrecipient agrees to timely provide documents and information to facilitate the Department's Subrecipient monitoring risk assessment process. Subrecipient further agrees to comply with the requirements, requests, and results of the Department's risk assessment, including participation in Subrecipient monitoring events.

3. **Special Conditions**

Pursuant to the Department's initial Risk Assessment, Subrecipient agrees to adhere to the following Special Conditions: None.

EXHIBIT F
ADDITIONAL PROVISIONS

Ventura County Prepares! Public Education & Outreach for Vulnerable Populations

1. Project Overview

See attached application below.

2. THE NATIONAL OBJECTIVE TO BE ACHIEVED AS A RESULT OF THIS PROJECT:

Urgent Need Mitigation (UNM)

3. THE NUMBER OF INDIVIDUALS BENEFITTING AS A RESULT OF THIS PROJECT:

- Total beneficiaries: 340,430
- Total low/mod beneficiaries: 191,400

Interface Children & Family Services - Ventura County Prepares! Public Education & Outreach for Vulnerable Populations

Scope of Work

Ventura County Prepares! project will mitigate disaster risk by expanding public outreach and disaster preparedness education. The project will a) reduce risk of injury and death related to emergency/disaster events through greater resident knowledge and preparedness plans and b) assist in building resilience among vulnerable populations before, during, and after emergencies/disasters through strengthening of connections between organizations in low-to-moderate income areas that are also high risk and increasing their knowledge of disaster preparedness as a public safety topic. Research has shown that communities that are more resilient have established strong relationships and connections between a broad base of organizations that enable better information sharing and planning. The anticipated total number of beneficiaries for the project is 340,430 Ventura County residents including 191,400 of whom are low-to-moderate income.

The Subrecipient will be responsible for completing a new public services project related to disaster response and capacity building over the period of June 2022 to June 2024. With funding from the Year 2017 CDBG MIT-PPS program of HCD.

The major tasks that the Subrecipient will perform in connection with the provision of the eligible public services project include, but are not limited to, the following:

Activity 1: Disaster Preparedness Community Partnerships

Deliverable 1: At least eight (8) community disaster preparedness events

Task: Conduct landscape scan to identify existing disaster preparedness campaigns and organizations active in Ventura County

Task: Coordinate with the Ventura County Fire Community Education for the community engagement events to provide information on the Community Emergency Response Team (CERT)

Task: Customize LISTOS preparedness materials for Ventura County noting local resources (e.g. VC Alert, radio stations, VCemergency website.)

Task: As needed, translate local information/materials in Spanish, Mixteco, and other Indigenous languages (as appropriate for the local population.)

Task: Outreach to local organizations and neighborhood groups in project's identified LMI areas (Saticoy, Santa Paula, South Oxnard, West Ventura) that have established connections with different vulnerable populations to confirm preparedness

Task: Attend community group meetings and events and guide participating households through the completion of Disaster Ready Guides

Activity 2: Public Education via Social Media

Deliverable 2: Ventura County-Specific Disaster Preparedness Social Media Toolkit

Task: Create an editorial calendar to leverage state and national events such as Tsunami Prep Week in March and seasonal hazards.

Task: Design disaster preparedness campaign specific landing page with information on local preparedness resources and events.

Task: Develop social media disaster preparedness toolkit for community partners and VOAD member organizations as part of follow up from local events.

Task: Promote disaster preparedness messaging via social media platforms including NextDoor.com and Facebook.

Task: Develop texting campaign messages and replies based on editorial calendar

Task: Send text messages to 211 Ventura opt-in list of phone numbers

Activity 3: Micro-targeted marketing and advertising

Deliverable 3 : Online advertising procurement and contract

Task: Develop disaster prep campaign messages and online advertising collateral (advertising banners) in English and Spanish.

Task: Create RFP for online advertising contract targeting LMI populations in Ventura County.

- Prepare RFP for online advertising and marketing buys
- Solicit at least 3 proposals
- Review proposals
- Interview and identify preferred consultant/agency
- Present preferred consultant/agency to VOAD Executive Committee and Interface Board of Director for approval
- Execute contract with consultant for online advertising buys

Task: Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County

Activity 4: Grant Monitoring and Reporting

Deliverable 4: Quarterly performance reports

Task 1: Collect qualitative and quantitative data from project staff

Task 2: Analyze data alongside evaluation team

Task 3: Compile report of baselines (first quarter) and progress made towards outcomes

Task 4: Enter reports in eCivis and submit to HCD

Task 5: Update performance measures as accomplishments are made

Deliverable 5: Quarterly financial reports (invoicing monthly)

Task 1: Collect invoices for reimbursements

Task 2: Enter invoice data in Financial Report

Task 3: Upload backup invoices and submit to HCD for payment via eCivis

Timeline:

Note the activities in this project take place concurrently. Therefore the deliverable number does not signify the order in which deliverables will be completed.

Timing	Related deliverable	Tasks
July - Sept 2022		
	D3 : Online advertising procurement and contract	Develop disaster prep campaign messages and online advertising collateral (advertising banners) in English and Spanish.
	D4 and D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
Oct - Dec 2022	D1: Community disaster	Conduct landscape scan to identify existing disaster preparedness campaigns and organizations active in Ventura County

	preparedness events	Coordinate with the Ventura County Fire Community Education for the community engagement events to provide information on the Community Emergency Response Team (CERT)
	D2: Ventura County Specific Disaster Preparedness Social Media Toolkit	Develop texting campaign messages and replies
	D3 : Online advertising procurement and contract	<p>Create RFP for online advertising contract targeting LMI populations in Ventura County.</p> <ul style="list-style-type: none"> ● Prepare RFP for online advertising and marketing buys ● Solicit at least 3 proposals ● Review proposals ● Interview and identify preferred consultant/agency ● Present preferred consultant/agency to VOAD Executive Committee and Interface Board of Director for approval ● Execute contract with consultant for online advertising buys
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
Jan - Mar 2023	D1: Community disaster preparedness events	<p>Customize LISTOS preparedness materials for Ventura County noting local resources (e.g. VC Alert, radio stations, VCemergency website.)</p> <p>As needed, translate local information/materials in Spanish, Mixteco, and other Indigenous languages (as appropriate for the local population.)</p>
	D2: Ventura County Specific Disaster Preparedness Social	Create an editorial calendar to leverage state and national events such as Tsunami Prep Week in March and seasonal hazards.

	Media Toolkit	Design disaster preparedness campaign specific landing page with information on local preparedness resources and events. Send text messages to 211 Ventura opt-in list of phone numbers
	D3 : Online advertising procurement and contract	Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
April - June 2023	D1: Community disaster preparedness events	Outreach to local organizations and neighborhood groups in project's identified LMI areas (Saticoy, Santa Paula, South Oxnard, West Ventura) that have established connections with different vulnerable populations to confirm preparedness
	D2: Ventura County Specific Disaster Preparedness Social Media Toolkit	Develop social media disaster preparedness toolkit for community partners and VOAD member organizations as part of follow up from local events.
	D3 : Online advertising procurement and contract	Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
July - Sept 2023	D1: Community disaster preparedness events	Continue outreach to local organizations and neighborhood groups in project's identified LMI areas (Saticoy, Santa Paula, South Oxnard, West Ventura) that have established connections

		with different vulnerable populations to confirm preparedness
	D1: Community disaster preparedness events	Attend community group meetings and events and guide participating households through the completion of Disaster Ready Guides
	D2: Ventura County Specific Disaster Preparedness Social Media Toolkit	Promote disaster preparedness messaging via social media platforms including NextDoor.com and Facebook. Send text messages to 211 Ventura opt-in list of phone numbers
	D3 : Online advertising procurement and contract	Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
Oct 2023 - Dec 2023	D1: Community disaster preparedness events	Continue outreach to local organizations and neighborhood groups in project's identified LMI areas (Saticoy, Santa Paula, South Oxnard, West Ventura) that have established connections with different vulnerable populations to confirm preparedness
	D1: Community disaster preparedness events	Continue to attend community group meetings and events and guide participating households through the completion of Disaster Ready Guides
	D2: Ventura County Specific Disaster Preparedness Social Media Toolkit	Continue to promote disaster preparedness messaging via social media platforms including NextDoor.com and Facebook. Send text messages to 211 Ventura opt-in list of phone numbers

	D3: Online advertising procurement and contract	Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
Jan 2024 - Mar 2024	D1: Community disaster preparedness events	Continue to attend community group meetings and events and guide participating households through the completion of Disaster Ready Guides
	D2: Ventura County Specific Disaster Preparedness Social Media Toolkit	Continue to promote disaster preparedness messaging via social media platforms including NextDoor.com and Facebook. Send text messages to 211 Ventura opt-in list of phone numbers
	D3 : Online advertising procurement and contract	Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports
Apr - June 2024	D1: Community disaster preparedness events	Continue to attend community group meetings and events and guide participating households through the completion of Disaster Ready Guides
	D2: Ventura County Specific Disaster Preparedness Social Media Toolkit	Continue to promote disaster preparedness messaging via social media platforms including NextDoor.com and Facebook. Send text messages to 211 Ventura opt-in list of phone numbers

	D3: Online advertising procurement and contract	Review campaign reports and adjust advertising placement to achieve maximum reach of LMI residents in Ventura County
	D4 & D5: Quarterly performance and financial reports	Prepare and submit Quarterly performance and financial reports

Applications: Interface - Ventura County Prepares! Public Education & Outreach for Vulnerable Populations

Mitigation - Planning and Public Services (MIT-PPS)

Profile

grants@icfs.org

What project type are you applying for?

Public services

Do you commit to having capacity to carry out program activities?

Yes

If yes, please describe the capacity and staffing in detail.

211 Ventura County (hereby be referred to as Interface 211 VC) is a project of Interface Children & Family Services, a comprehensive non-profit community-based organization that provides Ventura County (VC) individuals and families evidence-based, culturally inclusive, trauma-informed, and developmentally appropriate services and supportive programming. Seasoned over 15 years, Interface 211 provides 24/7 information and referral services via phone, text, and web to more than 350,000 Californians annually, seeing them through disasters and emergencies such as wildfires, mass shootings, Public Safety Power Shutoffs, and the Coronavirus pandemic. Since its start in 2005, Interface 211 VC has played a central role in disaster preparedness, response and recovery, cultivating partnerships with emergency response organizations, and providing up-to-date information and referrals to individuals seeking critical support during their most vulnerable times.

Interface 211 VC has provided crucial assistance and strategic referrals to victims of the Thomas, Woolsey, Maria, and Hill fires, plus several fires that affected Northern California, the Montecito mudslides, the Borderline mass shooting, and the Coronavirus pandemic. During national emergencies, Interface 211 VC has assisted 211s across the country in providing callers with information and referrals to resources and support. In fiscal year 2020, Interface 211 VC effectively responded to 9,141 calls coded as disaster, illustrating their ability to provide timely, widespread disaster and emergency support on both a local and national scale. In so doing, Interface 211 VC has acquired extensive experience responding to disasters and has consequently developed tactical disaster response strategies.

For over a decade, Interface staff has participated as a core partner organization and Executive Committee member of Ventura County Voluntary Agencies Active in Disaster (VC VOAD). VC VOAD is a collaborative of local non-profit agencies, faith-based organizations, volunteer groups, public institutions, and private entities (including Interface, United Way Ventura County, Red Cross, Cal State Channel Islands, The Salvation Army, and more) that are committed to work together to improve outcomes for those impacted by disasters through the organization and deployment of community resources in an efficient and timely manner. VC VOAD's efforts contribute to the stabilization of community lifelines in all phases of disaster - from mitigation, preparedness, response, and recovery. With a membership of more than forty organizations representing a variety of stakeholders throughout the County, VC VOAD is led by two Co-Chairs and an Executive Committee representing key disaster response organizations, including a representative from the County Office of Emergency Services. VC VOAD is a member of the Southern California VOAD network, as well as the association of California VOAD and National VOAD that provides access to information sharing on best practices, as well as mutual support from national partner organizations, as needed.

*Updated by CC 5/5/21

Information received by HCD 4/23/21

See additional information in attachments

Question:

The application indicated that a director would be hired to implement the program. Please provide additional information as to the need to hire staff and the process and timeline for doing so.

Answer:

Presently, VC VOAD leadership is composed entirely of volunteers. After a careful review of community-wide response to recent wildfires, key stakeholders identified the need to formalize positions within VC VOAD to support an enhanced and coordinated effort to plan for response to emergencies and disasters county-wide, as well as lead preparedness and mitigation projects. Research conducted to uncover the most promising opportunities for resiliency and whole community disaster preparedness in California recommend resourcing VOADs with paid staff as a key factor for success found in effective VOADs around California (Building Disaster Resilience, Monitor Institute and California for All, 2019). There is a desire to leverage the relationships and experience developed by VC VOAD over the last three years of recovery to transfer the lessons into increased capacity and planning for the organization's involved in disaster mitigation and response. Similarly, because of recent disaster events there is heightened awareness among the general public of hazards and the dangers of not being prepared for disasters that makes for fertile ground and motivation to learn more about how to better prepare and plan for disaster events.

The emergency management consultant identified to work with VC VOAD in the Disaster Mitigation Planning and Coordinated Community Response project has experience guiding other VOADs in the state through a search process and hiring of a VOAD Director. The timeline planned allows three months for the VC VOAD Executive Committee and Interface/211 Ventura to work with the consultant, Kelle Kroll, to refine the job description, initiate a search, interview and hire a Director. The estimate in the budget for the Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project is .25 FTE of the Director's time. It is estimated that the remaining .75 FTE of the Director's time would be devoted to the VC Disaster Mitigation Planning and Coordinated Community Response project.

Are you applying for more than one Public Services Activity?

No

Public Services Activity

Education Services

17-MITPPS-21009

Approved Date: 7/16/2021

Prep Date: 5/13/2022

Is the project regional in approach?

No

Does the project include collaboration amongst jurisdictional and nonprofit partners?

Yes

If yes, name the jurisdictional and nonprofit partners.

Interface 211 VC will be partnering with local nonprofits and local government agencies including the Ventura County Voluntary Organizations Active in Disaster (VOAD), Ventura County Fire Community Education Department/CERT training; City of Santa Paula, City of Oxnard, City of Ventura, the Local Love Project, United Farm Workers Foundation, Nylands Promise, Community Action, Limoneira Group

*Updated 5/5/21 by CC

Received by HCD 4/23/21

See additional information in attachments

Question:

We need additional details regarding the role(s) and relationship(s) of partners to the project. Is there a formal, even if preliminary, structure related to the role(s)?

Answer:

VC VOAD is a collaborative of community-based organizations that relies on core partner organizations to dedicate staff to the collaborative's activities. Interface/211 Ventura has been a long-standing core partner and member of VC VOAD's Executive Committee. Over the last three years Interface has hosted the staff that has coordinated long-term recovery efforts -a 211 Disaster Recovery Coordinator and Facilitator Anne Whatley, who has been contracted / employed by Interface but reports to the VC VOAD LTRG Steering Committee and VC VOAD Executive Committee. Decision-making authority related to the activities of VC VOAD lies with the VC VOAD Executive Committee and the VC VOAD membership, as described by the VC VOAD bylaws. Fiscal responsibility, including management, oversight and tracking of funds received are handled by the respective partner organizations that receive funds -in the case of this grant, Interface/211 Ventura. Interface/211 Ventura and VC VOAD have an MOU defining roles and responsibilities. (Please see the attached MOU.)Community partnerships will be coordinated through VC VOAD. For instances where community partnerships include funding, an MOU will define mutually agreed upon expectations and costs.

Eligible Applicants are required to identify which of the 2017 declared disasters the submitted project is related to. The disaster(s) related to the project can be determined by the MID area(s) the project serves or benefits. CDBG-MIT does not require a project tie-back to the 2017 declared disaster.

What disaster is this project related to?

DR-4353

Eligible applicant type

Non-Profit

Is this a project on behalf of another government entity or special district other than the Eligible Applicant?

No

Project Title

Interface - Ventura County Prepares! Public Education & Outreach for Vulnerable Populations

Org Name

Interface Children & Family Services

Provide a snapshot summary of the project.

Interface Children & Family Services (Interface) respectfully requests \$276,950 in funding to expand disaster preparedness public education for vulnerable populations, specifically among low-to-moderate income and access and functional needs households. The proposed Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project will target residents of Oxnard, Saticoy, West Ventura, and Santa Paula - four VC communities where the number of low-to-moderate income (LMI) tracts is greater than 51%. By expanding public outreach and disaster preparedness education, the Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project will a) reduce risk of injury and death related to emergency/disaster events and b) assist in building resilience among vulnerable populations before, during, and after emergencies/disasters. Interface 211 and VC VOAD have outlined the following project objectives:

- Strengthen and fortify community partnerships and collaboration with local community groups in vulnerable LMI areas for disaster preparedness.
- Enhance community connection to resources and disaster/ emergency information before, during, and after events occur.
- Increase awareness of 211 VC as a 24/7 resource for disaster information available in English, Spanish and more than 300 other languages via interpretation services.

*Updated by CC 5/5/21

Information received by HCD 4/23/21

See additional information in attachments

Question: Please share additional details on the project objective of strengthening partnerships and how those tie into the education services activity.

Answer:

The public education campaign is aiming to increase disaster preparedness knowledge and planning activities for populations that in past disaster events have been harder to reach and were underserved because of language, access barriers and/or lack of trust. Research has shown that communities that are more resilient have established strong relationships and connections between a broad base of organizations that enable better information sharing and planning in the event of disaster. VC VOAD recognizes that its membership of organizations does not fully reflect all racial/ethnicities present in the County, as well as representation from constituencies with particular access or functional needs, including language access and geography. Interface/211 Ventura and VC VOAD plans to build stronger relationships with community groups in the identified LMI majority and elevated disaster risk areas to develop greater awareness of the role that community groups can play in disaster preparedness and mitigation efforts and to leverage the trusted relationships the community groups have with the low-income and vulnerable residents

The objectives laid out in the plan include increasing the number of new community partners, as well as a way to measure the increase in connected relationships. The second measure tracks the collaborative activities conducted with the community partners to educate residents in the community. The plan commits to at minimum eight (8) events. A proposed addition is a measure that tracks the number of residents / community members that receive disaster preparedness and mitigation education as a consequence of the community partnerships, noting the percentage that live in the targeted LMI areas. Please find proposed additional measures below.

Objective 1: Interface 211 Ventura will strengthen partnerships with local community groups in identifying vulnerable, LMI areas for disaster preparedness.

- By the end of June 2023 Interface 211 VC will increase the number of partnerships with community groups/organizations for disaster preparedness by 50% as compared to June 2021. (Current partnerships have stalled between 20-30 members, so this increase could double the membership.)
 - By the end of June 2023 Interface will host a minimum of eight (8) events or participatory discussions of local hazards/risks and community-based preparedness solutions for community partners in LMI areas.
- (NEW)By the end of June 2023, Interface will provide disaster preparedness and mitigation education to at least 1,000 LMI residents or residents in one of the identified LMI majority areas.

Upload project description, if available.

Public outreach Final.pdf

Project Location Description

This project will take place in Ventura County, with specific attention to the Oxnard, Santa Paula, West Ventura, and Saticoy areas.

Does the project fall into one of these zip codes/counties?

Ventura County

Public Services projects must select a National Objective. Planning projects do not require a National Objective. The Urgent Need

Mitigation (UNM) national objective is for CDBG-MIT activities that aim to address risks that do not tie back to the disaster events of the 2017 CDBG-DR funding, or subsequent disasters. Projects using the UNM national objective must provide documentation that demonstrates a measurable and verifiable impact on reducing risks at the completion of the activity. Projects using the LMI national objective are those that have a benefit to Low to moderate income person, which is defined as persons having incomes not more than the “moderate-income” level (80% Area Median Family Income) set by the federal government for the HUD-assisted Housing Programs.

National Objective

Low-to Moderate-Income (LMI)

Does the project benefit an LMI population or area?

Yes

Describe how the project benefits a LMI area (additional material and information may be required)

In Ventura and Santa Barbara Counties, undocumented individuals are estimated to account for more than 9 percent of the population or 111,000 people (Hayes and Hill, 2017). As one of the nation’s top agricultural producers, Ventura County is home to large populations of immigrant families and low-wage workers who are devastated by wildfire season, but who are left out of current disaster preparedness efforts. The cities of West Ventura, Saticoy, Santa Paula, and Oxnard incorporate the regions where most of Ventura County’s agricultural workers reside and whose population is made up of 51% or greater LMI households. As a result, these cities comprise the primary service populations for the Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project.

In a 2020 article entitled “The (in)visible victims of disaster: Understanding the vulnerability of undocumented Latino/a and indigenous immigrants”, authors Mendez, Flores-Haro, and Zucker illustrate how the response of community-based groups during and after the Thomas Fire points to ways in which deliberately crafted disaster planning and climate adaptation policy can help alleviate, rather than reinforce, existing disparities. For example, during the Thomas Fire, resources were directed toward wealthy individuals, leaving local immigrant rights and environmental justice groups to provide immigrants and farmworkers with essential services like language access to emergency information in Spanish and Indigenous tongues (Mendez et. al, 2020). This gap in provision of services helps clarify the need for education and outreach material that is published in the languages of local populations-- Spanish, English, and Mixteco.

Interface 211 VC seeks to ensure that communities thrive by eliminating the barriers to support that might negatively impact a community’s overall health and wellbeing. Our 211 program is embedded in a multi-service agency with decades of experience in the wide range of issues that Ventura County residents face. Many, if not all, of these concerns are augmented by inequitable social service systems whose negative impacts disproportionately affect people of color and those with limited financial means. We have found that individuals from underserved populations face challenges in utilizing comprehensive and effective social services that are accessible, culturally relevant, and responsive. As a result, underserved communities often do not receive appropriate services. To address these barriers, the Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project, will provide culturally relevant, multilingual outreach and education supports that serve the diverse needs of our community.

Culturally responsive disaster preparedness supports the stabilization of vital Community Lifelines of Health and Safety by improving the comprehension and availability of multilingual educational resources to enhance awareness in previously overlooked communities. By amplifying the awareness of proper evacuation routes, emergency preparedness procedures, and resources availability, the project seeks to reduce the burden on government/emergency services during a disaster and decrease the risk of injury and loss of property and life. Of particular interest for Interface 211 VC and VC VOAD is ensuring that disaster response and mitigation activities in Oxnard, Saticoy, West Ventura, and Santa Paula are inclusive of undocumented immigrants, a significant portion of the very-low and low-income households in the County.

*Updated by CC, 5/5/21

Information received by HCD 4/23/21

See additional information in attachments

Question:

The application project description indicates that this is a request to expand current programming. Please clarify if the expansion is only for LMI populations/ communities and how the expansion will differ from existing programming.

Answer:

Interface/211 Ventura consistently provides information to the community regarding disaster preparedness planning and referrals to available resources during Public Safety Power Shutoffs, wildfires, and other emergencies via when calls or texts are made to 211 Ventura. However, 211 has not been as successful in reaching vulnerable populations in the past unless they have contacted us directly. Recent disaster after action reports identified a need in underserved communities (low-, moderate-income, access and functional needs, and low-literacy populations) in Ventura County for greater disaster preparedness education. This public education project expands 211 Ventura’s disaster information resource program to promote disaster readiness materials that target zip codes/census tracts that are majority LMI and/or vulnerable populations with an elevated risk for disaster. We have developed an expanded, multi-pronged strategy for promoting disaster preparedness materials through the leveraging of trusted community-based partnerships via VC VOAD, online communities, message boards, social media and advertising.

Upload document of data supporting the LMI population to be benefitted and/or a map of the service area (Optional)

LMI Saticoy and Ventura.pdf

Does the project service area benefit the MID?

Yes

Describe how the project benefits a MID area (additional material and information may be required)

Wildfires are some of the most prevalent natural disasters that residents in Ventura County face. Surrounded by mountains and vast brushy landscapes, Ventura County experiences two long fire seasons annually. One occurs when wildfires are driven by heat (June – Sept.) while the other is marked by high winds fed by dry chaparral vegetation (Oct.- April).

In 2018, Ventura County experienced two catastrophic fires. The Thomas Fire (12/4/2017 – 01/15/2018) was the second costliest fire in the U.S. and burned 281,893 acres, destroying 1,063 homes, businesses, and structures. During the Thomas Fire, Interface 211 VC received more than 7,800 calls and more than 10,000 texts over the duration of the event and assisted a total of 2,791 unique clients. The Woolsey Fire (08/08/2018-08/21/2018), the third costliest wildfire in the U.S., burned 97,000 acres, destroyed 1,600 structures and killed three people. The Woolsey Fire prompted the evacuation of more than 295,000 people, which was complicated by the closure of the main transportation routes.

According to a State Auditor’s report focused on the emergency alert, evacuation, and shelter plans adopted by the California Office of Emergency Services and Ventura, Sonoma, and Butte counties, emergency officials routinely overlook the state’s most vulnerable populations as they make preparations for foreseeable wildfires, floods, and other disasters (Howe, 2019). In the months and years following the Thomas and Woolsey fires, similar gaps in information and emergency response protocol have been noted. Local analysis, including Ventura County After Action Reports for both the Thomas Fire and Woolsey Fires have highlighted opportunities to improve community collaboration and a coordinated emergency response from local nonprofits and organizations focused on disaster mitigation and preparedness.

Mendez et al. (2020) argues that given their pre-disaster marginalized status, undocumented Latino/a and Indigenous immigrants require special consideration in disaster planning. The Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project addresses these special considerations by providing multilingual outreach and education to individuals and families across Ventura County, one of California’s Most Impacted by Disaster areas.

Upload documentation that supports how the project benefits a MID area (Optional)

What Census Tracts/Block Groups are served by this project?

Oxnard: 003201 009100, 004716, 004902, 004704, 004717, 004710, 004000,
004711, 004101, 004101, 003802, 003801, 003700, 003801, 008600, 008700, 003300, 003011, 005003, 005002
Santa Paula: 00701,00702, 00600, 00500, 00400
Westside City of Ventura: 002200, 002300, 002400, 001204,
Saticoy: 001302, 000500, 001204

What is the total dollar amount of the project?

394,856

Anticipated CDBG-MIT funding need (\$ amount)

276,950

Have you applied for other sources of funds for this project?

Yes

If yes, please provide a list of sources, how much have you applied for, have you been awarded funds, and the amount(s) from each source anticipated or awarded.

\$20,000: Ventura County Community Fund
\$37,000: Independently Owned Utilities
\$ 6,760: Other CDBG
\$17,535: CARESTAR
\$50,000: SoCal Edison
\$11,798: Interface Children & Family Services

Project Budget broken down by eligible activity and activity costs

ICFS 211 CDBG-MIT Budget_Public Educ-Outreach_Final.xlsx

Basis of Total Project Cost and Amount of CDBG-MIT requested

Descriptions for Budget Categories

Staffing

All salaries have been determined based on current staffing levels and industry standards, including review of similar positions in other jurisdictions, such as Napa and Sonoma counties. The new position of VOAD Network Director is needed to lead the project and oversee all activities, with .25 FTE allocated to this CDBG project. The Contact & Outreach Specialist at .5 FTE engages community members and partner agencies to provide multidimensional information and referral services at events and to callers, assists with database updates, and provides education and intake for special projects. The Data Analyst at 0.05 FTE will collect and analyze data to create presentations and reports that are shared internally and with CDBG funders. The Evaluator at 0.05 FTE will help design the framework for conducting analysis on program process and outcome data, monitor and evaluate data collection tools, and work with the Data Analyst to draft written reports related to evaluation measurements and findings. The 211 Associate Director at 0.1 serves as 211 liaison between Interface and the VC VOAD Executive Committee, providing program oversight and support.

Interface 211 VC will leverage the funding secured from community partners, such as the Ventura County Community Foundation (VCCF) and the CARESTAR Foundation, to support the percentage of staff salaries not requested from CDBG-MIT.

Professional Services

The Social Media and Outreach Consultant will provide professional expertise and coordination for the development and implementation of the social media campaign to promote disaster preparedness, plus facilitate outreach to community groups. The consultant has provided a proposed scope of work based on the project parameters that are included in this budget.

Operating Expenses

These include the hard costs of implementing the program successfully, and cover communications, digital platforms and software applications, and are based on current Interface expenses. Additional costs include the design/production of digital advertising, as well as printing, copying and translations of disaster preparedness materials, plus a push-text campaign inviting residents to reply to receive links to various disaster resources, such as planning guides and evacuation routes.

Indirect (Modified Total Direct Costs)

This 10% funding supports the administrative and fiscal management required to ensure the CDBG grant is implemented according to federal 24 CFR §570, Subpart I; 24 CFR §58; and 2 CFR §200 and GAAP guidelines, as well as agency policies and procedures.

Describe prior experience and previous success in implementing planning and/or public services projects.

Interface has supported disaster response efforts of 211 call centers across the state of California and the nation, guiding residents through wildfires, mudslides, floods, hurricanes, and the coronavirus pandemic. In doing so, our agency has acquired extensive experience responding to disasters, and has consequently developed proven and effective disaster communication strategies. Throughout disasters and emergencies like the coronavirus pandemic, surges in the demand for urgent information overwhelm emergency service hotlines, including 911, and decrease their abilities to respond to life-threatening situations and/or requests for rescue. Interface 211 VC has the capacity to intervene as an effective community partner to alleviate the volume of non-emergency calls received through 911 emergency service lines, improving the experience of residents in need of immediate emergency assistance and better serving residents with non-emergent basic needs and assistance.

Since June 2019, Interface 211 has received two grants from SoCal Edison to increase public awareness of the resources available to help prepare for, respond to, and recover from Public Safety Power Shutoff, disasters, and emergencies that occur in Ventura County. With funding from Edison, Interface 211 VC staff distributed public safety flyers and educated community members about how to prepare for disasters/emergencies by making an evacuation plan, registering for alerts, and creating emergency kits containing batteries, medications, and hygiene supplies. Utilizing push-texting, Interface 211 VC sent more than 2,100 texts to community members who texted PSPS to 898211 from June 2019-June 2020.

During the 2019-2020 grant period, Interface 211 VC:

Created and distributed more than 1,000 new marketing/educational materials addressing PSPS events and Public Safety.

Created and distributed more than 2,200 rack cards addressing 211 services, and connected resources to 10 agency/government partners.

Participated in 10 community meetings and events that reached approximately 1,000 people.

Created social media posts informing visitors to our Facebook page about disasters, preparedness, PSPS events, and 211 services.

Across California, 211 Disaster and PSPS push-texting campaign has been used by over 21,000 individuals during recent fires and PSPS events, including more than 10,200 fire survivors from Butte and Glenn counties and 6,294 survivors from the Kincade Fire in Sonoma county who received ongoing push-text messaging about resources available to them. Since the onset of COVID-19 in March 2020, 211 COVID push-texting campaigns have gained over 70,000 unique users.

VOAD members and community partners also have extensive experience in community disaster preparedness and response. Ventura County VOAD was given the 2019 Southern California Emergency Services Association Silver Award for its response and recovery work following the Thomas and Woolsey Fires. Presently, the Ventura County VOAD Executive Committee consists of experienced and accomplished emergency management professionals.

Maggie Tougas, Emergency Manager, California State University Channel Islands, Certification focused in Emergency Management from CSTI, San Luis Obispo Emergency Manager Certificate

Nick Nguyen, Emergency Disaster Services Director, The Salvation Army Southern Region

Susan Englund, Vice President, Community Impact, United Way of Ventura County, overseeing Ventura County Volunteers program

Kat Merrick, CEO, Local Love Project/Totally Local VC

Dan Wall, City of Ventura Emergency Manager, 16 years experience as Manager of the Emergency Preparedness Office, Ventura County Health Care Agency

In addition, VOAD community partner, the Local Love Project, received recognition from the Ventura County Board of Supervisors in 2018 for their Thomas Fire response and recovery efforts and have since dedicated efforts towards preparedness, including securing donations of supplies for emergency prep bags that are distributed to residents in high-risk, low-income areas. During the COVID-19 pandemic, the Local Love Project has worked in partnership with 21 organizations delivering food/produce boxes and home cleaning essential bags to homebound individuals (i.e disabled, access and functional needs, elderly, individuals who are quarantined due to health risks), serving 38,220 households in 2020. The Local Love team has developed an extensive social media outreach program that provides regular information to Ventura County residents about disaster hazards and preparation resources.

Is the proposed project identified as a priority project in your hazard mitigation plan?

Yes

What community lifeline will this project protect? Health and Safety are prioritized in the Planning and Public Services program.

Health & Medical, Safety & Security, Communications

How will this project reduce risk to community lifeline(s)?

This proposal is designed to provide disaster preparedness education and outreach services to some of VC's most vulnerable individuals. Interface 211 and VC VOAD seek to reduce barriers to disaster/emergency information by providing services that are culturally relevant, multilingual, and accessible to all residents in Ventura County, with specific attention to those living in LMI areas, individuals with AFN needs, and immigrant populations.

Currently, Interface 211 VC works closely with the offices of Emergency Services and has the capacity to dramatically reduce the influx of emergency calls received during emergency/disaster events. As Interface 211 VC works to increase awareness of emergency/disaster protocol among VC residents and community based organizations, we seek to decrease the number of callers and requests made during the onset of an emergency/disaster. Utilizing the extensive resource partner database, Interface 211 VC can provide referrals to vetted resource partners, review what is needed to create an emergency plan, and provide linkage to transportation and/or alternative energy sources required during an emergency. Interface 211 VC will provide scheduled reminders (via push-texting and email) to Ventura county residents and LMI households about preparedness planning, equipment testing, and year-round tips on emergency/disaster readiness.

*Updated by CC 5/5/21

Information received by HCD 4/23/21

See additional information in attachments

Question:

How were the four communities selected for the project? Will this project serve any other communities/locations?

Answer:

In designing this project, we identified the four communities of focus using the following criteria:

- 1.Areas that are majority (greater than 51%) LMI populations
- 2.Areas of elevated risk for disaster events such as wildfires, flooding and earthquakes noted in the Ventura County Multi Hazard Mitigation Plan
- 3.Community partnerships that could be leveraged to reach LMI populations

The public education planned through digital social networks and micro-targeted marketing / advertising campaigns will specifically target LMI income residents and specific LMI zip codes in Ventura County but would not be limited exclusively to the four communities. The disaster preparedness public education social media posts that will be promoted by VC VOAD community partners and 211 disaster preparedness website pages will be visible as an asset for all residents throughout Ventura County.

Question:

The application indicated that this project is a priority in your hazard mitigation plan, but then it was indicated that you will be utilizing the County's hazard mitigation plan. Please provide more information on if or how this project relates to the County's hazard mitigation plan, as well as your role with the County's plan and how you might utilize it.

Answer:

As a 501c3 organization, Interface does not have a separate hazard mitigation plan of its own. Given that the work of Interface and VC VOAD is to provide community-level support to Ventura County Office of Emergency Services (OES), the County's 2015 Multi Hazard Mitigation Plan informs the planning efforts of VC VOAD. For this project, Interface and VC VOAD referred to the County plan to identify the communities and areas of the County that are at greatest risk for specific disaster events, which informed selection of communities for public outreach and the topics to cover in education efforts. Community preparedness public education and awareness are listed as overarching mitigation actions summarized in table 7-2 on page 7-4 -through 7-6. The VC VOAD is not listed explicitly in the plan, however, VC VOAD works in coordination with the local municipalities and special districts identified in the plan to assist with the implementation of public education. The relevant actions are as follows:

- Overarching Action (OA) 6: Develop public outreach program that informs property owners located in the dam and levee failure inundation areas about voluntary flood insurance.
- OA 11: Develop and implement plans to increase building owners' general knowledge of and appreciation for the value of seismic upgrading
- OA18: Community preparedness measures, including public outreach material and curriculum related to Tsunami Ready program.
- OQ19: Awareness of vegetation management program that provides vegetation management service to elderly, disabled or low-income property owners who lack resources to remove flammable vegetation from around their home

How will this project improve resilience for protected classes, underserved communities, and vulnerable populations?

According to a 2011 research report published by Rand Health, resilience is considered critical to a community’s ability to reduce long recovery periods after an emergency. As the nation grapples with the progressive impacts of climate change, community resilience in response to emergencies and natural disasters have become crucial policy issues that are recognized at the local, state, and federal level. In Ventura County, where widespread wildfires have led to a significant loss of life and property, the need to design and implement effective disaster response solutions has become progressively more apparent.

The 2019 US Census indicates that one in three residents of Ventura and Santa Barbara counties speaks Spanish or another language at home. However, Mendez et al. (2020) reported that during the Thomas Fire, emergency warnings—which detailed evacuation areas and shelters, road and school closures, the need for N95 respirator masks to protect individuals from unsafe air quality, and the lack of safe drinking water in some neighborhoods— were initially only available in English. Emergency information online later included an option for Google Translate in Spanish (an internet-based multilingual translation service), but the Ventura County Offices of Emergency Services failed to assign staff for live translation during a disaster event. Lessons learned from the Thomas Fire illustrate challenges to building a resilient population when the basic language and information needs are not being met.

To address these needs and promote resiliency among vulnerable populations, the Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project will provide culturally relevant, multilingual outreach and education supports that serve the diverse needs of our community. Interface is committed to hiring bilingual staff, providing training for staff in cultural competency, and offering translation services in over 300 languages. Our outreach and communication strategy provides culturally congruent marketing and communications (i.e. diverse images, published in multiple languages) to deliver equitable messaging to the multicultural communities that depend on emergency/disaster preparedness information.

Can this project be replicated in other communities?

Yes

If yes, provide a description.

211 Ventura County is part of the national network of 211s that share best practices regularly. Similarly, VC VOAD is a local chapter in a national organization. Together Interface 211 and VC VOAD have the capacity to share project deliverables, evaluation data, and disaster preparation lessons with affiliated organizations/networks across the country. In this way, the Ventura County Prepares! Public Education & Outreach for Vulnerable Populations project not only serves as a model for disaster preparation and mitigation efforts at the community level, but also assists with VOAD’s mission to improve coordination, communication, collaboration, and service delivery and Interface’s mission to strengthen children, families, and communities to be safe, healthy, and thriving.

Will you be able to quantitatively measure the impact the proposed project will have on current and future risk?

Yes

Explain how you will quantitatively measure the impact of the proposed project on current and future risk.

In over 47 years as a social services provider, Interface has developed a proficient and refined approach to evaluation and data collection, and quality control. Interface uses a mixed-method data and evaluation methodology inclusive of qualitative and quantitative data from multiple sources (i.e., individuals, families, partner agencies, and policy makers). As the lead organization for this project, Interface will leverage its robust evaluation experience to analyze the success of outcomes and objectives.

To evaluate the impact of our proposed project, our evaluation team will utilize pre- and post- test surveys as well as collected service number data to measure against our baseline numbers at the start of the project.

Objective 1: Interface 211 Ventura will have more and stronger community partnerships with local community groups in identified vulnerable, LMI areas for disaster preparedness.

By the end of July 2023 Interface 211 VC will increase the number of partnerships with community groups/organizations for disaster preparedness by 50% compared to June 2021.

By the end of June 2023 Interface will host a minimum of eight (8) events or participatory discussions of local hazards/risks and community-based preparedness solutions for community partners in LMI areas.

Objective 2: Interface 211 VC will provide VC residents, especially vulnerable residents in designated high risk, LMI areas with disaster preparedness resources and disaster / emergency information before, during, and after events occur.

By the end of June 2023 Interface 211 VC will update and increase current disaster-related texting campaigns by a minimum of 20%, from 5 campaigns in 2020/2021 to a minimum of 6 campaigns in 2022/2023

By the end of June 2023 Interface 211 VC will provide at least 10,000 residents in LMI areas/zip codes with disaster preparedness materials. This will include:

Number of residents that receive disaster prep resources via 211 VC text (English and Spanish)

Number of Disaster Planning Guides accessed/downloaded by unique users on 211 VC website (by language)

Number of residents that receive Disaster Planning Guides and materials (e.g. local evacuation routes) at events in LMI areas

Number of residents that receive Disaster Planning Guides, and materials (e.g. local evacuation routes) via community partners outside/beyond events

Number of County VC Alert sign ups

Number of CERT training sign ups

Objective 3: Interface 211 VC will increase awareness of 211 VC as a 24/7 resource for disaster information available in English, Spanish and more than 300 other languages

By the end of July 2023 disaster preparedness messaging with 211 VC info will achieve at least a 20% increase in social media engagement metrics compared to July 2021.

20% increase in average number of likes on social media (Facebook)

10% increase in average number of posts per month (Facebook, Nextdoor.com)

20% increase in average number of views

15% increase in shares on social media (Facebook, Nextdoor.com)

By the end of July 2023 Interface 211 VC will have achieved a 20% increase in text based disaster prep inquiries from LMI zip codes compared to July 2021 (Contacts signed up to receive disaster information from 211 VC)

By the end of July 2023 Interface 211 VC will have achieved a 20% increase in click throughs/visits to the disaster preparedness resources on the 211 VC website compared to July 2021

Upload quantitative data showing a project's anticipated impact on current and future risks.

Given a 3 year Period of Performance and Assuming Spring 2021 start date, what is your expected period of performance? (anticipated start date and completion date)

July 2021- June 2023

Proposed Project Timeline

VC Disaster Prep PublicedProject Timeline.xlsx

APPLICABLE LOCAL POLICIES AND PLANS

Do you have procurement policies and procedures?

Yes

If yes, upload your procurement policies and procedures.

procurement policy.pdf

If yes, but the policies and procedures are in another previously uploaded document, please name the document.

This is a new upload of our policies and procedures.

17-MITPPS-21009

Approved Date: 7/16/2021

Prep Date: 5/13/2022

Do you have a current hazard mitigation plan?

No

If no, please provide a status.

We will be utilizing the county's hazard mitigation plan

In using HUD funds for projects, Subrecipients must comply with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended by the Uniform Relocation Act Amendments of 1987 (URA or Uniform Act).

Do you have existing policies and procedures to ensure compliance with the Uniform Relocation Act (URA)?

No

If no, please provide a status.

We can use the county's plan if applicable.

Do you have policies and procedures for completing National Environmental Policy Act (NEPA) and California Environmental Quality Act (CEQA) environmental reviews?

Yes

If yes, upload your policies and procedures.

NEPA declaration.pdf

If yes, but the policies and procedures are in another previously uploaded document, please name the document.

Section 3 of the Housing and Urban Development Act of 1968 (Section 3), as amended, requires that economic opportunities generated by CDBG-DR funds be targeted toward Section 3 residents. A Section 3 plan must establish standards and procedures to be used to ensure that the objectives of Section 3 are met and records, reports, and other documents or items to demonstrate compliance with Section 3 regulations are maintained.

Do you have a Section 3 plan?

No

If no, please provide a status.

We can use the county's plan if applicable.

Do you have anti-lobbying policies and procedures?

No

If no, please provide a status.

Currently Interface does not have an anti-lobbying plan, but could generate one by June 2021 if it is a requirement for funding.

Do you have conflict of interest policies and procedures?

Yes

If yes, upload your conflict of interest policies and procedures.

Conflict of Interest.pdf

If yes, but the policies and procedures are in another previously uploaded document, please name the document.

Do you have non-discrimination policies and procedures?

Yes

If yes, upload your non-discrimination policies and procedures.

Non-discrimination Policy_002_.pdf

If yes, but the policies and procedures are in another previously uploaded document, please name the document.

Do you have timekeeping policies and procedures?

Yes

If yes, upload your timekeeping policies and procedures.

Timekeeping.pdf

If yes, but the policies and procedures are in another previously uploaded document, please name the document.

Do you have financial management policies and procedures?

Yes

If yes, upload your financial management policies and procedures.

Financial Management.pdf

If yes, but the policies and procedures are in another previously uploaded document, please name the document.

Do you affirm that your policies and procedures are consistent with 2 CFR part 200?

Yes

ORGANIZATIONAL STRUCTURE, CAPACITY, AND AUTHORITY

What is your governing board meeting schedule?

Interface Board meets 6 times a year in February, April, June, August, October, December. An average of 11-12 members attend each meeting.

Documentation for staff experience may include one or both of the following: A narrative description of the experience details of key staff for this project and whether they are new hires or existing staff. A chart of staff by experience in CDBG and/or federal grants management AND experience related to content of the project applied for.

Staff Experience: How many staff do you have with experience in CDBG or federal grants management? How many staff do you have with experience related to content of the project being applied for?

Experience.pdf

Debarment Check

Sam_gov.png

Government Agency Taxpayer ID Form

2020 - Interface W-9.pdf

Payee Data Record STD 204 Form

Payee Date Record 02.18.21.pdf

Have you reviewed the sample authorizing resolution?

Yes

Budget Worksheet

View Budget Worksheet

<https://portal.ecivis.com/#/peerBudget/E6960A2A-DC84-47DB-9D1A-F13721549029>

Goals Worksheet

View Application Goals

<https://portal.ecivis.com/#/peerGoals/1FCEE866-36B0-449C-B0B8-7253CA07B36E>

Additional Information

Board Resolution w signatures.pdf

Additional Information

Non-Conflict_of_interest_certification.docx.pdf

Additional Information

Non-Discrimination_Certification.docx.pdf

Additional Information

Anti-lobbying_certification.docx.pdf

Additional Information

VC Prepares Education ENV Review.pdf

Additional Information

Interface Procurement Policy .pdf

Additional Information

Interface Timekeeping Policy_Draft v1.pdf

Additional Information

ICFS Indirect Cost Fact Sheet-CDBG-DR.MIT.pdf

Additional Information

NEPA INTERFACE.pdf

Additional Information

std204_for_CDBG_grants.pdf

Additional Information

Procurement.pdf

Additional Information

Financial Management.pdf

File Upload

Scope of Work_Interface_Ventura County Prepares (1).docx

File Upload

Interface Asset Management Policy.pdf

Additional Information

Additional Information

Additional Information

Additional Information

of Reviews

1

of Denials

0

Average Score

File Upload

File Upload

File Upload

File Upload

Applications: File Attachments

Upload project description, if available.

Public outreach Final.pdf

Upload document of data supporting the LMI population to be benefitted and/or a map of the service area (Optional)

LMI Saticoy and Ventura.pdf

Project Budget broken down by eligible activity and activity costs

ICFS 211 CDBG-MIT Budget_Public Educ-Outreach_Final.xlsx

Proposed Project Timeline

VC Disaster Prep PublicedProject Timeline.xlsx

Staff Experience: How many staff do you have with experience in CDBG or federal grants management? How many staff do you have with experience related to content of the project being applied for?

Experience.pdf

Ventura County Prepares! Public Education & Outreach for Vulnerable Populations

Project Description

Interface Children & Family Services (Interface) respectfully requests \$276,950 in funding to expand disaster preparedness public education for vulnerable populations specifically, low-to-moderate income and access and functional needs (AFN) households. The proposed **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project will serve residents throughout Ventura county and provide targeted services to residents of Oxnard, Saticoy, West Ventura, and Santa Paula- four VC communities where the number of low-to-moderate income (LMI) tracts is greater than 51%.

More precisely, CDBG MIT funding will support a) disaster preparedness training for local organizations, b) enhanced disaster preparedness communications to VC households, c) the widespread distribution of LISTOS disaster preparedness materials developed by the State of California, and d) 211 public service and disaster prep push-text campaigns that provide information and resources to low-to-moderate income residents. By expanding public outreach and disaster preparedness education, the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project will a) reduce risk of injury and death related to emergency/disaster events and b) assist in building resilience among vulnerable populations before, during, and after emergencies/disasters.

To enhance comprehensive disaster preparedness and mitigation countywide, Interface is concurrently submitting the **VC Disaster Mitigation Planning and Coordinated Community Response project**, a proposal focused on building the capacity of Ventura County VOAD to provide critical services/support to vulnerable populations (specifically, low-income and access and functional needs households) in the event of a disaster, thereby reducing risk for vulnerable populations and improving disaster/emergency readiness and awareness among local service providers. Though the overarching goal of each project includes effectively coordinating community response activities to stabilize the lifelines that support vulnerable populations, the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project will focus specifically on activities that inform and educate the public about emergency preparedness and mitigation efforts.

Interface 211 VC has designed the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project to expand upon the capacity building and risk reduction work of the **VC Disaster Mitigation Planning and Coordinated Community Response** project. Utilizing a holistic approach to disaster preparedness, these projects will engage both community organizations and the populations they serve.

Project Overview

Research repeatedly indicates that low-income families suffer disproportionately from emergencies and natural disasters. Ventura County's experience with wildfires in two of the last three years has been no exception. Language barriers, social marginalization, and economics can negatively impact the emergency preparedness of low-income households, resulting in increased injury and greater loss of life and property. CDBG MIT funding will support Interface 211 VC in coordinating local and regional stakeholders to develop a community outreach campaign raising public awareness of disaster preparedness resources and services available during disaster/emergency events.

Interface 211 will build upon the existing California disaster preparedness efforts already in place and continue to strengthen VC VOAD partnerships with Ventura County, Emergency Services, and local Community Based Organizations. These targeted collaborative efforts will aid in the expansion of outreach, marketing, social media, push-texting educational campaigns.

In partnership with local community-based organizations, Interface 211 VC is eager to launch a public education campaign focusing on low- to moderate-income households that uses LISTOS preparedness materials to encourage more households in Ventura to be disaster ready. LISTOS California has developed a suite of disaster preparedness materials in multiple languages (including English and Spanish) that includes a Toolkit designed for community outreach campaigns, hazard maps for each County, fact sheets, and the Disaster Ready Guide with checklists for the Five Steps for Disaster Readiness.

Complementing the services listed above, Interface 211 VC will utilize push-text messaging (outbound one-way communications) to disseminate emergency/disaster information to VC residents before, during and after the onset of an event. To date, push-texts have been integral in our response to recent fires, Public Safety Power Shut Offs (PSPS), and COVID-19 events and can be sent in both English and Spanish. In addition, Interface 211 VC push-texting can be targeted to meet the needs of specific populations and will include information on how to prepare for emergency/disaster events as well as updates on available resources.

Goals, Objectives, and Outcomes

The primary goal of the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project is to educate and ready Ventura County communities, with specific attention to vulnerable populations, to be prepared for, respond to, and recover from disaster/emergency events.

To facilitate the project in achieving this goal, Interface 211 and VC VOAD have outlined the following project objectives and outcome measurements:

Objective 1: Interface 211 Ventura will strengthen partnerships with local community groups in identifying vulnerable, LMI areas for disaster preparedness.

- By the end of June 2023 Interface 211 VC will increase the number of partnerships with community groups/organizations for disaster preparedness by 50% as compared to June 2021.
- By the end of June 2023 Interface will host a minimum of eight (8) events or participatory discussions of local hazards/risks and community-based preparedness solutions for community partners in LMI areas.

Objective 2: Interface 211 VC will provide VC residents, especially vulnerable residents in designated high risk LMI areas with disaster preparedness resources and disaster/emergency information before, during, and after events occur.

- By the end of June 2023 Interface 211 VC will increase disaster-related texting campaigns by a minimum of 20%, from 5 campaigns in 2020/2021 to a minimum of 6 campaigns in 2022/2023.

- By the end of June 2023 Interface 211 VC will provide a minimum of 10,000 households in LMI areas/zip codes with disaster preparedness materials.

Objective 3: Interface 211 VC will increase awareness of 211 VC as a 24/7 resource for disaster information available in English, Spanish and more than 300 other languages.

- By the end of June 2023 Interface 211 VC branded disaster preparedness messaging will achieve at least a 20% increase in social media engagement metrics compared to June 2021.
- By the end of June 2023 Interface 211 VC will have achieved a 20% increase in text based disaster prep inquiries from LMI zip codes compared to June 2021 (or 20% increase in contacts signed up to receive disaster information from 211 VC).
- By the end of June 2023 Interface 211 VC will have achieved a 20% increase in click throughs/visits to the disaster preparedness resources on the 211 VC website compared to June 2021. (This will require a baseline number)

PROJECT ACTIVITIES

Activity 1: Community Partnerships

One of the most effective methods of public education is the use of influencers and trusted messengers who are known throughout the community to engage their followers. The **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project will work with local organizations and neighborhood groups that have established connections with different vulnerable populations to create buy-in for a whole community approach to emergency management, where all community stakeholders have a responsibility and role in preparing and planning for disasters.

Interface 211 VC has identified key partners within the highest risk, LMI areas of Ventura County and will work with each partner to determine the most effective approach for each community group to provide disaster preparedness education and outreach to their constituents. Recommended activities include preparedness presentations as part of regularly held meetings or specific disaster preparedness community events. Engagements with each community group/organization will aim to initiate a facilitated discussion among the neighborhood or community group about how members can support one other during various disaster scenarios and outline potential processes for community preparedness. Participating households will be guided through the completion of Disaster Ready Guides to become familiar with the possible local hazards, evacuation routes, emergency alert subscriptions (VC Alert), and local emergency alert radio stations (by language).

Ventura County Fire Community Education has agreed to support the community engagement events by providing information on the Community Emergency Response Team (CERT), a program conducted in English and Spanish to educate volunteer community members in basic disaster response skills, such as fire safety, team organization, hazards that may impact their area, and disaster medical operations. Some events will include the distribution of emergency preparedness kits to residents.

Additional local resources specific to each neighborhood/community will be assembled and provided, drawing from the extensive Interface 211 VC directory of local social service agencies and the VC VOAD directory of organizations that activate in disasters.

To ensure the availability of multilingual services, Interface 211 VC and community partners will present in both English and Spanish and VC VOAD partners will provide volunteers to deliver materials in Korean, Mixteco, and other Indigenous languages as appropriate.

Four high-risk LMI areas have been identified for this project and community partners have been identified:

Saticoy – In partnership with Nyland Promise, United Farm Workers Foundation

Santa Paula – In partnership with Limoneira Group, the City of Santa Paula, and United Farm Workers Foundation

Oxnard – In partnership with the City of Oxnard, United Farm Workers Foundation and Community Action

Westside neighborhood, City of Ventura – In partnership with the Westside Community Council, Westside Community Development Corporation, City of Ventura, United Farm Workers Foundation

Activity 2: Public education through digital social networks

Social media is a key source of information for many, with 69% of households that make less than \$30,000 a year report using Facebook (Pew Research 2019). Local groups commonly utilize social media as a key communications tool to get information to residents, including vulnerable and LMI populations that did not receive information from government sources during recent disasters, including the Thomas and Woolsey Fires in 2017 and 2018. To complement and extend the reach of on-the-ground activities, the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project will promote disaster preparedness messaging via social media platforms including NextDoor.com and Facebook. An editorial calendar will be created to leverage state and national events such as Tsunami Prep Week in March, Wildfire preparedness day in May, Disaster Prep month in September, Great Shake Out in October.

To enhance social media services, LISTOS has created a social media disaster preparedness toolkit with creative assets in English and Spanish that can be used by project staff and partnering community groups, as part of follow up from local events. Alongside local preparedness information and messaging developed by the project team, LISTOS materials will be shared throughout the year to highlight seasonal hazards.

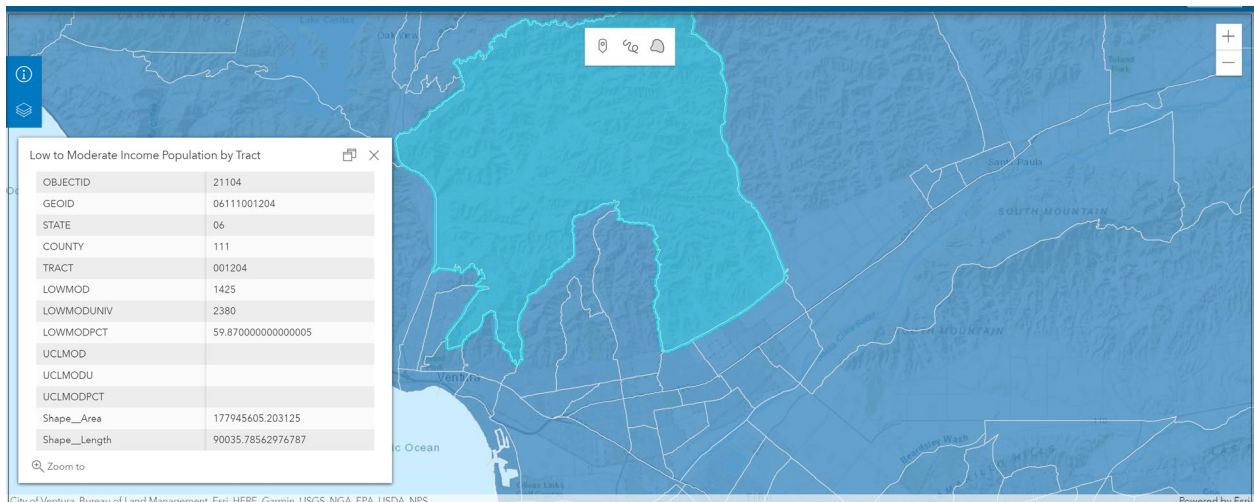
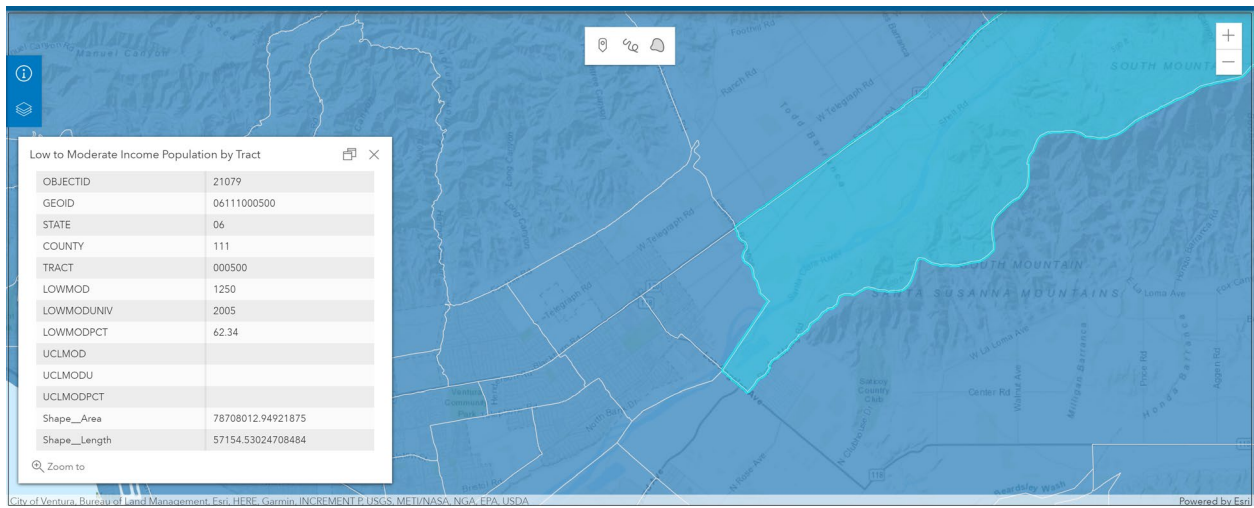
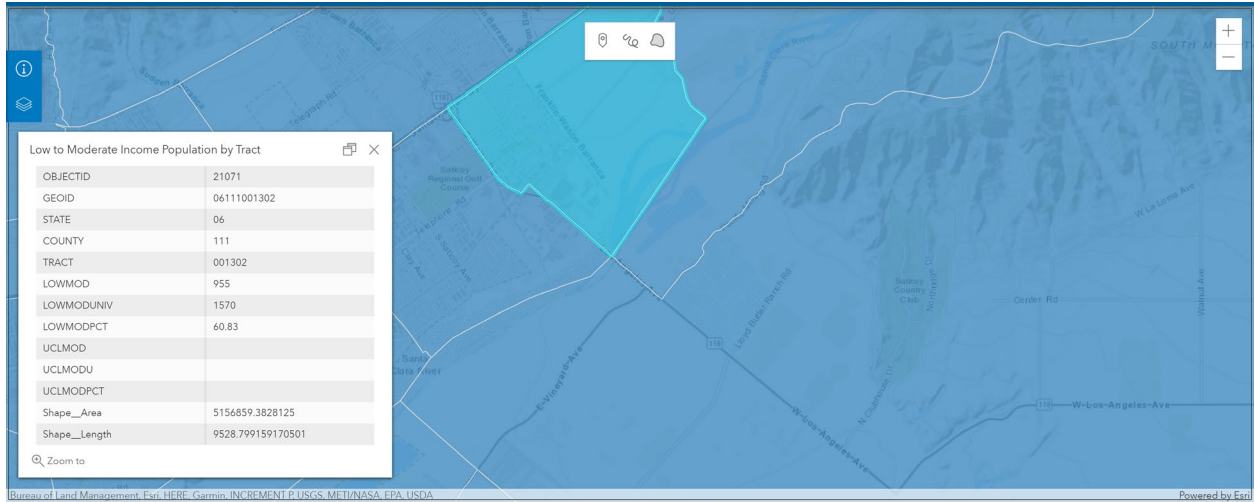
Activity 3: Micro-targeted marketing and advertising

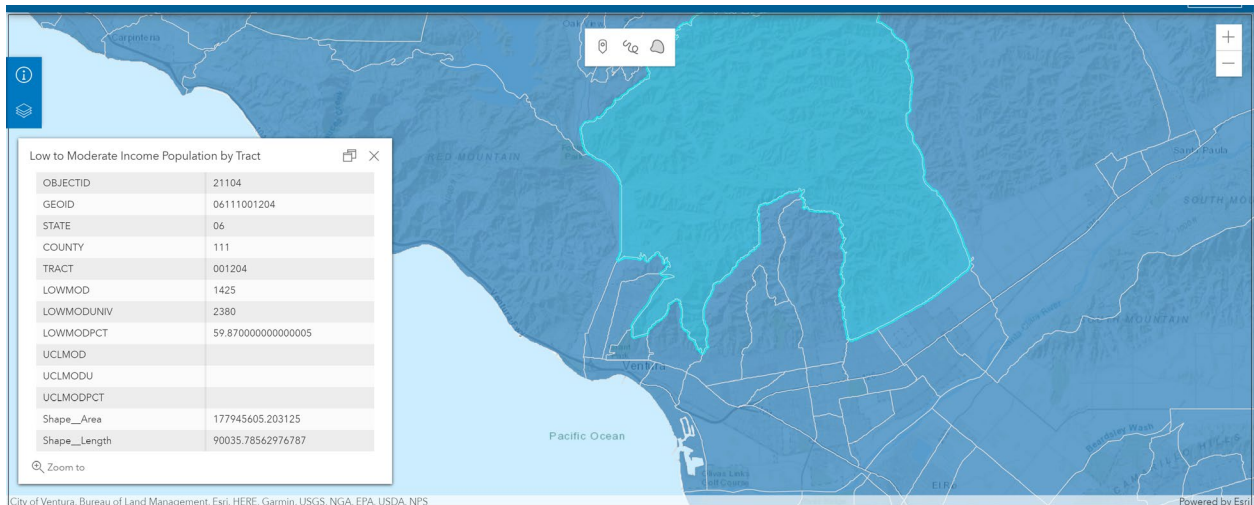
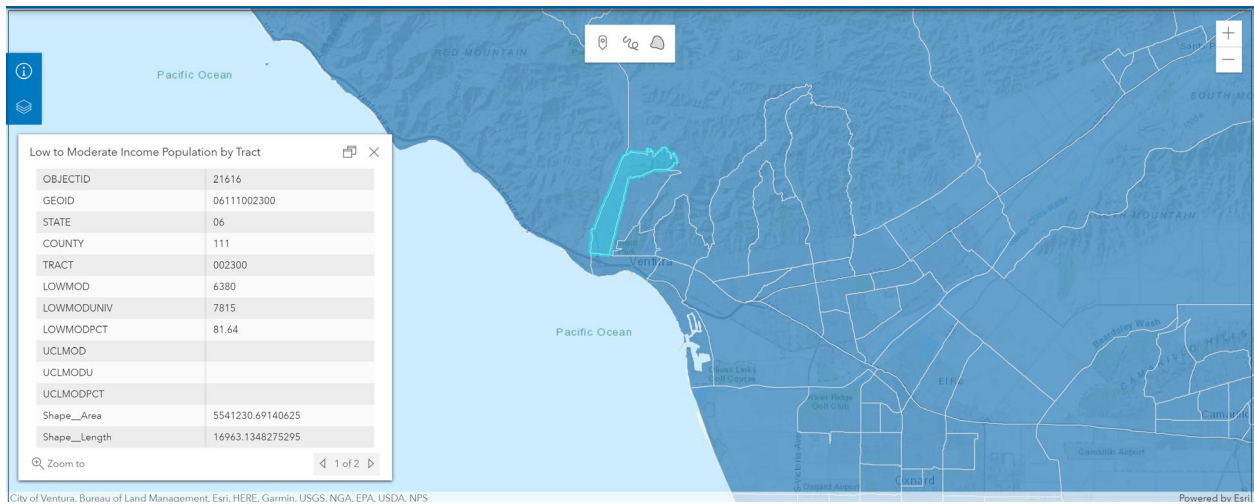
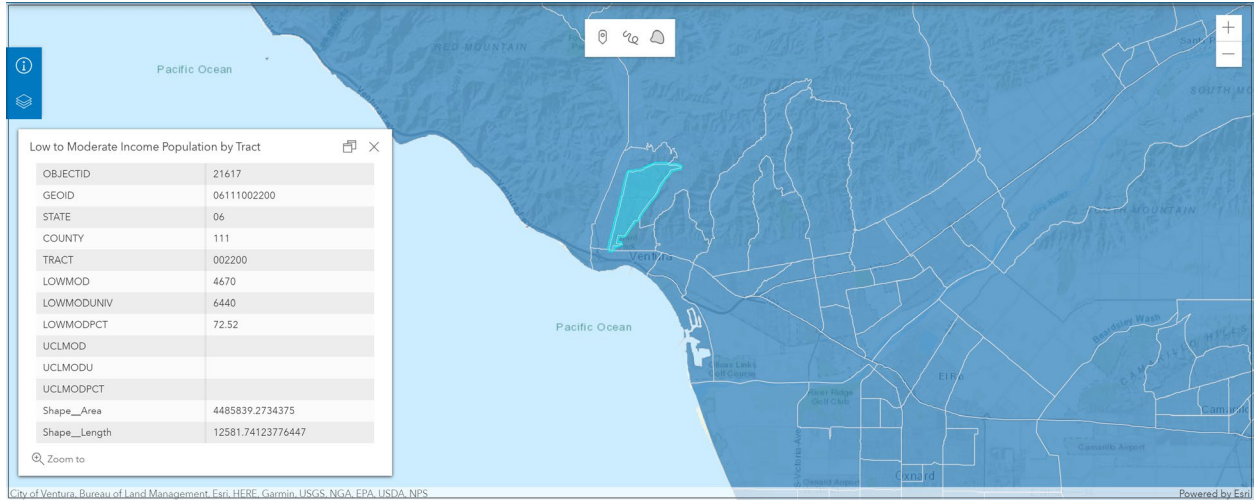
Interface will utilize digital advertising and marketing strategies that prioritize audiences of vulnerable residents in Ventura County, with a focus on the identified high risk LMI areas, to expand existing community partnership connections and current 211 VC contacts. Interface 211 VC will work in conjunction with a contracted marketing agency to purchase micro-targeted online digital ad placements that identify viewers based on location, language settings, and socio-economic data as well as expressed interests to provide disaster preparedness information to residents that may not encounter it otherwise.

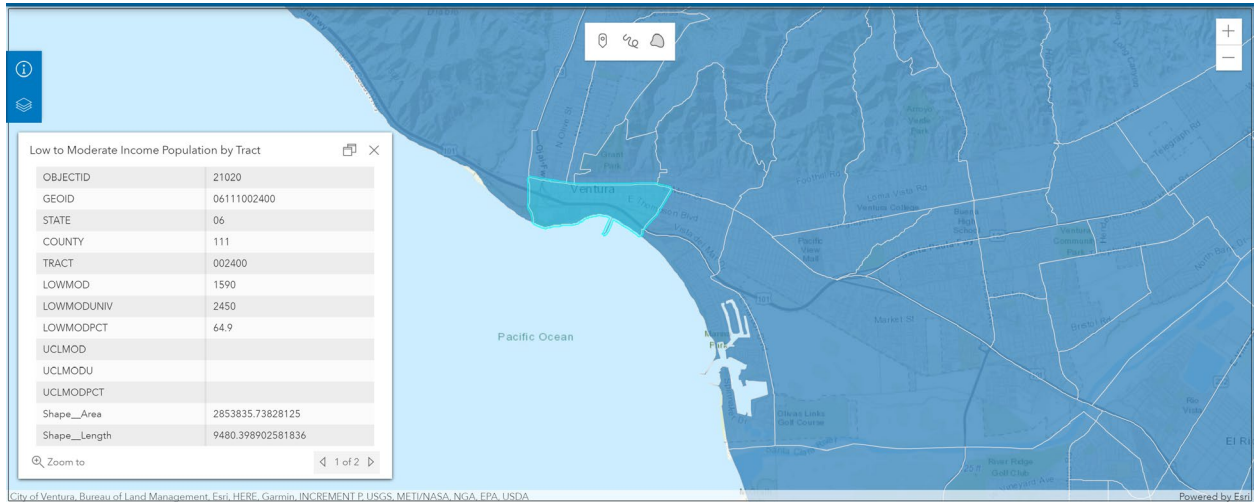
Targeted ads will appear on mobile phone apps and websites of users that meet the specific criteria and can be updated as needed. Interface 211 VC is currently piloting similar digital advertising strategies with COVID vaccine messages in both English and Spanish.

Interface 211 VC project team will work in partnership with a contracted advertising agency to develop static graphical ads with targeted messaging that will drive users to 211 VC disaster preparedness text program and website resources. The text campaign invites residents to text their zip code and a keyword such as "FIRE/FUEGO" to 211211 and receive links to resources such as disaster planning guides, where to access evacuation routes, emergency updates in various languages, and resources during and after a disaster.

Additionally, 211 VC will deliver public education outreach to emails and phone numbers that have agreed to or requested information from 211 VC in the past. Disaster preparedness information will be sent to clients in the Interface 211 databases who have opted in to receiving push-text communications and/or email messages.







Frank Chow, Chief Financial Officer

Mr. Chow possesses over 27 years of government and private sector executive management expertise in finance, administration, budgeting, economic analysis/research, project management, and public policy. In his current role as Chief Financial Officer, Mr. Chow oversees the Finance Department and a budget of over 18 million dollars. Mr. Chow has extensive experience with Federal grant management, dating back 9 years and has overseen over 15 million dollars in federal contracts during his tenure.

Rita Campos, Finance Manager

Mrs. Campos has worked in finance for over 21 years, providing fiscal oversight of internal controls while maintaining a reasonable separation of duties. Mrs. Campos has successfully managed the contract compliance of all State, City, County, and other various agencies for over 13 years and is currently responsible for the financial management of an 18-million-dollar budget at Interface Children and Family Services. Mrs. Campos also possesses experience specific to the provision and management of CDBG grants, including: a five-year 50k (per year) contract for Homeless Service Outreach, a \$500,000 contract to establish shelter services, and a \$150,000 contract to provide training and job placement.

Kelly Brown, Director of 211

Kelly Brown is a nationally recognized 211 leader sought after for her expertise in strategic planning, developing meaningful partnerships, maintaining sustainable funding, and ensuring quality information and referral service delivery to the community. Prior to her 10-year tenure at Interface Children & Family Services, Ms. Brown managed the national call center for International Netherlands Group (ING Bank which is now Capital One) for over 7 years. In her role as 211 Director, Ms. Brown has functioned as both an administrator and consultant to support department directors and community partners in executing agreements as defined in federal, state, and local funding contracts. In this way, Ms. Brown has woven a vast network of partnerships and community support that provide critical feedback about program design and implementation.

Angela Barosso, Assistant Director of 211

Ms. Barosso has nearly 18 years of experience managing private and public grants at the local, state, and federal level. Experience specific to the management of CDBG grants includes: writing, reporting, and monitoring the HUD CDBG grant for the Carson City Consolidated Municipality for two years, managing the CDC Public Health Preparedness and the Health & Human Services Hospital Preparedness programs for the Nevada State Health Division (2 years) and for Carson City Health & Human Services (10 years). As the Development Specialist for an affordable housing nonprofit, Ms. Barosso managed both program and fiscal writing and reporting for approximately 20 grants per year, one-third of which were federal grants from HUD and quasi-governmental agencies, such as Enterprise and LISC. Over the course of her career, Ms. Barosso has managed over 30 million dollars in contract funds.

Lola West, Grant Manager

Ms. West has over seven years of experience working in Fund Development and Communications for the nonprofit sector. Since 2018, Ms. West has authored and managed over 28 grants totaling over 17 million dollars in funding. As the Grant Manager for Interface Children and Family Services, Ms. West is responsible for generating public and private grant proposals, documenting reporting criteria, completing grant reports, and working with the evaluation team and leadership to ensure that objectives and outcomes have been met.

Staffing:

CDBG Funding will allow for the hiring of the VC VOAD Network Director, who will dedicate .25 FTE to the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project. Existing Interface 211 staff working on the VC VOAD project include Anne Whatley, the VC VOAD Long Term Recovery Facilitator and Daniel Garcia, the Disaster Coordinator & Outreach Specialist. Presently, these Interface roles coordinate and support work done by VC VOAD. However, throughout the proposed project, roles and responsibilities will expand to include staff dedicated to the **Ventura County Prepares! Public Education & Outreach for Vulnerable Populations** project

Over the last three years as the VC VOAD Long-Term Disaster Recovery Facilitator, Ms. Anne Whatley has spearheaded the formation of the recovery collaborative, working with more than fifty local and national agencies to create bylaws and oversee processes to effectively make decisions and foster coordination that advances local recovery priorities that have assisted more than 1,300 households. She worked with the California Red Cross Fire Recovery team to convene more than 100 leaders in Ventura and Sonoma to discuss best practices in mental health and emotional recovery after disasters. She also has organized several regional tabletop exercises that brought together more than 70 organizations to discuss regional disaster planning.

VC VOAD Executive Committee serves as volunteer leadership committed to supporting the outreach and engagement of local organizations. A list of Executive Committee members and their experience has been provided earlier in the application.

In addition to staff funded by this proposal, Interface will leverage the experience of existing staff including 211 Assistant Director, Angela Barosso, and Interface Contact & Outreach Specialist (In hiring process), the Interface Finance Department, and the Training, Evaluation, & Grants team. Interface 211 VC is committed to filling open positions (Network Director) with highly qualified individuals that will bring their professional lived experience to strengthen service, deliverables, and outcomes of this outreach and education project. Interface 211 VC seeks to hire individuals with extensive experience working with vulnerable, hard-to-reach populations whenever possible.

Interface – Ventura County Prepares! Public Education & Outreach for Vulnerable Population Budget Narrative

Staffing - \$56,698

All salaries have been determined based on current staffing levels and industry standards, including review of similar positions in other jurisdictions, such as Napa and Sonoma counties. The **VOAD Contact & Outreach Specialist** at .5 FTE provides administrative support, including membership duties, meeting preparedness and notetaking, and some data management. The **Disaster Projects Supervisor** at 0.10 will assist with developing and implementing text campaigns, as well as monitoring and making adjustments, as needed. The **Data Analyst/Evaluator** at 0.10 FTE will collect and analyze data to create presentations and reports that are shared internally and with CDBG funders, and will help design the framework for conducting analysis on program process and outcome data, monitor and evaluate data collection tools, and draft written reports related to evaluation measurements and findings. The **211 Associate Director** at 0.10 serves as 211 liaison between Interface and the VC VOAD Executive Committee, providing program oversight and support. Fringe benefits are included at the calculated rate of 23% of staff salaries.

Interface 211 VC will leverage the funding secured from community partners, such as the Ventura County Community Foundation (VCCF) and the Investor-Owned Utilities, to support the percentage of staff salaries not requested from CDBG-MIT.

Professional Services - \$125,700

The **VC VOAD Network Director** is a consultant that will lead the project and oversee all activities, reporting to the VC VOAD Executive Committee and to Interface Executive Management. Also includes funds to support community partner or consultant for social media outreach and public education in the community.

Operating Expenses - \$76,480

These include the hard costs of implementing the program successfully, and cover communications, digital platforms and software applications, and are based on current Interface expenses. Additional costs include digital advertising purchasing, design of local preparedness materials, printing/copying of materials for community events and for partners, facility rental and insurance fees.

Indirect (Modified Total Direct Costs) - \$22,334

This 10% funding supports the administrative and fiscal management required to ensure the CDBG grant is implemented according to federal 24 CFR §570, Subpart I; 24 CFR §58; and 2 CFR §200 and GAAP guidelines, as well as agency policies and procedures.

Budget Report

Passthrough Agency: California Department of Housing and Community Development
 Program: CDBG-Mitigation Planning & Public Services NOFA
 Stage: Pre-Award

Report Date: 05/09/2022
 Requested By: Lola West
grants@icfs.org

Budget Items

Category	Title	Description	Units	Unit Cost	Extended Cost	Direct Cost	Indirect Cost	GL Account	Cost Share	Type
Activity										
Activity Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
General Administration										
General Administration Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Activity Delivery										
	Contact & Outreach Specialist	Project Support	1	\$8,500.00	\$8,500.00	\$8,500.00	\$0.00		\$0.00	Direct Cost
	Disaster Projects Supervisor	Manages texting campaigns, resource updates	1	\$23,300.00	\$23,300.00	\$23,300.00	\$0.00		\$0.00	Direct Cost
	Data Analyst/Evaluator	Data collection, tracking and analysis	1	\$6,760.00	\$6,760.00	\$6,760.00	\$0.00		\$0.00	Direct Cost
	211 Associate Director	Oversight of CDBG projects	1	\$7,535.00	\$7,535.00	\$7,535.00	\$0.00		\$0.00	Direct Cost
	Fringe	Staff benefits	1	\$10,603.00	\$10,603.00	\$10,603.00	\$0.00		\$0.00	Direct Cost
	VC VOAD Network Director	Lead Project Management	1	\$25,700.00	\$25,700.00	\$25,700.00	\$0.00		\$0.00	Direct Cost
	Community partner or consultant	Social media outreach & public education in community	1	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00		\$0.00	Direct Cost
	Communications	Online Collab platform/website	1	\$7,500.00	\$7,500.00	\$7,500.00	\$0.00		\$0.00	Direct Cost
	IT/Computer costs	Software licenses/IT third party support	1	\$1,480.00	\$1,480.00	\$1,480.00	\$0.00		\$0.00	Direct Cost
	Printing/Copying	Listos Preparedness Toolkit and Materials	1	\$23,800.00	\$23,800.00	\$23,800.00	\$0.00		\$0.00	Direct Cost
	Digital advertising purchases	Online ads promoting public ed info on disaster preparedness websites	1	\$40,000.00	\$40,000.00	\$40,000.00	\$0.00		\$0.00	Direct Cost
	Facility rental	Community Outreach Events	1	\$2,500.00	\$2,500.00	\$2,500.00	\$0.00		\$0.00	Direct Cost
	Event insurance	Additional insurance for events	1	\$1,200.00	\$1,200.00	\$1,200.00	\$0.00		\$0.00	Direct Cost
	Indirect	MDTC	1	\$22,334.00	\$22,334.00	\$22,334.00	\$0.00		\$0.00	Direct Cost
Activity Delivery Total			14	\$281,212.00	\$281,212.00	\$281,212.00	\$0.00		\$0.00	
Other										
Other Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Grant Total			14	\$281,212.00	\$281,212.00	\$281,212.00	\$0.00		\$0.00	

Budget Report, Created by Lola West, grants@icfs.org, 05/09/2022
 Source: eCivis™ Portal
<http://www.ecivis.com/>